

# On finite homomorphic images of the multiplicative group of a division algebra

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## Introduction

The purpose of this paper, together with [6], is to prove that the following Conjecture 1 holds:

CONJECTURE 1 (A. Potapchik and A. Rapinchuk). *Let  $D$  be a finite dimensional division algebra over an arbitrary field. Then  $D^\#$  does not have any normal subgroup  $N$  such that  $D^\#/N$  is a nonabelian finite simple group.*

Of course  $D^\#$  is the multiplicative group of  $D$ . Conjecture 1 appears in [4]. It is related to the following conjecture of G. Margulis and V. Platonov (Conjectures 9.1 and 9.2, pages 510–511 in [3], or Conjecture (PM) in [4]).

CONJECTURE 2 (G. Margulis and V. Platonov). *Let  $\mathfrak{G}$  be a simple, simply connected algebraic group defined over an algebraic number field  $K$ . Let  $T$  be the set of all nonarchimedean places  $v$  of  $K$  such that  $\mathfrak{G}$  is  $K_v$ -anisotropic; then for any noncentral normal subgroup  $N \leq \mathfrak{G}(K)$  there exists an open normal subgroup  $W \leq \mathfrak{G}(K, T) = \prod_{v \in T} \mathfrak{G}(K_v)$  such that  $N = \mathfrak{G}(K) \cap W$ ; in particular, if  $T = \emptyset$  then  $\mathfrak{G}(K)$  does not have proper noncentral normal subgroups.*

In Corollary 2.5 of [4], Potapchik and Rapinchuk prove that if  $D$  is a finite dimensional division algebra over an algebraic number field  $K$ , then for  $\mathfrak{G} = \mathrm{SL}_{1,D}$ , Conjecture 2 is equivalent to the nonexistence of a normal subgroup  $N \triangleleft D^\#$  such that  $D^\#/N$  is a nonabelian finite simple group. Of course this was the main motivation for the conjecture of Potapchik and Rapinchuk in [4]. Thus as a corollary, we get that if  $D$  is a finite dimensional division algebra over an algebraic number field  $K$  and  $\mathfrak{G} = \mathrm{SL}_{1,D}$ , then the normal subgroup structure of  $\mathfrak{G}(K)$  is given by Conjecture 2.

Hence we prove Conjecture 2, in one of the cases when  $\mathfrak{G}$  is of type  $A_n$ . The case when  $\mathfrak{G}$  is of type  $A_n$  is the main case left open in Conjecture 2. For

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further information about the historical background and the current state of Conjecture 2, we refer the reader to Chapter 9 in [3] and to the introduction in [4].

More generally we are interested in the possible structure of finite homomorphic images of the multiplicative group of a division algebra. Let  $D$  be a division algebra and let  $D^\#$  denote the multiplicative group of  $D$ . Various papers dealt with subgroups of finite index in  $D^\#$ , e.g., [2], [4], [7] and the references therein. We refer the reader to [1], for a survey article on the history of finite dimensional central division algebras.

Let  $X$  be a finite group. Define the commuting graph of  $X$ ,  $\Delta(X)$  as follows. Its vertex set is  $X \setminus \{1\}$ . Its edges are pairs  $\{a, b\}$ , such that  $a, b \in X \setminus \{1\}$ ,  $a \neq b$ , and  $[a, b] = 1$  ( $a$  and  $b$  commute). We denote the diameter of  $\Delta(X)$  by  $\text{diam}(\Delta(X))$ .

Let  $d : \Delta(X) \times \Delta(X) \rightarrow \mathbb{Z}^{\geq 0}$  be the distance function on  $\Delta(X)$ . We say that  $\Delta(X)$  is balanced if there exist  $x, y \in \Delta(X)$  such that the distances  $d(x, y)$ ,  $d(x, xy)$ ,  $d(y, xy)$ ,  $d(x, x^{-1}y)$ ,  $d(y, x^{-1}y)$  are all bigger than 3.

The Main Theorem of this paper is:

**THEOREM A.** *Let  $L$  be a nonabelian finite simple group. Suppose that either  $\text{diam}(\Delta(L)) > 4$ , or  $\Delta(L)$  is balanced. Let  $D$  be a finite dimensional division algebra over an arbitrary field. Then  $D^\#$  does not have any normal subgroup  $N$  such that  $D^\#/N \simeq L$ .*

The proof of Theorem A does not rely on the classification of finite simple groups. However, in [6] we prove (using classification) that all nonabelian finite simple groups  $L$  have the property that  $\Delta(L)$  is balanced or  $\text{diam}(\Delta(L)) > 4$ . Thus Theorem A together with [6] prove the assertion of Conjecture 1.

