

## A REDUCTION FOR ASYMPTOTIC TEICHMÜLLER SPACES

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*To the memory of Professor Nobuyuki Suita.*

**Abstract.** In this paper, we will introduce a new kind of isomorphism theorem (we call it the reducing theorem) for asymptotic Teichmüller spaces. Our isomorphism theorem is induced by (conformal) 2-surgery operations along simple closed loops on surfaces, and yields several interesting and pathological phenomena on the structures of asymptotic Teichmüller spaces.

### 1. Introduction

In this paper, we will give a new kind of isomorphism theorem for asymptotic Teichmüller spaces of Riemann surfaces. By definition, asymptotic Teichmüller spaces are recognized as the deformation space of ends of Riemann surfaces. Intuitively, one would think that asymptotic Teichmüller space admits “product structures” inherited from the structure of the end of corresponding Riemann surface, since each neighborhood of any end is deformed independently of the other ends. In this paper, we will give a certain concrete expression for this intuition.

To be more precise, let  $AT(R)$  denote the asymptotic Teichmüller space of a Riemann surface  $R$ . Let  $c$  be a homotopically non-trivial simple closed curve on  $R$ . We apply a conformal 2-surgery to  $R$  along  $c$  (cf. §4.1 and see also Figure 2). Then, the resulting manifold consists of either two surfaces  $S_1$  and  $S_2$  when  $c$  is a separating loop, or one surface  $S_0$  otherwise.

**Main Theorem (Reducing Theorem).** *One of the following holds:*

- (1)  $AT(R)$  is biholomorphically equivalent to the product  $AT(S_1) \times AT(S_2)$  if  $c$  is a separating loop, or
- (2)  $AT(R)$  is biholomorphically equivalent to  $AT(S_0)$  otherwise.

Namely, our reductions allow us to represent any asymptotic Teichmüller space as the direct product of two asymptotic Teichmüller spaces of “simpler” Riemann surfaces than given one. We will deal with the detail of our main theorem in §4.3 (Theorem 4.1).

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2000 Mathematics Subject Classification: Primary 32G15, 30F25, 30F60.

Key words: Teichmüller space, asymptotic Teichmüller space, Teichmüller theory, quasiconformal isotopy.

Usually (or from empirical observations), conformal 2-surgery operations do not seem to fit to the complex analytic theory of Teichmüller spaces. However, these operations adjust to the complex analytic theory of asymptotic Teichmüller spaces. One reason why the operations work in this theory is that we can always ignore deformations on any compact sets (rel the ideal boundaries).

**Structures of asymptotic Teichmüller spaces.** From our main theorem, we obtain several observations on the structures of asymptotic Teichmüller spaces. Indeed, the following corollaries will be discussed in §5.

**Corollary 1.** (Deformations are realized at ends.) *Let  $R$  be a Riemann surface and  $Z$  a regular domain in  $R$ . Suppose  $C_1, \dots, C_n$  are the common boundary curves of  $Z$  and  $R - Z$ , and  $S_1, \dots, S_m$  are the components of  $R - \bar{Z}$ , with capping disks along the boundary curves  $C_j$ . Then,  $AT(R)$  is biholomorphically equivalent to the product  $\prod_{i=1}^m AT(S_i)$ .*

See §3 for the definition of regular domains. Corollary 1 immediately leads the following two results.

**Corollary 2.** *Let  $R$  be a Riemann surface of finite genus. Then there is a closed set  $E$  in  $\widehat{\mathbf{C}}$  such that  $AT(R)$  is biholomorphically equivalent to  $AT(\widehat{\mathbf{C}} - E)$ .*

**Corollary 3.** *Let  $R$  be a Riemann surface of topologically finite type. Then,  $AT(R)$  is biholomorphically equivalent to the product space  $AT(\mathbf{D})^m$ , where  $m$  is the number of funnels of  $R$  and  $\mathbf{D}$  is the unit disk in  $\mathbf{C}$ .*

The structures of asymptotic Teichmüller spaces seem to be well-behaved with the self-similarity of the ends as follows (see also §3 of [2]):

**Corollary 4.** *Let  $C \subset \widehat{\mathbf{C}}$  be the middle thirds Cantor set and  $\Omega = \widehat{\mathbf{C}} - C$ . Then  $AT(\Omega)$  is biholomorphically equivalent to the product space  $AT(\Omega)^m$  for all  $m \in \mathbf{N}$ .*

Since  $AT(\mathbf{D})$  is homogeneous (cf. [3]. See also [11]), by Corollary 3, we have

**Corollary 5.** *When  $R$  is of topologically finite type, the automorphism group of  $AT(R)$  acts transitively on  $AT(R)$ .*

The main theorem and its corollaries intimate that asymptotic Teichmüller spaces have intriguing (or pathological) structures. The author hopes that some of the results in this paper, when used more carefully, will yield more informations about the structures of asymptotic Teichmüller spaces.

This paper is organized as follows: In §3, we will give modifications of quasiconformal mappings and quasiconformal isotopies, which are important for the proof of our main theorem. In §4 our main theorem will be restated and proved. In §5, we will prove corollaries stated above.

*Acknowledgements.* The author wishes to thank Osaka University for financial support from October 2003 to March 2004, and Dr. Ege Fujikawa for giving him nice introduction to the theory of asymptotic Teichmüller spaces.

He would like to express hearty gratitude to Professor Clifford J. Earle for his stimulating comments, suggestions and encouragement, and to the referee of this paper for his/her valuable comments. Indeed, the referee suggested the author to state Corollary 1.

## 2. Notation

**2.1. Quasiconformal isotopies.** Let  $R$  be a hyperbolic Riemann surface. Let  $\Gamma$  be the Fuchsian group acting on  $\mathbf{D}$  with  $\mathbf{D}/\Gamma = R$  and denote by  $\Lambda(\Gamma)$  the limit set of  $\Gamma$ . Then  $\overline{R} = (\overline{\mathbf{D}} - \Lambda(\Gamma))/\Gamma$  is an orbifold with interior  $R = \mathbf{D}/\Gamma$  and boundary  $(\partial\mathbf{D} - \Lambda(\Gamma))/\Gamma$ . We say that  $(\partial\mathbf{D} - \Lambda(\Gamma))/\Gamma$  is the *ideal boundary* of  $R$ , and denote it by  $\partial^{id}R$ . When  $R$  is not hyperbolic, we define  $\partial^{id}R$  to be the set of its punctures (possibly empty). In any case, we set  $\overline{R} = R \cup \partial^{id}R$ . Notice that any quasiconformal mapping between two Riemann surfaces can be extended to their ideal boundaries (e.g. §8 of Chapter I in [8]).

Let  $R$  and  $S$  be Riemann surfaces and  $C$  a set in  $\overline{R}$ . We say that a continuous map  $H: R \times [0, 1] \rightarrow S$  is a *homotopy rel C* (which we denote by  $H(p, t)$  or  $H_t(x)$ ) if for each  $t \in [0, 1]$ ,  $H_t$  extends to  $\overline{R}$  continuously and  $H_t(p) = H_0(p)$  for all  $(p, t) \in C \times [0, 1]$ . A *quasiconformal isotopy rel C* is a homotopy rel  $C$  with the additional property that there is a constant  $K > 1$  such that  $H_t|_R$  is a  $K$ -quasiconformal homeomorphism for all  $t \in [0, 1]$ . Two quasiconformal mappings  $f$  and  $g$  from  $R$  to  $S$  are said to be *quasiconformally isotopic* (resp. *homotopic*) *rel C* if there is a quasiconformal isotopy (resp. a homotopy)  $H$  rel  $C$  with  $H_0 = f$  and  $H_1 = g$ .

The following is due to Earle and McMullen.

**Proposition 2.1.** (Theorem 1.1 in [5]) *The following three conditions are equivalent for any two quasiconformal mappings  $f$  and  $g$  between hyperbolic Riemann surfaces  $R$  and  $S$ :*

- (1)  $f$  and  $g$  are quasiconformally isotopic rel  $\partial^{id}R$ ;
- (2)  $f$  and  $g$  are homotopic rel  $\partial^{id}R$ ; and
- (3)  $f$  and  $g$  have lifts to the universal cover  $\mathbf{D}$  which agree on  $\partial\mathbf{D}$ .

