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# Deciding soccer scores and partial orientations of graphs

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**Abstract.** We show that deciding if a simple graph has a partial orientation of its edges such that all vertices have a prescribed in-, outand undirected degree, is NP-complete even for planar graphs. We prove that two related questions are also NP-complete, one is the decision of whether a score vector of a soccer-tournament is legal or not (we know who played who so far, but do not know the outcomes), the other is about a special edge-coloring of 3-uniform hypergraphs.

## 1 Introduction

The problem of deciding whether we can direct a graph with each vertex having a prescribed in- and out-degree is well-known to be in P. It is another interesting question to determine the complexity of the problem where instead of a directed graph, we want to obtain a mixed graph, ie. a graph that has both directed and undirected edges, and we prescribe the in-, out- and undirecteddegree of each vertex. Let us denote the problem of deciding whether this can be done or not by PARTIAL ORIENTATION for general graphs and PL-PO for planar graphs. We show that both PARTIAL ORIENTATION and PL-PO are NP-complete.

The ELIMINATION PROBLEM is to decide whether a given team can still win the tournament at some point. This was shown to be NP-complete not so long ago independently by Bernholt et al. ([1]) and Kern and Paulusma ([3]). Later it was also generalized to various other point-systems by Kern and

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Paulusma ([4]), in this paper they solve completely for which score allocation rules the problem is NP-complete, assuming that we do not require that the score vector is reachable in a valid tournament. They suspected that deciding if a score vector is reachable or not (if we know the remaining games) is a difficult problem. So let us denote the problem of deciding whether a given score vector is a possible result of a soccer-tournament or not (if we know which team played against which so far) by SCORE VECTOR. In this paper we prove that SCORE VECTOR is NP-complete (in the case when teams get 1 points for winning, 1 for drawing and 0 for losing a game). The proof is an easy consequence of our construction given to the PARTIAL ORIENTATION problem.

Let us define the *tricoloring* of a hyperedge containing 3 vertices such that we color its vertices *red*, *green* and *blue*, using all three colors. Given a 3-uniform hypergraph and a color requirement for each vertex that prescribes how many times it has to be red, green and blue, the problem of deciding whether there is a suitable tricoloring or not, is denoted by TRICOLORING. We show that TRICOLORING is NP-complete.

## 2 Partial orientation of general graphs

We denote the degree of a vertex  $\nu$  in a simple graph by  $d(\nu)$ . In the mixed graph the in-degree is denoted by  $\rho(\nu)$ , the out-degree by  $\delta(\nu)$  and the number of the adjacent undirected edges by  $\theta(\nu)$ . Thus  $d(\nu) = \rho(\nu) + \delta(\nu) + \theta(\nu)$ . When we say orientation, we mean *three* possibilities: The two directions and the undirected case. Thus in the beginning we have a graph with unoriented edges and we want to orient them.

We reduce 3-SAT to PARTIAL ORIENTATION as follows: We construct a graph for each input formula to 3-SAT. For each  $x_i$  variable the graph will have a tree that is almost binary; its root has degree two, each vertex on an odd level has degree three and each vertex on an even level has degree two. The last level is an even one, and from each leaf there is an edge connecting the tree to the rest of the graph, whose other end will be determined later. (See Figure 1.) For the root we prescribe  $\rho(r_i) = \delta(r_i) = 1$ . For the orientation of each edge of the tree there will be exactly two possibilities. The direction of the two edges of  $r_i$  will determine the orientation of each other edge in the tree.