The *organization of the proof of Theorem A* is as follows. Let  $D$  be a division algebra (not necessarily finite dimensional over its center  $F := Z(D)$ ). Let  $G := D^\#$  be the multiplicative group of  $D$  and let  $N$  be a normal subgroup of  $G$  such that  $G^* := G/N$  is finite (not necessarily simple). Let  $\Delta = \Delta(G^*)$  be the commuting graph of  $G^*$ .

In Section 1 we introduce some notation and preliminaries. In particular we introduce the set  $N(a)$ , for  $a \in G$ , which plays a crucial role in the paper. In Section 2 we deal with  $\Delta$  and note that severe restrictions are imposed on  $\Delta$ .

In Section 3 we introduce the *U-Hypothesis* which plays a central role throughout the paper. In addition, we establish in Section 3 some notation and preliminary results regarding the *U-Hypothesis* and we prove that if  $\text{diam}(\Delta) > 4$ , then  $G$  satisfies the *U-Hypothesis*. In Section 4 we show that if  $\Delta$  is balanced then  $G$  satisfies the *U-Hypothesis*. Sections 5 and 6 are independent of the rest of the paper and deal with further consequences of the *U-Hypothesis*.

From Section 7 to the end of the paper, we specialize to the case when  $D$  is finite dimensional over  $F$  and  $G^*$  is nonabelian simple. We assume that either  $\text{diam}(\Delta) > 4$ , or  $\Delta$  is balanced and set out to obtain our contradiction. Section 7 gives some preliminaries and technical results. In particular, we introduce in Section 7 (see the definitions at the beginning) *the set  $\hat{K}$ , which plays a crucial role in the proof*. Sections 8 and 9 are basically devoted to the proof that  $\hat{K} = \mathbb{O}U \setminus N$  (Theorem 9.1), which is the main target of the paper. Once Theorem 9.1 is proved, we can use it in Section 10 to construct a local ring  $R$ , whose existence yields a contradiction and proves Theorem A.

### 1. Notation and preliminaries

All through this paper  $D$  is a division algebra over its center  $F := Z(D)$ . In some sections we will assume that  $D$  is finite dimensional over  $F$ , but in general we do not assume this. We let  $D^\# = D \setminus \{0\}$  and  $G = D^\#$  be the multiplicative group of  $D$ . Letting  $F^\# = F \setminus \{0\}$ , we denote  $N$  a normal subgroup of  $G$  such that  $F^\# \leq N$  and  $G/N$  is finite. The following notational convention is used:  $G^* = G/N$  and for  $a \in G$ , we let  $a^*$  denote its image in  $G^*$  under the canonical homomorphism; that is,  $a^* = Na$ . If  $H^*$  is a subgroup of  $G^*$ , then by convention  $H \leq G$  is the full inverse image of  $H^*$  in  $G$ .

(1.1) *Remark.* Note that since  $F^\# \leq N$ , for all  $a \in G$  and  $\alpha \in F^\#$ ,  $(\alpha a)^* = a^*$ , and in particular,  $(-a)^* = a^*$ . We use this fact without further reference.

(1.2) *Notation.* (1) Let  $a \in G$ . We denote

$$N(a) = \{n \in N : a + n \in N\}.$$

(2) Let  $A, B \subseteq D$ . We denote  $A + B = \{a + b : a \in A, b \in B\}$ ,  $A - B = \{a - b : a \in A, b \in B\}$  and  $-A = \{-a : a \in A\}$ .

(3) Let  $A, B \subseteq D$  and  $x \in D$ . We denote  $AB = \{ab : a \in A, b \in B\}$ ,  $Ax = \{ax : a \in A\}$  and  $xA = \{xa : a \in A\}$ .

(4) We denote by  $[D : F]$  the dimension of  $D$  as a vector space over  $F$ . If  $[D : F] < \infty$ , then as is well known  $[D : F] = n^2$ , for some natural  $n \geq 1$ . We denote  $\text{deg}(D) = n$ .