It is easy to check that (1) and (2) above are also equivalent even in the case when  $R$  and  $S$  are not hyperbolic.

**2.2. Asymptotic Teichmüller spaces.** Let  $R$  be a Riemann surface and  $L^\infty(R)$  denote the space of bounded measurable  $(-1, 1)$ -forms on  $R$ . We say that  $\mu \in L^\infty(R)$  *vanishes at infinity* on  $R$  when for any  $\varepsilon > 0$ , there is a compact set  $C \subset R$  such that  $|\mu| < \varepsilon$  a.e. on  $R - C$ . We denote by  $L_0^\infty(R)$  the closed subspace of  $L^\infty(R)$  consisting of all  $\mu \in L^\infty(R)$  vanishing at infinity. A quasiconformal mapping  $f$  on  $R$  is said to be *asymptotically conformal* if its complex dilatation vanishes at infinity. Set  $\widehat{L}(R) = L^\infty(R)/L_0^\infty(R)$ .

The *asymptotic Teichmüller space*  $AT(R)$  of  $R$  is, by definition, the space of the equivalence classes of quasiconformal mappings  $f$  from  $R$  onto a variable Riemann surface  $f(R)$ . Two mappings  $f$  from  $R$  to  $R_0$  and  $g$  from  $R$  to  $R_1$  are *equivalent* if

there is an asymptotically conformal mapping  $h: R_0 \rightarrow R_1$  such that  $h \circ f$  and  $g$  are quasiconformally isotopic rel  $\partial^{id}R$ . We denote by  $[f]$  the equivalence class of  $f$  in  $AT(R)$ . It is known that  $AT(R)$  admits the natural structure of a complex Banach manifold (cf. [2]). The *Teichmüller space*  $T(R)$  of  $R$  has the same definition with one exception. The mapping  $h$  has to be conformal. Since conformal mappings are asymptotically conformal, there is a canonical projection  $T(R) \rightarrow AT(R)$ .

If  $R$  is a Riemann surface of analytically finite type,  $AT(R)$  consists of one point (cf [2]). Indeed, any quasiconformal mapping on  $R$  is isotopic (rel  $\partial^{id}R$ ) to a quasiconformal mapping which is conformal outside a compact set in  $R$  (cf. Proposition 3.2).

**2.3. Complex structure on  $AT(R)$ .** Let  $R$  be a Riemann surface. Let  $M(R)$  be the open unit ball in  $L^\infty(R)$ . Then there is a canonical projection  $\Phi: M(R) \rightarrow T(R)$ . Namely,  $\Phi(\mu)$  is the equivalence class (in  $T(R)$ ) of a quasiconformal mapping on  $R$  whose complex dilatation is  $\mu$ . This projection is called the *Bers projection*.

Let  $\widehat{M}(R)$  is the unit ball in  $\widehat{L}(R)$ . In [2], Earle, Gardiner and Lakic proved the existence of a holomorphic splitting submersion  $\widehat{\Phi}_R: \widehat{M}(R) \rightarrow AT(R)$  with the following diagram is commutative:

$$\begin{array}{ccc} M(R) & \xrightarrow{\Phi} & T(R) \\ P_R \downarrow & & \downarrow \\ \widehat{M}(R) & \xrightarrow{\widehat{\Phi}_R} & AT(R), \end{array}$$

where the vertical directions are canonical projections.

**Lemma 2.1.** *Let  $S_1, S_2$  and  $R$  be Riemann surfaces (possibly  $S_2 = \emptyset$ ). Let  $\Psi: AT(S_1) \times AT(S_2) \rightarrow AT(R)$  be a map. Suppose that there is a  $\mathbf{C}$ -linear mapping  $\mathcal{L}$  from  $L^\infty(S_1) \times L^\infty(S_2)$  to  $L^\infty(R)$  such that*

- (1)  $\mathcal{L}(M(S_1) \times M(S_2)) \subset M(R)$ ,
- (2)  $\mathcal{L}(L_0^\infty(S_1) \times L_0^\infty(S_2)) \subset L_0^\infty(R)$ , and
- (3)  $\Psi$  and  $\mathcal{L}$  satisfy the following commutative diagram:

$$\begin{array}{ccc} M(S_1) \times M(S_2) & \xrightarrow{\mathcal{L}} & M(R) \\ (\widehat{\Phi}_{S_1} \circ P_{S_1}) \times (\widehat{\Phi}_{S_2} \circ P_{S_2}) \downarrow & & \downarrow \widehat{\Phi}_R \circ P_R \\ AT(S_1) \times AT(S_2) & \xrightarrow{\Psi} & AT(R). \end{array}$$

Then  $\Psi$  is a holomorphic mapping.

*Proof.* We deal only with the case where all  $AT(S_1)$ ,  $AT(S_2)$  and  $AT(R_2)$  are not trivial. The other cases can be treated in a similar way.

By the assumption (2),  $\mathcal{L}$  descends to a  $\mathbf{C}$ -linear mapping  $\widehat{\mathcal{L}}$  from  $\widehat{L}(S_1) \times \widehat{L}(S_2)$  to  $\widehat{L}(R)$  satisfying the following commutative diagram

$$\begin{array}{ccccc} M(R_1) & \xrightarrow{P_{S_1 \times S_2}} & \widehat{M}(S_1) \times \widehat{M}(S_2) & \xrightarrow{\widehat{\Phi}_{S_1} \times \widehat{\Phi}_{S_2}} & AT(S_1) \times AT(S_2) \\ \mathcal{L} \downarrow & & \widehat{\mathcal{L}} \downarrow & & \Psi \downarrow \\ M(R) & \xrightarrow{P_R} & \widehat{M}(R) & \xrightarrow{\widehat{\Phi}_R} & AT(R). \end{array}$$

Since  $\widehat{\Phi}_{S_i}$  is a holomorphic split submersion, by the implicit function theorem (cf. p. 89 of [12]), we get a neighborhood  $U_i$  of  $[f_i]$  and a local holomorphic section  $s_i: U_i \rightarrow \widehat{M}(S_i)$  with  $\widehat{\Phi}_{S_i} \circ s_i = id$  on  $U_i$ . Therefore,  $\Psi$  satisfies

$$\begin{aligned} \Psi([g_1], [g_2]) &= \Psi(\widehat{\Phi}_{S_1} \circ s_1([g_1]), \widehat{\Phi}_{S_2} \circ s_2([g_2])) \\ &= \widehat{\Phi}_R \circ \widehat{\mathcal{L}}(s_1([g_1]), s_2([g_2])), \end{aligned}$$

for all  $([g_1], [g_2]) \in U_1 \times U_2$ . Thus  $\Psi$  is holomorphic at  $([f_1], [f_2])$ .  $\square$

### 3. Modifications of qc mappings and qc isotopies

The aim of this section is to prove Proposition 3.3, which tells us the existence of some kind of modifications of asymptotically conformal mappings on compact sets of Riemann surfaces. Our modification is described as follows: Given a compact set  $C$  and two quasiconformal mappings  $f$  and  $g$  which are mutually homotopic rel the ideal boundary, our modification allows us to find a quasiconformal mapping  $h$  (a modification of  $f$ ) which coincides with  $f$  “at infinity” and is homotopic to  $g$  rel the ideal boundary and  $C$ . This modification adapts to the equivalence relation in the definition of asymptotic Teichmüller spaces and will be used at important parts of the proof of the well-definedness of our reductions.

**3.1. Lemmas on qc and qc isotopies.** This section collects three lemmas concerning quasiconformal mappings and quasiconformal isotopies. Since all of these follow from well-known facts, we state these lemmas without proofs.

We first note a distortion lemma for quasiconformal mappings which is deduced from the compactness of the set of normalized quasiconformal mappings (cf. Theorem 5.1 in p. 51 of [8]).

**Lemma 3.1.** *Let  $R$  be a hyperbolic Riemann surface and  $f$  a quasiconformal automorphism of  $R$  homotopic to the identity rel  $\partial^{id}R$ . Then, for any  $p \in R$ , the hyperbolic distance between  $p$  and  $f(p)$  is bounded by a constant depending only on the maximal dilatation of  $f$ .*

The second lemma easily follows from (3) of Proposition 2.1.