For each vertex w on an odd level of the tree we prescribe  $\rho(w) = \delta(w) = \theta(w) = 1$  and for each vertex v on an even level we prescribe either  $\rho(v) = 0$ 

 $\delta(\nu) = 1$  or  $\rho(\nu) = \theta(\nu) = 1$  or  $\theta(\nu) = \delta(\nu) = 1$ . When we say that  $\nu$  is  $\rho\delta$  (or  $\rho\theta$  or  $\delta\theta$ ), we mean that for the degree two vertex  $\nu$  the prescription is  $\rho(\nu) = \delta(\nu) = 1$ . One of the two grandchildren of a  $\rho\delta$  vertex is always a  $\rho\theta$ , while the other is always a  $\delta\theta$ . Similarly, the  $\rho\theta$  vertices have  $\rho\delta$  and  $\delta\theta$  grandchildren and  $\delta\theta$  vertices have  $\rho\delta$  and  $\rho\theta$  grandchildren. The root has four grandchildren, both of its children have one  $\rho\theta$  and one  $\delta\theta$  child. This finishes the description of the tree. Note that since every edge in the tree is incident to a vertex of degree two, we have exactly two possible orientation for each edge. When we say that an edge is  $\rho\delta$ , we mean that its orientation cannot be undirected.



Figure 1: The two possible orientations of the tree associated with  $x_i$ .

Eg., let us take one of  $r_i$ 's children, w, and both of w's children,  $v_1$  and  $v_2$ . The edge  $r_i w$  can be either directed towards w or away from w but it cannot be undirected. (See the two possibilities in Figure 1.) The edge connecting  $v_1$ to its child can be undirected or directed away from  $v_1$  but this is determined by the orientation of  $r_i w$ . The edge connecting  $v_2$  to its child can be directed towards  $v_2$  or be undirected and this is also determined by the orientation of  $r_i w$ . These edges determine the orientation of the edges under them and therefore the orientation of the whole tree depends on the choice at the root. This way we can achieve that from one decision at  $r_i$  we have an arbitrary number of edges directed to the same way from the leaves of the tree. Let us count how many.

Let us denote the number of the  $\rho\delta$  edges (the ones that cannot be undirected) that are going from the 2lth level to the 2l+1th by a(l) and the number of the other edges at the same level by b(l). We have a(0) = 2 and b(0) = 0 and it is easy to see that the equations a(l) = b(l-1) and b(l) = 2a(l-1)+b(l-1)hold. Solving these we get  $a(l+1) = b(l) = 4(2^l - (-1)^l)/3$ . For each variable  $x_i$ , let us denote the (unnegated) occurrences of  $x_i$  in the clauses by  $u_i$  and the occurrences of  $\overline{x_i}$  by  $n_i$ . We choose the height  $h_i$  of the tree associated with  $x_i$  to be the smallest number that satisfies  $a(h_i) \geq 2 \max(u_i, n_i)$ . This implies that the size of each tree is at most linear. Note that half of the edges counted in a(l) are directed towards the tree, and the other half away from the tree, whichever orientations we choose at  $r_i$ . We will call one of these orientations true and the other orientation false. For each clause that contains  $x_i$ , we reserve an edge that is directed away from the tree in the *true* orientation and towards the tree in the *false* orientation. Similarly, for each clause that contains  $\overline{x_i}$ , we reserve an edge that is directed towards the tree in the *true* orientation and away from the tree in the *false* orientation. This can be done since  $a(h_i)$  is sufficiently large.

For each clause C the graph will have a vertex  $\nu_c$  of degree 5. The prescription for each  $\nu_C$  is  $\rho(\nu_C) = 3$  and  $\delta(\nu_C) = 2$ . The three edges reserved for clause C (adjacent to the leaves of the trees associated with the variables of C) are connected to the vertex  $\nu_C$ . The remaining two edges are connected to the degree two  $\rho\delta$  vertices  $\nu_{C1}$  and  $\nu_{C2}$ . The other neighbor of these vertices are to be determined.

Now we are done with the representation of our formula, we only need to somehow take care of the edges that have only one incident vertex so far. To this end, we add the *mirrored reflection* of everything constructed so far to the graph. This means for every vertex  $\nu$  that belongs to a tree or a clause, we add a  $\nu'$  vertex that is connected to w' if and only if  $\nu$  is connected to w. We also connect  $\nu$  and  $\nu'$  if and only if  $\nu$  has an edge that was not connected to any other vertex yet. The prescription of  $\nu'$  is  $\rho(\nu') = \delta(\nu), \delta(\nu') = \rho(\nu)$  and  $\theta(\nu') = \theta(\nu)$ . This finishes our construction.