(1.3) *Notation for the case  $[D : F] < \infty$ .* If  $[D : F] < \infty$ , we denote

$$(1) \quad \nu : G \rightarrow F^\#$$

the reduced-norm function. Of course  $\nu$  is a group homomorphism.

$$(2) \quad \mathbb{O} = \mathbb{O}(D) = \{a \in D^\# : \nu(a) = 1\}.$$

(1.4) Suppose  $[D : F] < \infty$ . Then for all  $a \in G$ ,  $\nu(a)$  is a product of conjugates of  $a$  in  $G$ .

*Proof.* This is well known and follows from Wedderburn's Factorization Theorem. See, e.g., [5, p. 253].

(1.5) If  $[D : F] < \infty$  and  $[G^*, G^*] = G^*$ , then  $G = \mathbb{O}N$ .

*Proof.* Since  $G/\mathbb{O}$  is isomorphic to a subgroup of  $F^\#$ ,  $G/\mathbb{O}$  is abelian, and hence  $G/\mathbb{O}N$  is abelian. But  $G/\mathbb{O}N \simeq (G/N)/(\mathbb{O}N/N)$ , and hence  $G^* = [G^*, G^*] \leq \mathbb{O}N/N$ . Hence  $G = \mathbb{O}N$ .

(1.6) THEOREM (G. Turnwald). Let  $\mathfrak{D}$  be an infinite division algebra. Let  $H \leq \mathfrak{D}^\#$  be a subgroup of finite index. Then  $\mathfrak{D} = H - H$ .

*Proof.* This is a special case of Theorem 1 in [7].

(1.7) COROLLARY.  $N + N = D = N - N$ .

*Proof.* This follows from 1.6. Note that as  $-1 \in N$ ,  $N + N = N - N$ .

(1.8) Let  $a \in G \setminus N$  and let  $n \in N$ . Then

- (1)  $N(na) = nN(a)$  and  $N(an) = N(a)n$ .
- (2) For all  $b \in G$ ,  $N(b^{-1}ab) = b^{-1}N(a)b$ .
- (3)  $N(a) \neq \emptyset$ .
- (4) If  $n \in N(a)$ , then  $n^{-1} \notin N(a^{-1})$ .
- (5) There exists  $a' \in Na$ , with  $1 \in N(a')$ .

*Proof.* In (1), we prove that  $N(na) = nN(a)$ . The proof that  $N(an) = N(a)n$  is similar. Let  $m \in N(na)$ . Then  $na + m \in N$ . Hence  $a + n^{-1}m \in N$ , so  $n^{-1}m \in N(a)$ . Hence  $m \in nN(a)$ . Let  $m \in nN(a)$ . Then there exists  $s \in N(a)$  such that  $m = ns$ . Then  $na + m = na + ns = n(a + s)$ . Since  $s \in N(a)$ ,  $a + s \in N$ , so  $na + m \in N$ . Hence  $m \in N(na)$ .

For (2), let  $m \in N(b^{-1}ab)$ . Then  $b^{-1}ab + m \in N$ , and hence  $a + bmb^{-1} \in N$ . Hence  $bmb^{-1} \in N(a)$ , so  $m \in b^{-1}N(a)b$ . Let  $m \in b^{-1}N(a)b$ . Then there exists  $s \in N(a)$ , with  $m = b^{-1}sb$ . Then  $b^{-1}ab + m = b^{-1}ab + b^{-1}sb = b^{-1}(a + s)b \in N$ . Thus  $m \in N(b^{-1}ab)$ .

For (3), note that by 1.7 there exists  $m, n \in N$  such that  $a = n - m$ . Hence  $m \in N(a)$ . Let  $n \in N(a)$ . Then  $a + n \in N$ . Multiplying by  $a^{-1}$  on the right and by  $n^{-1}$  on the left we get that  $a^{-1} + n^{-1} \in Na^{-1}$ , hence  $n^{-1} \notin N(a^{-1})$ . This proves (4). Finally to prove (5), let  $n \in N(a)$ . Then  $1 \in n^{-1}N(a) = N(n^{-1}a)$ .