**Lemma 3.2.** *Let  $R$  be a hyperbolic Riemann surface and  $H: R \times [0, 1] \rightarrow R$  a quasiconformal isotopy rel  $\partial^{id}R$  with  $H_1 = id$ . Let  $S \rightarrow R$  be a covering surface.*

Then there is a lift  $\tilde{H}: S \times [0, 1] \rightarrow S$  of  $H$  which is a quasiconformal isotopy rel  $\partial^{id}S$  with  $\tilde{H}_1 = id$ .

We will use the third lemma to glue two quasiconformal isotopies along real analytic curves (see the proof of Propositions 3.1 and 3.2). Indeed, this lemma follows from the fact that any real analytic arc is removable with respect to quasiconformal mappings (cf. Theorem 8.3 of p. 45 in [8]).

**Lemma 3.3.** *Let  $R$  and  $S$  be Riemann surfaces and  $\{\gamma_i\}_{i=1}^N$  (possibly  $N = \infty$ ) a collection of real analytic simple closed curves on  $R$ . Suppose that every compact set on  $R$  intersect at most finitely many curves in  $\{\gamma_i\}_{i=1}^N$ . Then a homotopy  $H: R \times [0, 1] \rightarrow S$  rel  $\partial^{id}R$  is a quasiconformal isotopy when for each  $t \in [0, 1]$ ,  $H_t$  is a homeomorphism from  $R$  to  $S$  and  $K$ -quasiconformal outside  $\bigcup_{i=1}^N \gamma_i$  with some  $K \geq 1$ .*

**3.2. Lemmas on subsurfaces.** Let  $R$  be a Riemann surface and  $Z$  a subsurface of  $R$ . We say that  $Z$  is *incompressible* in  $R$  if the inclusion  $Z \hookrightarrow R$  induces a monomorphism  $\pi_1(Z) \rightarrow \pi_1(R)$ .

**Lemma 3.4.** *Let  $Z$  be a subsurface of  $R$ . If  $\partial Z$  (in  $R$ ) consists of homotopically non-trivial simple closed curves on  $R$ , then  $Z$  is incompressible in  $R$ .*

*Proof.* Fix  $p \in Z$  and let  $c$  be a closed loop in  $Z$  with initial point  $p$ . Suppose that the homotopy class of  $c$  is trivial in  $R$ . We may assume that  $c$  is a simple closed curve. Then  $c$  bounds a disk  $D_c$  in  $R$ . We claim  $D_c$  is contained in  $Z$ . Otherwise,  $D_c$  intersects a component  $c_1$  of  $\partial Z \cap R$ . Since  $\partial D_c = c \subset Z$ , by the connectivity of  $c_1$  we deduce that  $c_1 \subset D_c$ . Therefore,  $c_1$  is homotopic to a point, which contradicts our assumption.  $\square$

A domain  $Z$  in a Riemann surface is said to be *regular* if (1)  $Z$  is relatively compact, (2)  $Z$  and  $R - Z$  have a common boundary which is a 1-dimensional submanifold, and (3) all components of  $R - Z$  are non-compact. It is known that any open Riemann surface admits a regular exhaustion. Namely, there is a family  $\{Z_k\}_{k=1}^\infty$  of regular domains in  $R$  with  $\overline{Z_k} \subset Z_{k+1}$  and  $R = \bigcup_{k=1}^\infty Z_k$  (cf. [1]). We may suppose that all  $Z_k$  is incompressible in  $R$ . Indeed, when  $R$  is simply connected,  $R$  is either  $\mathbf{C}$  or  $\mathbf{D}$ . Thus, we can easily construct a regular exhaustion with the desired property. Suppose  $R$  is not simply connected. Then  $Z_k$  is not simply connected for sufficiently large  $k$ , since  $R = \bigcup_{k=1}^\infty Z_k$ . If a component  $c$  of  $\partial Z_k$  is homotopically trivial in  $R$ , by  $\pi_1(Z_k) \neq \{1\}$ ,  $c$  bounds a closed disk outside  $Z_k$ . This contradicts to the definition of regular domains. Therefore, by Lemma 3.4,  $Z_k$  is incompressible for every sufficiently large  $k$ .

**Lemma 3.5.** *Let  $Z$  be a relatively compact subsurface in  $R$  such that  $\partial Z$  consists of homotopically non-trivial simple closed curves in  $R$ . Then  $R - Z$  consists of finitely many components, and any component  $Z'$  of  $R - Z$  is an incompressible surface in  $R$  such that  $\partial Z' \cap R$  consists of finitely many homotopically non-trivial simple closed curves in  $R$ .*

*Proof.* Since  $Z$  is relatively compact,  $\partial Z$  consists of at most finitely many simple closed curves. For each component  $c$  of  $\partial Z$ , at most one component of  $R - Z$  can share  $c$  with  $Z$ . Since the boundary of a component of  $R - Z$  (in  $R$ ) is contained in  $\partial Z$ , the number of components of  $R - Z$  is less than the number of components of  $\partial Z$ .

Let  $Z'$  be a component of  $R - Z$ . Since any component of  $\partial Z'$  is homotopically non-trivial in  $R$ , by Lemma 3.4,  $Z'$  is incompressible in  $R$ .  $\square$

Finally, we note

**Lemma 3.6.** *Let  $C$  be a compact set on a Riemann surface  $R$  with  $\pi_1(R) \neq \{1\}$ . Then there is a relatively compact subsurface  $Z$  of  $R$  such that  $C \subset Z$  and  $\partial Z$  consists of finitely many homotopically non-trivial real analytic simple closed curves on  $R$ .*

*Proof.* Consider a sufficiently large regular domain which contains  $C$ .  $\square$

### 3.3. Modifications of qc mappings and qc isotopies on a compact set

We give the first modification.

**Proposition 3.1.** *Let  $R$  and  $S$  be hyperbolic Riemann surfaces and  $f$  and  $g$  quasiconformal mappings from  $R$  to  $S$  which are quasiconformally isotopic rel  $\partial^{id} R$ . Let  $C$  be a compact set in  $R$ . Then there exist a quasiconformal mapping  $h: R \rightarrow S$  and a quasiconformal isotopy  $H: R \times [0, 1] \rightarrow S$  rel  $C \cup \partial^{id} R$  such that*

- (1)  $H_0 = h$  and  $H_1 = g$ , and
- (2)  $h = f$  on  $R - Z$  where  $Z$  is a regular domain of  $R$  which contains  $C$ .

*Proof.* We may assume  $S = R$  and  $g = id$  if we consider  $g^{-1} \circ f$  instead of  $f$ .

Let  $G: R \times [0, 1] \rightarrow R$  be a quasiconformal isotopy rel  $\partial^{id} R$  with  $G_0 = f$  and  $G_1 = id$ . Since  $\mathcal{C}_0 := \bigcup_{0 \leq t \leq 1} G_t(C)$  is compact in  $R$ , there is a regular domain  $Z_0$  in  $R$  containing  $\mathcal{C}_0$  in its interior (cf. Lemma 3.6). Let us denote by  $\{Z_i\}_{i=1}^m$  the components of  $R - Z_0$ .

Let  $\Gamma$  be the Fuchsian group acting on  $\mathbf{D}$  with  $\mathbf{D}/\Gamma = R$  and  $\Gamma_i$  a subgroup of  $\Gamma$  corresponding to  $\pi_1(Z_i)$  for  $i = 1, \dots, m$ . Let  $R_i = \mathbf{D}/\Gamma_i$  and  $\text{pr}_i: R_i \rightarrow R$  the projection. Since  $Z_i$  is incompressible in  $R$  (cf. Lemma 3.5), there is an embedding  $J_i: Z_i \rightarrow R_i$  satisfying  $\text{pr}_i \circ J_i(p) = p$  for all  $p \in Z_i$ . Furthermore, by definition, any component of  $R_i - J_i(Z_i)$  is a funnel corresponding to some component in  $\partial Z_i \cap R$ . Therefore, there is a quasiconformal mapping  $W_i: Z_i \rightarrow R_i$  such that  $W_i = J_i$  outside a relatively compact neighborhood  $N_i$  of  $\partial Z_i \cap R$  in  $Z_i$  (see Figure 1).