Now we have to prove that this graph has a mixed orientation fulfilling the required prescriptions if and only if the original formula had a true assignment. First, if the formula had a true assignment, then let us orient the edges of the trees associated with the true variables in their *true* orientation and orient edges of the trees associated with the false variables in their *false* orientation. Each  $v_{\rm C}$  will have at least one edge entering from a tree, we can pick the two edges connecting it to  $v_{\rm C1}$  and  $v_{\rm C2}$  such that  $\rho(v_{\rm C}) = 3$ . We do the opposite with each edge in the mirrored part of the graph, this guarantees a good orientation for the vv' type edges.

Similarly, if the graph has a good orientation, then let us pick the variables associated with the trees whose orientation is *true* to be true, and the rest to be false. Since  $\rho(\nu_{\rm C}) = 3$  and only two edges can enter  $\nu_{\rm C}$  that are not coming from a tree, therefore one of the trees associated with a variable of C must have *true* orientation, thus each clause must have a true literal.

## 3 Partial orientation of planar graphs

The construction will be very similar to the previous one, but now instead of 3-SAT we will reduce PL-1-Ex3MONOSAT to PL-PO. The PL-1-Ex3MONOSAT problem is the following. The input is a CNF which consists of clauses containing exactly 3 variables, each unnegated. Furthermore, the CNF has a planar realization, ie. there is a planar, bipartite graph such that one class represents the variables, the other the clauses and there is an edge iff the variable is contained in the clause. The problem is to decide if there is an assignment such that there is exactly one true literal in every clause. PL-1-EX3MONOSAT was shown to be NP-complete by Hunt et al. [2].

Our reduction is similar as in the case of general graphs, but the same does not work because the edges going to the mirrored part might intersect each other. So instead of the mirrored part, we have to come up with a new idea how to take care of the unneeded edges.

Each variable occurring t times in the clauses, will be represented by t copies of a tree that are connected to each other. Each copy will be a tree with three levels (seven vertices) that was defined in the previous section. These copies are connected to each other in a cycle - the other end of the edge of the rightmost leaf of the ith tree is the leftmost leaf of the i + 1th tree (mod r). (See Figure 2.) Because of this, we either have 2r undirected or r pairs of directed edges (where from each pair one is directed away, the other towards the leaf) leaving the variable component. We call the first the *true* orientation.

Each clause is represented by a single vertex  $v_{\rm C}$  for which we prescribe  $\rho(w) = \delta(w) = \theta(w) = 2$ . From each variable, that is in the clause, we connect



Figure 2: Copies of trees associated with  $x_i$  are connected to each other.

a pair of edges to  $v_{C}$ . This means that exactly one of the variables of the clause must be true. Therefore the graph has a good orientation if and only if the original formula had a true assignment.

#### 4 Score vector problem

To prove that SCORE VECTOR is NP-complete, we associate a vertex of a graph to each of the teams. The graph is the same as in the Partial Orientation of general graphs, but instead of prescribing the degrees of a vertex v, we prescribe the score of the team associated with that vertex to be  $p\delta(v) + \theta(v)$  (it would get this much if it had won  $\delta(v)$ , drew  $\theta(v)$  and lost  $\rho(v)$  games). Now we only have to notice that in our construction the score of each vertex that has degree at most three, determines the number of games that the team associated with that vertex won, drew and lost. Eg., if a vertex w has p + 1 points and d(w) = 3, then this is only possible if it has won one game, drew one game and lost one game (since  $1 ). Since none of the vertices adjacent to the <math>v_C$ 's drew any of their games, the  $v_C$ 's must have 3 wins and 2 losses. Therefore our construction reduces 3-SAT to SCORE VECTOR if instead of the degrees we prescribe the scores.

Note that when p = 2, the construction fails because one win, one draw and one losing worth the same number of points as three draws. For this p = 2 case the problem is in P and the proof is a folklore; just take the original simple graph, double every edge and ask whether this graph can be (completely) directed such that for every vertex v the number of edges directed away from v equals the score of v.