(1.9) Let  $K$  be a finite group and let  $\emptyset \neq \mathcal{A} \subsetneq K$  be a proper normal subset of  $K$ . Set  $X := \{x \in K : x\mathcal{A} \subseteq \mathcal{A}\}$ . Then  $X$  is a proper normal subgroup of  $K$ . In particular, if  $X \neq 1$ , then  $K$  is not simple.

*Proof.* Since  $\mathcal{A}$  is finite,  $X = \{x \in K : x\mathcal{A} = \mathcal{A}\}$ . Hence clearly  $X$  is a subgroup of  $K$ . Let  $y \in K$  and  $x \in X$ ; then  $(y^{-1}xy)\mathcal{A} = (y^{-1}xy)(y^{-1}\mathcal{A}y) = y^{-1}(x\mathcal{A})y = y^{-1}\mathcal{A}y = \mathcal{A}$ , since  $\mathcal{A}$  is a normal subset of  $K$ . Hence  $y^{-1}xy \in X$ , so  $X$  is a normal subgroup of  $K$ . Clearly since  $\mathcal{A}$  is a proper nonempty subset,  $X \neq G$ .

## 2. The commuting graph of $G^*$

Throughout the paper we let  $\Delta$  be the graph whose vertex set is  $G^* \setminus \{1^*\}$  and whose edges are  $\{a^*, b^*\}$  such that  $[a^*, b^*] = 1^*$ . We call  $\Delta$  the commuting graph of  $G^*$  and let  $d : \Delta \times \Delta \rightarrow \mathbb{Z}^{\geq 0}$  be the distance function of  $\Delta$ .

(2.1) Let  $a \in G \setminus N$  and  $n \in N$ . Suppose that  $a + n \in G \setminus N$ . Let  $H \leq G$ , with  $H^* = C_{G^*}(a^*)$ . Then  $(a + n)^* \in H^*$ , so  $a + n \in H$ .

*Proof.* Note that  $n^{-1}a + 1 \in C_G(n^{-1}a)$ . Thus  $(n^{-1}a + 1)^* \in C_{G^*}((n^{-1}a)^*) = C_{G^*}(a^*)$ . But since  $a + n = n(n^{-1}a + 1)$ ,  $(a + n)^* = (n^{-1}a + 1)^*$ .

(2.2) *Remark.* Note that by 2.1, if  $a, b \in G \setminus N$  and  $n \in N$ , then if  $a + b \in N$ , or  $a - b \in N$ ,  $d(a^*, b^*) \leq 1$  and if  $n \notin N(a)$ , then  $d((a + n)^*, a^*) \leq 1$ . We use these facts without further reference.

(2.3) Let  $a, b, c \in G \setminus N$ , with  $a + b = c$ . Then

- (1) If  $d(a^*, b^*) > 2$ , then  $N(c) \subseteq N(a) \cap N(b)$ .
- (2) If  $d(a^*, b^*) > 2$ , and  $d(a^*, c^*) > 2$ , then  $N(b) = N(c) \subseteq N(a) \cap N(-a)$ .
- (3) If  $d(a^*, b^*) > 4$ , then either  $N(a) = N(c) \subseteq N(b) \cap N(-b)$ , or  $N(b) = N(c) \subseteq N(a) \cap N(-a)$ .

*Proof.* For (1), let  $n \in N(c) \setminus (N(a) \cap N(b))$ . Suppose  $n \notin N(a)$ . Then

$$c + n = (a + n) + b.$$

As  $c + n \in N$ , 2.2 implies that  $d(a^*, (a + n)^*) \leq 1 \geq d(b^*, (a + n)^*)$ ; thus  $d(a^*, b^*) \leq 2$ , a contradiction.

Assume the hypotheses of (2). By (1),  $N(c) \subseteq N(a) \cap N(b)$  and since  $b = c - a$ , (1) implies that  $N(b) \subseteq N(c) \cap N(-a)$ . Hence (2) follows. (3) follows from (2) since we must have either  $d(a^*, c^*) > 2$ , or  $d(b^*, c^*) > 2$ .

(2.4) *Remark.* Note that by 2.3.3, if  $a, b \in G \setminus N$ , with  $d(a^*, b^*) > 4$ , then  $N(a) \subseteq N(b)$ , or  $N(b) \subseteq N(a)$ . We use this fact without further reference.