By Lemma 3.2, we have a lift  $\tilde{G}^i: R_i \times [0, 1] \rightarrow R_i$  of  $G$  such that  $(\tilde{G}^i)_t(p) = p$  for all  $p \in \partial^{id} R_i$  and  $(\tilde{G}^i)_1 = id$  on  $R_i$ . Thus,

$$G^i(p, t) := W_i^{-1} \circ \tilde{G}^i(W_i(p), t): Z_i \times [0, 1] \rightarrow Z_i$$

is a quasiconformal isotopy rel  $\partial Z_i \cap R$  and satisfies  $(G^i)_1(p) = p$  for all  $p \in Z_i$  ( $i = 1, \dots, m$ ). Furthermore, by Lemma 3.2 again,  $(\tilde{G}^i)_t(p) = (\tilde{G}^i)_0(p)$  for  $(p, t) \in \partial^{id} R_i \times [0, 1]$ . Therefore,  $G^i$  keeps fixing any point of  $\partial^{id} R$  whose neighborhood is contained in  $Z_i$ .

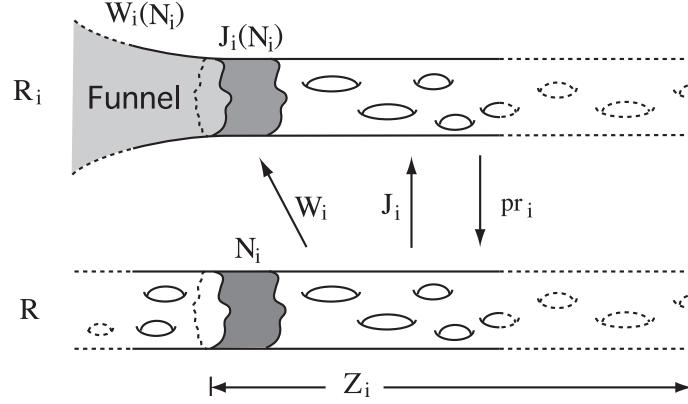


Figure 1. Projections.

We define a quasiconformal isotopy  $H: R \times [0, 1] \rightarrow R$  by

$$H(p, t) = \begin{cases} G^i(p, t) & p \in Z_i \quad (i = 1, \dots, m) \\ p & p \in Z_0. \end{cases}$$

Then  $h := H_0$  and  $H$  have desired properties (cf. Lemma 3.3). Indeed, since  $Z_0$  is relatively compact, any ideal boundary point has a neighborhood contained in some  $Z_i$ . Therefore,  $H$  is an isotopy rel  $C \cup \partial^{id}R$  because  $C \subset \mathcal{C}_0 \subset Z_0$ . Since  $H_1 = id$ ,  $h$  is quasiconformally isotopic to the identity mapping rel  $C \cup \partial^{id}R$ .

Finally, we check that  $h$  coincides with  $f$  outside a regular domain containing  $Z_0$ . Fix  $i = 1, \dots, m$ . By Lemma 3.1 and Lemma 3.6, there is a regular domain  $Z$  such that any point  $p \in Z_i - Z$  satisfies  $f(p) \in Z_i - N_i$ . By definition,  $(\tilde{G}^i)_0$  is a lift of  $f$  to the covering space  $\text{pr}_i: R_i \rightarrow R$ . Since  $J_i$  is the right-inverse of  $\text{pr}_i$  on  $Z_i$ ,  $J_i \circ f(p) = (\tilde{G}^i)_0 \circ J_i(p)$  for  $p \in Z_i$  with  $f(p) \in Z_i$ . Thus, we conclude that

$$h(p) = H_0(p) = W_i^{-1} \circ (\tilde{G}^i)_0 \circ W_i(p) = f(p)$$

for all  $p \in R - Z$ , since  $W_i = J_i$  on  $Z_i - N_i$ .  $\square$

**3.4. Modifications around punctures.** In this section, we give a modification of quasiconformal mapping around punctures. The modification we give here might be well-known, however, we will give a proof for the sake of completeness in Appendix (see §6).

**Proposition 3.2.** *Let  $R$  and  $S$  be Riemann surfaces and  $f$  a  $K$ -quasiconformal mapping from  $R$  onto  $S$ . Let  $P = \{x_i\}_{i=1}^N$  (possibly  $N = \infty$ ) be a set of punctures of  $R$  and  $C$  a compact set of  $R$ . Then there exist a quasiconformal isotopy  $H: R \times [0, 1] \rightarrow S$  rel  $C \cup \partial^{id}R$  and a constant  $K_1 = K_1(K) \geq 1$  such that*

- (1)  $H_0 = f$  on  $R$ ,
- (2)  $H_t$  is  $K_1$ -quasiconformal for all  $t \in [0, 1]$ , and
- (3)  $H_1$  is a conformal around all  $p_i \in P$ .

**3.5. Modifications of asymptotically conformal mappings.** Combining Propositions 3.1 and 3.2, we conclude the following:



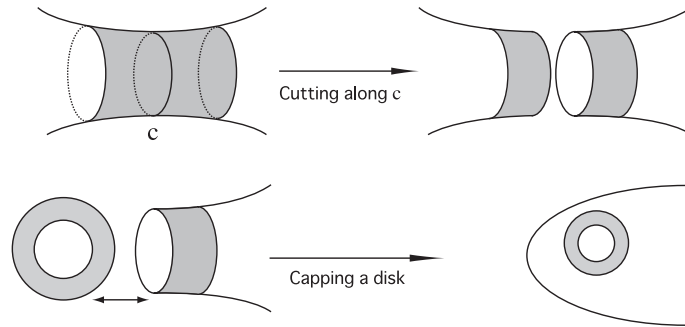


Figure 2. Conformal 2-surgery operation along  $c$ .

**Proposition 3.3.** *Let  $R$  be a Riemann surface and  $f$  and  $g$  quasiconformal mappings on  $R$ . Let  $C$  be a compact set in  $R$ . Then, if  $[f] = [g]$  in  $AT(R)$ , there exist an asymptotically conformal mapping  $h$  of  $f(R)$  to  $g(R)$  and a quasiconformal isotopy  $H: f(R) \times [0, 1] \rightarrow g(R) \text{ rel } f(C) \cup \partial^{id} f(R)$  such that  $H_0 = h$  and  $H_1 = g \circ f^{-1}$  on  $f(R)$ .*

*Proof.* When  $R$  is compact, we define a quasiconformal isotopy  $H: f(R) \times [0, 1] \rightarrow g(R)$  by  $H_t = g \circ f^{-1}$  ( $=: h$ ) for  $(p, t) \in f(R) \times [0, 1]$ .

Suppose that  $R$  is open. Assume first that  $R$  is not hyperbolic. Then  $R$  is either  $\mathbf{C}$  or  $\mathbf{C} - \{0\}$ . In any case, by Proposition 3.2, there is a quasiconformal isotopy  $H: f(R) \times [0, 1] \rightarrow g(R) \text{ rel } f(C) \cup \partial^{id} f(R)$  such that  $H_1 = g \circ f^{-1}$  for  $p \in R$  and  $h := H_0$  is conformal around punctures. Such  $H$  and  $h$  have desired properties.

Next, we suppose that  $R$  is hyperbolic. Since  $[f] = [g]$ , there is an asymptotically conformal mapping  $h_0$  from  $f(R)$  and  $g(R)$  such that  $h_0$  and  $g \circ f^{-1}$  are homotopic rel  $\partial^{id} f(R)$ . Then, by Proposition 3.1, we find a quasiconformal mapping  $h$  and a quasiconformal isotopy  $H: f(R) \times [0, 1] \rightarrow g(R) \text{ rel } f(C)$  such that

- (1)  $H_0 = h$  and  $H_1 = g \circ f^{-1}$ , and
- (2)  $h = h_0$  on  $f(R) - Z$  where  $Z$  is a regular domain in  $f(R)$  with  $f(C) \subset Z$ .

Since  $h_0$  is asymptotically conformal, so is  $h$ . Thus, we have the assertion.  $\square$

#### 4. Reductions of Asymptotic Teichmüller space by curves

In this section, we give the definition of our *reductions* for asymptotic Teichmüller spaces. Intuitively, our reduction is described as follows: Let  $R$  be a Riemann surface and  $c$  a homotopically non-trivial simple closed curve on  $R$ . Construct surfaces  $S_1$  and  $S_2$  from  $R$  by a conformal 2-surgery along  $c$ , that is, the surgery operation defined as cutting along  $c$  and then (conformally) capping the resulting boundary components with disks. (See Figure 2). Then,  $AT(R)$  is biholomorphically equivalent to  $AT(S_1) \times AT(S_2)$ .