In a soccer tournament usually the teams have played the same number of matches at a given time, while in our construction the degrees vary. We can fix this by adding a few new vertices who have won all their matches and played some of the teams whose degree is less than the average. Also, in tournaments everyone plays with everyone else in a round, so at any point the who-playedwho-so-far graph can be partitioned into perfect matchings. Our construction with a little modification can be transformed into a regular bipartite graph, that always have this property.

#### 5 Triorientation problem

First we will modify a bit our construction given for the PARTIAL ORIEN-TATION of general graphs. Delete all the vertices that belong to the mirrored part (half of the vertices) and replace them with a single vertex z. The neighbors of z are all the vertices that were connected to the mirrored part. This way we obtain a bipartite graph G = (A, B, E) and in one class (eg. in A) every vertex has degree two. We claim that if we let  $\theta(z) = \sum \{\theta(a) : a \in A\} - \sum \{\theta(b) : b \in B \setminus \{z\}\}, \rho(z) = \sum \{\delta(a) : a \in A\} - \sum \{\theta(b) : b \in B \setminus \{z\}\}, \delta(z) = \sum \{\rho(a) : a \in A\} - \sum \{\delta(b) : b \in B \setminus \{z\}\}, then it is NP-complete to$ decide if this graph has a mixed orientation. We can use the same argumentas we did in Section 2, we only have to check that the degree prescriptions ofz are not violated and this follows from the fact that G is bipartite; if all otherrequirements are satisfied, then its requirements are satisfied as well.

Now we are ready to present a 3-uniform hypergraph. The vertices of the hypergraph are the same as the vertices of G. For each vertex in A, add one hyperedge,  $H = \{(a, u, v) : a \in A, \overline{au} \in E, \overline{av} \in E\}$ . The color-prescriptions of the hypergraph are determined by the degree-prescriptions of G. For  $b \in B$  : red(b) =  $\rho(b)$ , green(b) =  $\delta(b)$ , blue(b) =  $\theta(b)$ , for  $a \in A$  : red(a) =  $1 - \rho(a)$ , green(a) =  $1 - \delta(a)$ , blue(a) =  $1 - \theta(a)$ . This way, for instance an  $a \in A$  vertex that is  $\rho\delta$  in G, becomes blue in its only hyperedge. We claim that this hypergraph has a triorientation iff G has a mixed orientation.

If G has a mixed orientation, then the color of u in  $(a, u, v) \in H$  is red if  $\overline{au}$  is directed away from u, green if  $\overline{au}$  is directed towards u and blue if  $\overline{au}$  is undirected. It is easy to see that this is a good triorientation.

If the hypergraph has a good triorientation, then if u in  $(a, u, v) \in H$  is red, we direct  $\overline{au}$  away from u, if u is green, we direct  $\overline{au}$  towards u and if u is blue, we let  $\overline{au}$  to be undirected. Since the color of a, u and v are different, this gives a good mixed orientation, satisfying all the degree-requirements.

## 6 Acknowledgments and concluding remarks

I would like to thank my supervisor, Zoltán Király for early discussions and suggesting the solution for the TRICOLORING problem. I would also like to thank Attila Bernáth for his useful advices. He also noticed that if instead of the 3-SAT problem we use the ONE-IN-THREE-SAT problem (meaning that in a 3-CNF we want exactly one literal to be true, also NP-complete), then we do not need the  $v_{Ci}$  vertices and thus we obtain a graph with maximum degree three, which is clearly optimal. It is also possible to modify the hypergraph construction such that every vertex has degree at most three.

An interesting open question remains to determine the complexity of the problem when we only know the score (or the in-, out- and undirected degrees) of each vertex and the number of games it played (but do not know against whom) and we have to decide whether it is a possible outcome of a real tournament or not. We conjecture these problems to be in P although we could not even solve it in the case when we know that everyone played with everyone else exactly once (meaning the tournament is finished, ie. the graph is the complete graph). A similar question can be raised concerning the ELIMINATION PROBLEM.

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