(2.5) Let  $a, b \in G \setminus N$  such that  $d(a^*, b^*) > 1$  and  $N(a) \not\subseteq N(b)$ . Then

- (1)  $b^*(b+n)^*(a-b)^*$  is a path in  $\Delta$ , for any  $n \in N(a) \setminus N(b)$ .
- (2) If  $-1 \notin N(ab^{-1})$ , then for all  $n \in N(a) \setminus N(b)$ ,

$b^*(b+n)^*(ab^{-1}-1)^*(ab^{-1})^*$  is a path in  $\Delta$ .

- (3) If  $-1 \notin N(b^{-1}a)$ , then for all  $n \in N(a) \setminus N(b)$ ,

$b^*(b+n)^*(b^{-1}a-1)^*(b^{-1}a)^*$  is a path in  $\Delta$ .

*Proof.* Let  $c = a - b$ . Since  $d(a^*, b^*) > 1$ ,  $c \notin N$ . Next note that  $c + b = a$ . Let  $n \in N(a) \setminus N(b)$ . Then  $c + (b+n) = a + n \in N$ . Hence  $d(c^*, (b+n)^*) \leq 1$ . This show (1).

Suppose  $-1 \notin N(ab^{-1})$  and let  $n \in N(a) \setminus N(b)$ . Note that  $c = (ab^{-1} - 1)b$ . Further,  $c^*$  commutes with  $(b+n)^*$  and  $b^*$  commutes with  $(b+n)^*$ . It follows that  $d((ab^{-1} - 1)^*, (b+n)^*) \leq 1$ . Clearly  $d((ab^{-1} - 1)^*, (ab^{-1})^*) \leq 1$ , so (2) follows. The proof of (3) is similar to the proof of (2) when we notice that  $c = b(b^{-1}a - 1)$ .

(2.6) Let  $a, b \in G \setminus N$  with  $d(a^*, b^*) > 4$ . Suppose  $N(a) \subseteq N(b)$ . Then

- (1)  $N(a+b) = N(a) \subseteq N(b) \cap N(-b)$ .
- (2)  $N(a-b) = N(a) \subseteq N(b) \cap N(-b)$ .

*Proof.* For (1) we use 2.3.3. Suppose (1) is false. Set  $c = a + b$ . Then by 2.3.3,  $N(b) = N(c) \subseteq N(a) \cap N(-a)$ . Since  $N(a) \subseteq N(b)$ , we must have  $N(b) = N(c) = N(a) \cap N(-a) = N(a)$ . It follows that  $N(a) \subseteq N(-a) = -N(a)$ . Multiplying by  $-1$ , we get that  $N(-a) \subseteq N(a)$ , so  $N(a) = N(-a)$ . Thus  $N(b) = N(c) = N(a) = N(-a)$ . Hence  $N(a) = N(c) \subseteq N(b) \cap N(-b)$  in this case too.

Suppose (2) is false. Set  $c = a - b$ . Then by 2.3.3,  $N(-b) = N(c) \subseteq N(a) \cap N(-a)$ . In particular  $N(-b) \subseteq N(-a)$ , so  $N(b) \subseteq N(a)$ . Hence we must have  $N(-b) = N(c) = N(a) \cap N(-a) = N(-a)$ . As above we get that  $N(a) = N(-a) = N(b) = N(c)$ , so again  $N(c) = N(a) \subseteq N(b) \cap N(-b)$ .

(2.7) Let  $a, b \in G \setminus N$ . Suppose

- (a)  $d(a^*, b^*) > 4$ .
- (b)  $N(a) \subseteq N(b)$ .

Then

- (1) If  $1 \in N(a)$ , then  $\pm 1 \in N(b)$ .
- (2) For all  $n \in N \setminus N(b)$

$N(a) \subseteq N(a+n)$  and  $-N(a) \subseteq N(b+n) \supseteq N(a)$ .

*Proof.* Set  $x = a - b$ . Note first that by 2.6.2,

$$(*) \quad N(a) = N(x) \subseteq N(b) \cap N(-b).$$

Note that this already implies (1). Next note that

$$x = (a + n) - (b + n).$$

Since  $d(a^*, b^*) > 4$ , we get that  $d((a + n)^*, (b + n)^*) > 2$ . Hence by 2.3.1,  $N(x) \subseteq N(a + n) \cap N(-(b + n))$ . Thus  $N(a) = N(x) \subseteq N(a + n)$  and  $N(a) = N(x) \subseteq N(-(b + n))$ , so that  $-N(a) \subseteq N(b + n)$ .