**4.1. Conformal 2-surgery operations.** Let  $R$  be a Riemann surface and  $c$  a homotopically non-trivial simple closed curve on  $R$ . Let  $A$  be a relatively compact annulus on  $R$  whose core curve coincides with  $c$ , where the *core curve*  $c$  of  $A$  is a

closed curve defined as the preimage of the circle  $\{|z| = 1/\sqrt{r}\}$  via a conformal mapping  $\xi: A \rightarrow A(r) := \{1/r < |z| < 1\}$ .

Suppose that  $R - c$  consists of two components  $R_1$  and  $R_2$ . Let  $A_i = A \cap R_i$  ( $i = 1, 2$ ) and  $\xi_i: A_i \rightarrow A(r_i)$  a conformal mapping with  $\xi_i(c) = \{|z| = 1/r_i\}$  (where we recognize  $c$  as the common boundary component of  $A_i$  and  $R_i$ ). Then after gluing the unit disk along  $A_i$  to  $R_i$  by  $\xi_i$ , we obtain new surfaces  $\text{rd}(R_i; A)$  ( $i = 1, 2$ ), that is,  $\text{rd}(R_i; A) = R_i \cup_{\xi_i} \mathbf{D}$ . When  $R - c =: R_0$  is connected, we let  $A - c = A_1 \cup A_2$  and define a new surface  $\text{rd}(R_0; A)$  by conformally gluing two copies of  $\mathbf{D}$  along each  $A_1$  and  $A_2$ . Namely,  $\text{rd}(R_0; A) = A_1 \cup_{\xi_1} R_0 \cup_{\xi_2} A_2$  where  $\xi_i: A_i \rightarrow A(r_i)$  is a conformal mapping as above. In any case, we may recognize  $R_i$  as a subsurface of  $\text{rd}(R_i; A)$ .

**4.2. Quasiconformal mappings induced by 2-surgeries.** Let  $f$  be a quasiconformal mapping on  $R$  and  $\mu$  the complex dilatation of  $f$ . We then define a quasiconformal mapping  $\text{rd}(f)_{i,A}$  on  $\text{rd}(R_i; A)$  ( $i = 1, 2$  when  $R - c$  is disconnected,  $i = 0$  otherwise) as follows:

Here we treat only the case when  $R - c$  is disconnected. In the other case, we can construct a quasiconformal mapping in a similar way.

Fix  $i = 1, 2$  and let  $f_i^A$  be a quasiconformal automorphism of  $\mathbf{D}$  whose complex dilatation is

$$\frac{(f_i^A)_{\bar{z}}}{(f_i^A)_z} = \begin{cases} (\xi_i^{-1})^* \mu & \text{on } A(r_i) \\ 0 & \text{otherwise.} \end{cases}$$

Then, by definition,

$$(4.1) \quad \xi_i^f := f_i^A \circ \xi_i \circ f^{-1}$$

is conformal on  $f(A_i) \subset f(R_i)$ . Therefore, the restriction  $f|_{R_i}$  extends as a quasiconformal mapping  $\text{rd}(f)_{i,A}$  from  $\text{rd}(R_i; A)$  onto  $\text{rd}(R_i; A)_f := f(R_i) \cup_{\xi_i^f} \mathbf{D}$  which satisfies the following commutative diagram:

$$\begin{array}{ccc} \text{rd}(R_i; A) \supset A_i & \xrightarrow{\xi_i} & A(r_i) (\subset \mathbf{D}) \\ \text{rd}(f)_{i,A} \downarrow & & \downarrow f_i^A \\ \text{rd}(R_i; A)_f \supset f(A_i) & \xrightarrow{\xi_i^f} & \mathbf{D}. \end{array}$$

We note that the complex dilatation of  $\text{rd}(f)_{i,A}$  is

$$\begin{cases} (I_i)^* \mu & \text{on } R_i \\ 0 & \text{on } \text{rd}(R_i; A) - R_i, \end{cases}$$

where  $I_i: R_i \hookrightarrow R$  is the inclusion.

**4.3. Reductions.** A precise statement of our main theorem is given as follows:

**Theorem 4.1.** *Let  $R$ ,  $c$ , and  $A$  as above and denote  $S_i = \text{rd}(R_i; A)$  for  $i = 0, 1, 2$ . Then it holds either*

(a) *if  $R - c$  consists of two components, the mapping*

$$\mathcal{R}_c: AT(R) \ni [f] \mapsto ([\text{rd}(f)_{1,A}], [\text{rd}(f)_{2,A}]) \in AT(S_1) \times AT(S_2)$$

- is well-defined and biholomorphic, or  
 (b) if  $R - c$  is connected, the mapping

$$\mathcal{R}_c: AT(R) \ni [f] \mapsto [\text{rd}(f)_{0,A}] \in AT(S_0)$$

is well-defined and biholomorphic.

*Proof.* We treat only the case where  $R - c$  is disconnected. The other case can be treated in a similar way.

First we suppose that both  $S_1$  and  $S_2$  are hyperbolic. We then define a  $\mathbf{C}$ -linear operator  $\mathcal{L}\mathcal{R}_{c,i}: L^\infty(R) \rightarrow L^\infty(S_i)$  by

$$\mathcal{L}\mathcal{R}_{c,i}(\nu) = \begin{cases} (I_i)^*\nu & \text{on } R_i \\ 0 & \text{on } S_i - R_i. \end{cases}$$

Since  $A_i$  is relatively compact in  $R$ , the surgery operation constructing  $S_i$  from  $R$  do not effect the asymptotic behavior of any Beltrami differential on  $R$ . Hence  $\mathcal{L}\mathcal{R}_{c,i}(L_0^\infty(R)) \subset L_0^\infty(S_i)$ .

We want to show that  $\mathcal{L}\mathcal{R}_{c,i}$  induces a holomorphic mapping  $\mathcal{R}_{c,i}: AT(R) \rightarrow AT(S_i)$ . To this end, we claim the following.

**Claim 1.** *Let  $\mu, \nu \in M(R)$  and  $f$  and  $g$  quasiconformal mappings whose complex dilatations are  $\mu$  and  $\nu$  respectively. If  $[f] = [g]$  in  $AT(R)$ , then  $[\text{rd}(f)_{i,A}] = [\text{rd}(g)_{i,A}]$  in  $AT(S_i)$ .*

*Proof.* By Proposition 3.3, there exist an asymptotically conformal mapping  $h$  from  $f(R)$  to  $g(R)$  and a quasiconformal isotopy  $H: f(R) \times [0, 1] \rightarrow g(R)$  rel  $f(A) \cup \partial^{id}f(R)$  with  $H_0 = h$  and  $H_1 = g \circ f^{-1}$ . Especially,  $h(f(c)) = g(c)$  and hence  $h$  maps  $f(R_i) (\subset \text{rd}(R_i; A)_f)$  onto  $g(R_i) (\subset \text{rd}(R_i; A)_g)$ . Let  $\xi_i: A_i \rightarrow A(r_i)$  be a conformal mapping. We define a conformal mapping  $\xi_i^f$  (resp.  $\xi_i^g$ ) of  $f(A_i)$  (resp.  $g(A_i)$ ) into  $\mathbf{D}$  and a quasiconformal mapping  $f^{A_i}$  (resp.  $g^{A_i}$ ) of  $\mathbf{D}$  satisfying the following commutative diagram (cf. Equation (4.1)):

$$\begin{array}{ccccc} f(A_i) & \xleftarrow{\text{rd}(f)_{i,A}} & A_i & \xrightarrow{\text{rd}(g)_{i,A}} & g(A_i) \\ \xi_i^f \downarrow & & \xi_i \downarrow & & \downarrow \xi_i^g \\ \mathbf{D} & \xleftarrow{f^{A_i}} & \mathbf{D} & \xrightarrow{g^{A_i}} & \mathbf{D}. \end{array}$$

Since  $h = g \circ f^{-1}$  on  $A$  and  $f(A_i)$  are relatively compact in  $\text{rd}(R_i; A)_f$ , from the diagram above, we have an asymptotically conformal mapping  $h_i: \text{rd}(R_i; A)_f \rightarrow \text{rd}(R_i; A)_g$  defined by

$$h_i = \begin{cases} h & \text{on } f(R_i) \\ g^{A_i} \circ (f^{A_i})^{-1} & \text{on } \mathbf{D}, \end{cases}$$

where we recall that  $\text{rd}(R_i; A)_f = f(R_i) \cup_{\xi_i^f} \mathbf{D}$  and  $\text{rd}(R_i; A)_g = g(R_i) \cup_{\xi_i^g} \mathbf{D}$ .

Next we check that  $[\text{rd}(f)_{i,A}] = [\text{rd}(g)_{i,A}]$ . To this end, we show that  $h_i \circ \text{rd}(f)_{i,A}$  is homotopic to  $\text{rd}(g)_{i,A}$  rel  $\partial^{id}S_i$ . Indeed, since  $H_t(p) = g \circ f^{-1}(p)$  for all  $(p, t) \in$

$f(A_i) \times [0, 1]$ ,  $H_t$  satisfies the equation

$$\xi_i^g \circ H_t \circ (\xi_i^f)^{-1}(p) = g^{A_i} \circ (f^{A_i})^{-1}(p)$$

for  $(p, t) \in \xi_i^f(A_i) \times [0, 1]$ . Therefore, for each  $t \in [0, 1]$ , the restriction of  $H_t|_{f(R_i)}$  admits an extension  $\widehat{H}_t$  from  $\text{rd}(R_i; A)_f$  to  $\text{rd}(R_i; A)_g$  defined by

$$\widehat{H}_t(p) = \begin{cases} H_t(p) & p \in f(R_i) \\ g^{A_i} \circ (f^{A_i})^{-1}(p) & p \in \mathbf{D}. \end{cases}$$

We notice that  $\widehat{H}_0 = h_i$  and  $\widehat{H}_1 = \text{rd}(g)_{i,A} \circ (\text{rd}(f)_{i,A})^{-1}$ , since  $H_0 = h$  and  $H_1 = g \circ f^{-1}$  on  $f(R)$ . Thus, the mapping

$$G(p, t) := \widehat{H}_t \circ \text{rd}(f)_{i,A}(p): \text{rd}(R_i; A) \times [0, 1] \rightarrow \text{rd}(R_i; A)_g$$

is a quasiconformal isotopy rel  $\partial^{id}S_i$  with  $G_0 = h_i \circ \text{rd}(f)_{i,A}$  and  $G_1 = \text{rd}(g)_{i,A}$ , which means  $[\text{rd}(f)_{i,A}] = [\text{rd}(g)_{i,A}]$  in  $AT(S_i)$ .  $\square$

Let  $f$  be a quasiconformal mapping on  $R$  and  $\mu$  the complex dilatation of  $f$ . By definition, the complex dilatation of  $\text{rd}(f)_{i,A}$  coincides with  $\mathcal{L}\mathcal{R}_{c,i}(\mu)$ . Since  $\mathcal{L}\mathcal{R}_{c,i}$  is a  $\mathbf{C}$ -linear mapping with  $\mathcal{L}\mathcal{R}_{c,i}(L_0^\infty(R)) \subset L_0^\infty(R_i)$ , by Claim 1 and Lemma 2.1, we get a holomorphic mapping

$$\mathcal{R}_{c,i}: AT(R) \ni [f] \mapsto [\text{rd}(f)_{i,A}] \in AT(S_i),$$

satisfying the following commutative diagram:

$$\begin{array}{ccc} M(R) \ni \mu & \xrightarrow{\mathcal{L}\mathcal{R}_{c,i}} & \mathcal{L}\mathcal{R}_{c,i}(\mu) \in M(S_i) \\ \downarrow & & \downarrow \\ AT(R) \ni [f] & \xrightarrow{\mathcal{R}_{c,i}} & [\text{rd}(f)_{i,A}] \in AT(S_i). \end{array}$$

By definition,  $\mathcal{R}_c([f]) = (\mathcal{R}_{c,1}([f]), \mathcal{R}_{c,2}([f]))$ . Hence  $\mathcal{R}_c$  is holomorphic.

We next check that  $\mathcal{R}_c$  is biholomorphic. To show this, we will construct the inverse mapping of  $\mathcal{R}_c$ .

For the sake of simplicity, we set  $D_i = (S_i - R_i) \cup A_i$ , and abuse notations by recognizing  $A_i$  as a subset of both  $S_i$  and  $R$  respectively. Let  $\xi_1: A_1 \rightarrow A(r_1)$  and  $\xi_2: A_2 \rightarrow \{1/(r_1r_2) < |z| < 1/r_1\}$  be conformal mappings with  $\xi_1(c) = \xi_2(c) = \{ |z| = 1/r_1 \}$ . After choosing  $\xi_1$  in an appropriate way, we may assume  $R$  is biholomorphically equivalent to  $R_1 \cup_{\xi_1} A \cup_{\xi_2} R_2$ , where  $A = \{1/(r_1r_2) < |z| < 1\}$ .

Let  $f_i$  be a quasiconformal mapping on  $S_i$  and  $\mu_i$  the complex dilatation of  $f_i$ . We first construct a Riemann surface  $R_f$  and a quasiconformal mapping  $f: R \rightarrow R_f$  as follow: Define a Beltrami differential  $\mu_{12}$  on  $A(1/r_1r_2)$  by

$$\mu_{12}(z) = \begin{cases} (\xi_1^{-1})^* \mu_1(z) & z \in A(r_1) \\ (\xi_2^{-1})^* \mu_2(z) & z \in \{1/(r_1r_2) < |z| < 1/r_1\}. \end{cases}$$

Let  $f^A$  be a quasiconformal mapping on  $A$  with complex dilatation  $\mu_{12}$ . Then for  $i = 1, 2$ , there is a conformal embedding  $\xi_i^f: f_i(A_i) \rightarrow f^A(A)$  such that  $\xi_1^f(f(A_1)) \cap$

$\xi_2^f(f(A_2)) = \emptyset$  and  $\xi_i^f \circ f_i = f^A \circ \xi_i$  ( $i = 1, 2$ ). Set

$$R_f = f_2(R_1) \cup_{\xi_1^f} \cup f^A(A) \cup_{\xi_2^f} f_2(R_2).$$

From the definitions of  $\xi_i^f$ , the mapping

$$f(p) = \begin{cases} f_i(p) & p \in R_i \\ f^A(p) & p \in A \end{cases}$$

is a quasiconformal mapping from  $R$  to  $R_f$ .

We then show the following claim.

**Claim 2.** *Let  $g_i$  be a quasiconformal mapping on  $S_i$  ( $i = 1, 2$ ). Let  $R_g$  and  $g$  be the Riemann surface and the quasiconformal mapping constructed from  $g_1$  and  $g_2$  as above. If  $[f_i] = [g_i]$  in  $AT(S_i)$  for  $i = 1, 2$ , then  $[f] = [g]$  in  $AT(R)$ .*

*Proof.* By Proposition 3.3, there exist an asymptotically conformal mapping  $h_i: f_i(S_i) \rightarrow g_i(S_i)$  and a quasiconformal isotopy  $H^i: f_i(S_i) \times [0, 1] \rightarrow g_i(S_i)$  rel  $f_i(D_i) \cup \partial^{id} f_i(S_i)$  such that  $(H^i)_0 = h_i$  and  $(H^i)_1 = g_i \circ f_i^{-1}$ . Notice that  $h_i(f_i(R_i)) = g_i(R_i)$  for  $i = 1, 2$ . Furthermore,  $h_i$  satisfies the following commutative diagram:

$$\begin{array}{ccc} A_i & \xrightarrow{f_i} & f_i(A_i) \\ \parallel & & \downarrow h_i \\ A_i & \xrightarrow{g_i} & g_i(A_i) \end{array}$$

Hence, we have an asymptotically conformal mapping  $h: R_f \rightarrow R_g$  defined by

$$h(p) = \begin{cases} h_i(p) & p \in f_i(R_i) \\ g^A \circ (f^A)^{-1}(p) & p \in f(A). \end{cases}$$

Since  $H_i$  keeps fixing  $D_i$  pointwise for each  $i = 1, 2$ , these isotopies are extended as a quasiconformal isotopy connecting  $h$  and  $g \circ f^{-1}$  rel  $\partial^{id} R_f$  (cf. Lemma 3.3). This means that  $[f] = [g]$ .  $\square$

Let  $f_1, f_2$ , and  $f$  as above. From Claim 2, the mapping

$$\Psi: AT(S_1) \times AT(S_2) \ni ([f_1], [f_2]) \mapsto [f] \in AT(R)$$

is well-defined. Next, we check that  $\Psi$  is holomorphic. To this end, we define a  $\mathbf{C}$ -linear mapping  $\mathcal{L}: L^\infty(S_1) \times L^\infty(S_2) \rightarrow L^\infty(R)$  by

$$\mathcal{L}(\mu_1, \mu_2) = \begin{cases} (I_1)_*(\mu_1) & \text{on } I_1(R_1) \\ (I_2)_*(\mu_2) & \text{on } I_2(R_2), \end{cases}$$

where  $I_i: R_i \hookrightarrow R$  is the inclusion. By definition,  $\Psi$  and  $\mathcal{L}$  satisfy

$$\begin{array}{ccc} M(S_1) \times M(S_2) & \xrightarrow{\mathcal{L}} & M(R) \\ \widehat{\Phi}_{S_1 \circ P_{S_1}} \times \widehat{\Phi}_{S_2 \circ P_{S_2}} \downarrow & & \downarrow \widehat{\Phi}_{R \circ P_R} \\ AT(S_1) \times AT(S_2) & \xrightarrow{\Psi} & AT(R). \end{array}$$

Since  $\mathcal{L}(L_0^\infty(S_1) \times L_0^\infty(S_2)) \subset L_0^\infty(R)$  and  $\mathcal{L}(M(S_1) \times M(S_2)) \subset M(R)$ , by Lemma 2.1,  $\Psi$  is holomorphic.

We claim that  $\Psi$  is the inverse of  $\mathcal{R}_c$ . Indeed, this follows from  $\mathcal{L} \circ (\mathcal{L}\mathcal{R}_{c,1} \times \mathcal{L}\mathcal{R}_{c,2}) = id$  on  $M(R)$  and  $(\mathcal{L}\mathcal{R}_{c,1} \times \mathcal{L}\mathcal{R}_{c,2}) \circ \mathcal{L} = id$  on  $M(S_1) \times M(S_2)$ . Consequently,  $\mathcal{R}_c$  is biholomorphic.

Finally, we note for the case where either  $S_1$  or  $S_2$  is not hyperbolic. In this case, we can see that Claims 1 and 2 do work since Proposition 3.3 is available to all Riemann surfaces. Thus, we conclude the assertion.  $\square$

## 5. Structures of asymptotic Teichmüller spaces

We give proofs of corollaries stated in §1.

*Proof of Corollary 1.* Suppose that  $S_i$  is originated from a component  $S'_i$  of  $R - \bar{Z}$ . Fix points  $x_0 \in Z$  and  $x_i \in S'_i$  for  $i = 1, \dots, m$ . For each  $i = 1, \dots, m$ , we connect  $x_0$  and  $x_i$  by a path  $\eta_i$ . Since  $x_0$  and  $x_i$  are contained in the different components of  $R - \bigcup_{j=1}^n C_j$ , we may assume that each  $\eta_i$  intersect only one curve  $C_{j_i}$  which is a common boundary component of  $Z$  and  $S'_i$ .

Let  $I = \{1, \dots, n\}$ ,  $I_1 = \{j_1, \dots, j_m\}$  and  $I_2 = I - I_1$ . Then,  $R - \bigcup_{j \in I_2} C_j$  is connected because so is  $\bigcup_{j=1}^n \eta_j$ . Hence, by induction on the cardinality of  $I_2$  and applying (b) of Theorem 4.1, we have that  $AT(R)$  is biholomorphically equivalent to  $AT(R_0)$ , where  $R_0$  is the resulting surface after operating the conformal 2-surgery along  $C_j$  for  $j \in I_2$ .

By definition, each  $C_{j_i}$  ( $i = 1, \dots, m$ ) is recognized as a curve on  $R_0$ , and  $S_i$  is the resulting surface after operating the conformal 2-surgery along  $C_{j_i}$  to a component of  $R_0 - C_{j_i}$  which contains  $S'_i$ . Hence, by induction on the cardinality of  $I_1$  and applying (a) of Theorem 4.1, we conclude that  $AT(R_0)$  (and hence  $AT(R)$ ) is biholomorphically equivalent to the product  $\prod_{i=1}^m AT(S_i)$ , which is what we desired.

*Proofs of Corollaries 2 and 3.* Suppose a Riemann surface  $R$  is of finite genus. Then, we may assume that  $R = S - E$ , where  $S$  is a compact Riemann surface and  $E$  a closed set of  $S$ . Take a simply connected domain  $D$  in  $S$  which contains  $E$  and let  $Z := S - D \subset R$ . Then, by applying Corollary 1 to the regular domain  $Z$  of  $R$ , We have that  $AT(R)$  is biholomorphically equivalent to  $AT(R_0)$ , where  $R_0$  is the resulting surface of the conformal 2-surgery operation along  $\partial D$  to  $D - E$ . Since  $D$  is simply connected,  $R_0$  is of genus 0, which implies Corollary 2 holds.

In the case of Corollary 3, we may assume that  $R = S - P \cup E$  where  $S$  is a compact surface,  $P$  consists of points of  $S$  and  $E$  is the union of closed disks in  $S$ . Let  $D$  be a simply connected domain in  $S$  which contains  $P$  and  $D \cap E = \emptyset$ . Then, by applying the conformal 2-surgery operation along  $\partial D$ , we may assume that  $R$  has no puncture.

If  $E = \emptyset$  we have nothing to do. If  $E \neq \emptyset$ ,  $R$  is a hyperbolic surface and let  $Z$  be the Nielsen convex core of  $R$ . Then,  $Z$  is a regular domain and  $R - Z$  is the

union of the funnels of  $R$ . Thus applying Corollary 1 to  $Z$ , we conclude the desired result.

*Proof of Corollary 3.* It suffices to prove the case of  $m = 2$ . The middle thirds Cantor set  $C$  is obtained inductively as follows: We first remove from an open interval  $(-1/3, 1/3)$  from  $I_0 = [-1, 1]$  and at the  $n^{\text{th}}$  step remove the middle thirds of the remaining intervals.

Let  $c = \{\text{Re}z = 0\} \cup \{\infty\}$  and  $A = \{z \mid |z+1|/r < |z+1| < r|z-1|\}$  for  $r > 1$ . Then  $c$  is a separating curve in  $\Omega = \widehat{\mathbf{C}} - C$ . Let  $\Omega_{\pm} = \{z \in \Omega \mid (-1)^{\pm} \text{Re}z > 0\}$  and  $C_{\pm} = C \cap \{(-1)^{\pm} \text{Re}z > 0\}$ . Then we can check that  $\text{rd}(\Omega_{\pm}; A) = \widehat{\mathbf{C}} - C_{\pm}$ . Since  $z \mapsto 3z + (-1)^{\pm}2$  is a conformal mapping from  $\text{rd}(\Omega_{\pm}; A)$  to  $\Omega$ ,  $AT(\text{rd}(\Omega_{\pm}; A))$  is biholomorphic to  $AT(\Omega)$ . Thus, by (a) of Theorem 4.1, we conclude

$$AT(\Omega) \cong AT(\text{rd}(\Omega_+; A)) \times AT(\text{rd}(\Omega_-; A)) \cong AT(\Omega) \times AT(\Omega).$$

## 6. Appendix: Proof of Proposition 3.2

In this appendix, we give a proof of Proposition 3.2. To do this, we begin with the following.

**Lemma 6.1.** *Let  $f: \mathbf{D} \rightarrow \mathbf{D}$  be a  $K$ -quasiconformal mapping with  $f(0) = 0$ . Then there exist a quasiconformal isotopy  $H: \mathbf{D} \times [0, 1] \rightarrow \mathbf{D}$  rel  $\{0\} \cup \partial\mathbf{D}$  and constants  $K_1 \geq 1$  and  $\delta_1 \in (0, 1)$  such that*

- (1)  $H_0 = f$  on  $\overline{\mathbf{D}}$ ,
- (2)  $H_t$  is  $K_1$ -quasiconformal for all  $t \in [0, 1]$ , and
- (3)  $H_1(z) = z$  on  $\{|z| < \delta_1\}$ .

Moreover, the constants  $K_1$  and  $\delta_1$  are dependent only on  $K$ .

*Proof.* By conjugating by the reflection in  $\partial\mathbf{D}$ , we consider  $f$  as a quasiconformal mapping on  $\widehat{\mathbf{C}}$  with  $f(0) = 0$  and  $f(\infty) = \infty$ .

Fix  $\varepsilon_1 \in (0, 1)$  and let  $w_1 = f(\varepsilon_1)$ . Then  $|w_1| \leq 16\varepsilon_1^{1/K}$  by Mori's theorem (cf. Theorem 4.16 of [7]). Consider a quasiconformal mapping  $g$  defined by  $g(z) = f(\varepsilon_1 z)/w_1$ . Since  $g$  is normalized (that is,  $g$  fixes  $\{0, 1, \infty\}$  pointwise), there is a holomorphic family of injections  $H^1: \widehat{\mathbf{C}} \times \mathbf{D} \rightarrow \widehat{\mathbf{C}}$  such that

- (a)  $(H^1)_0 = id$
- (b)  $(H^1)_{\lambda}$  is normalized  $(1 + |\lambda|)/(1 - |\lambda|)$ -quasiconformal for all  $\lambda \in \mathbf{D}$ , and
- (c)  $(H^1)_{k_1^2} = g$  where  $k_1 = k_1(K)$  with  $K \leq (1 + k_1^2)/(1 - k_1^2)$ .

Set  $H^2(z, \lambda) = H^1(z, k_1 \lambda)$ . Then  $H^2$  is a holomorphic family of injections such that for  $\lambda \in \mathbf{D}$ ,  $(H^2)_{\lambda}$  is a normalized  $K'_1 := (1 + k_1)/(1 - k_1)$ -quasiconformal mapping with  $H^2(z, k_1) = g(z)$ . By the compactness normalized  $K'_1$ -quasiconformal mappings, there is a constant  $M_0 = M_0(k_1) > 0$  such that

$$|(H^2)_{\lambda}(z)| \leq M_0$$

for all  $z \in \mathbf{D}$  and  $\lambda \in \mathbf{D}$  (see also [9]).

Let  $w(\lambda) = \varepsilon_1 \exp\{\lambda \operatorname{Log}(w_1/\varepsilon_1)/k_1^2\}$ , where  $\operatorname{Log}(w_1/\varepsilon_1)$  denotes the principal value. By definition,  $w$  satisfies  $w(0) = \varepsilon_1$ ,  $w(k_1^2) = w_1$ , and  $w(\lambda) \neq 0$  for all  $\lambda \in \mathbf{D}$ . Furthermore, when  $|\lambda| < k_1$ ,

$$|w(\lambda)| \leq \exp\left(\frac{2\pi + 4 \log 2}{k_1^2}\right) \cdot \exp\left(\frac{(1 - k_1)^2}{1 + k_1^2} \log \varepsilon_1\right) = o(1),$$

as  $\varepsilon_1 \rightarrow 0$ . Let  $H^3(z, \lambda) = w(k_1\lambda) \cdot H^2(z/\varepsilon_1, \lambda)$ . Notice that  $(H^3)_0(z) = w(0)z/\varepsilon_1 = z$  and  $(H^3)_{k_1}(z) = w_1 \cdot g(z/\varepsilon_1) = f(z)$ . Furthermore, it holds

$$|(H^3)_\lambda(z)| \leq |w(k_1\lambda)|M_0 = o(1) \quad (\varepsilon_1 \rightarrow 0),$$

for all  $(z, \lambda) \in \{|z| \leq \varepsilon_1\} \times \mathbf{D}$ .

Choose  $\varepsilon_1 = \varepsilon_1(k_1) > 0$  to satisfy  $|w(k_1\lambda)|M_0 \leq 1/2$  for all  $\lambda \in \mathbf{D}$ . Then we have a holomorphic motion  $H^4$  of  $f(\{|z| \leq \varepsilon_1\}) \cup \partial\mathbf{D}$  defined by

$$H^4(z, \lambda) = \begin{cases} H^3(f^{-1}(z), (k_1 - \lambda)/(1 - k_1\lambda)) & \text{if } z \in f(\{|z| \leq \varepsilon_1\}) \\ z & \text{if } z \in \partial\mathbf{D}. \end{cases}$$

By the improved  $\lambda$ -lemma (cf. [13]),  $H^4$  is extended as a holomorphic motion of  $\widehat{\mathbf{C}}$  (we use the same symbol  $H^4$  to denote the extension, for simplicity). Let  $\delta_1 > 0$  so that  $\{|z| \leq \delta_1\} \subset f(\{|z| \leq \varepsilon_1\})$ . Since  $f$  is  $K$ -quasiconformal on  $\mathbf{D}$  with  $f(0) = 0$ , we may choose such  $\delta_1$  so that  $\delta_1 \leq (\varepsilon_1/16)^K$  by Mori's theorem again.

Thus, from the argument above, we can check that  $H(z, t) := f \circ H^4(z, k_1 t)$ ,  $K_1 := KK'_1 \geq 1$  and  $\delta_1 > 0$  have the desired properties.  $\square$

Suppose  $R$  is hyperbolic. The  $\varepsilon$ -thin neighborhood  $U$  of a puncture  $x$  of  $R$  is, by definition, an open set consisting of points  $p \in R$  with the property that some loop circling around  $x$  with initial point  $p$  has length less than  $\varepsilon$ . By virtue of Margulis lemma (cf. e.g. [10]), there is a universal constant  $\varepsilon_{mar} > 0$ , called the *Margulis constant*, such that the  $\varepsilon_{mar}$ -thin neighborhood of any puncture of  $R$  does not intersect those of any other punctures. Notice that every ideal boundary point of  $R$  has a neighborhood which is disjoint from the  $\varepsilon_{mar}$ -thin neighborhood of any puncture of  $R$  (for instance, we can check this with the Nielsen convex core of  $R$ ).

*Proof of Proposition 3.2.* If  $P = \emptyset$ , we have nothing to do.

Suppose first that  $R$  is hyperbolic. We choose  $\varepsilon < \varepsilon_{mar}$  so that for any  $i$ , the  $\varepsilon$ -thin neighborhood  $U_i$  of  $x_i$  is disjoint from  $C$ . Fix  $i$  and let  $\xi_1: U_i \cup \{x_i\} \rightarrow \mathbf{D}$  and  $\xi_2: f(U_i) \cup \{f(x_i)\} \rightarrow \mathbf{D}$  be conformal mappings with  $\xi_1(x_i) = \xi_2(f(x_i)) = 0$ . Then  $f_i := \xi_2 \circ f \circ \xi_1^{-1}$  is a  $K$ -quasiconformal mapping of  $\mathbf{D}$  with  $f_i(0) = 0$ . Hence, by Lemma 6.1, there exist  $\delta_1 > 0$  and a quasiconformal isotopy  $H^i: \mathbf{D} \times [0, 1] \rightarrow \mathbf{D}$  such that  $(H^i)_0 = f_i$  on  $\mathbf{D}$  and  $(H^i) = id$  on  $\{|z| \leq \delta_1\}$ .

We define a quasiconformal isotopy  $H: R \times [0, 1] \rightarrow S$  by

$$H(p, t) = \begin{cases} f(p) & p \in R - \bigcup_{i=1}^N U_i \\ \xi_2^{-1} \circ H^i(\xi_1(p), t) & p \in U_i. \end{cases}$$

(cf. Lemma 3.3). We can check that  $H$  has desired properties.



Next, we assume that  $R$  is not hyperbolic. Then,  $R$  is either  $\mathbf{C}$  or  $\mathbf{C} - \{0\}$ . By fixing a suitable number of points outside  $C$ , we recognize  $R$  as the Riemann sphere with three points specified. Thus, from the case of hyperbolic surfaces, we conclude the assertion.  $\square$

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Received 5 July 2004