Finally, note that by (\*),  $N(-a) \subseteq N(b)$ , so by the previous paragraph of the proof  $-N(-a) \subseteq N(b + n)$ , that is  $N(a) \subseteq N(b + n)$  and the proof of 2.7 is complete.

(2.8) *Let  $a, b \in G \setminus N$  be such that  $ab \in G \setminus N$ . Then*

(1) *Assume  $N(ab) \not\subseteq N(b)$  and  $-1 \notin N(a^{-1})$ . Then for all  $m \in N(b) \setminus N(ab)$ ,*

$$a^*(a^{-1} - 1)^*(ab + m)^*(ab)^* \text{ is a path in } \Delta.$$

(2) *Assume  $N(ab) \not\subseteq N(a)$ , and  $-1 \notin N(b^{-1})$ ; then for all  $m \in N(a) \setminus N(ab)$*

$$b^*(b^{-1} - 1)^*(ab + m)^*(ab)^* \text{ is a path in } \Delta.$$

*Proof.* We have

$$(1 - a)b + ab = b.$$

Let  $m \in N(b) \setminus N(ab)$ . Then

$$(1 - a)b + ab + m = b + m \in N.$$

This implies that  $(ab + m)^*$  commutes with  $(1 - a)^*b^*$ . Of course  $(ab + m)^*$  commutes also with  $a^*b^*$ . Hence  $(ab + m)^*$  commutes with  $((1 - a)^*b^*)(b^*)^{-1}(a^*)^{-1} = (a^{-1} - 1)^*$ . Hence we conclude that  $a^*(a^{-1} - 1)^*(ab + m)^*(ab)^*$  is a path in  $\Delta$ , this completes the proof of (1). The proof of (2) is similar since  $a(1 - b) + ab = a$ .

(2.9) *Let  $a, b \in G \setminus N$ . Then*

(1) *Assume that  $N(ab) \not\subseteq N(a)$  and  $-1 \notin N(b)$ . Then for all  $m \in N(ab) \setminus N(a)$ ,*

$$a^*(a + m)^*(b - 1)^*b^* \text{ is a path in } \Delta.$$

(2) *Assume that  $N(ab) \not\subseteq N(b)$  and  $-1 \notin N(a)$ . Then for all  $m \in N(ab) \setminus N(b)$ ,*

$$a^*(a - 1)^*(b + m)^*b^* \text{ is a path in } \Delta.$$

*Proof.* First note that

$$a(b-1) + a = ab.$$

Let  $m \in N(ab) \setminus N(a)$ . Then

$$a(b-1) + a + m = ab + m \in N.$$

Hence  $(a+m)^*$  commutes with  $a^*(b-1)^*$ . Of course  $(a+m)^*$  commutes with  $a^*$ , so  $(a+m)^*$  commutes with  $(b-1)^*$ . Hence  $a^*(a+m)^*(b-1)^*b^*$  is a path in  $\Delta$ . This proves (1). The proof of (2) is similar because  $(a-1)b + b = ab$ .

(2.10) Let  $a, b \in G \setminus N$ . Assume

- (i)  $-1 \notin N(a) \cup N(b)$ .
- (ii) For all  $g \in G$ ,  $-1 \in N(ab^g)$ .

Then  $G^*$  is not simple.

*Proof.* Let  $g \in G$ . Note that by 1.8.2,  $-1 \notin N(b^g)$ , for all  $g \in G$ . Thus by (ii),  $N(ab^g) \not\subseteq N(b^g)$  and  $-1 \in N(ab^g) \setminus N(b^g)$ . Hence by 2.9.2,  $a^*(a-1)^*(b^g-1)^*b^*$  is a path in  $\Delta$ . In particular

$$(*) \quad d((a-1)^*, (b^g-1)^*) \leq 1, \text{ for all } g \in G.$$

Note now that  $(b^g-1)^* = ((b-1)^*)^{g^*}$ , so that  $C^* := \{(b^g-1)^* : g \in G\}$  is a conjugacy class of  $G^*$ . Now (\*) implies that  $(a-1)^*$  commutes with every element of  $C^*$ , so that  $G^*$  is not simple.

(2.11) Let  $x, y \in G \setminus N$  and  $n, m \in N$  such that

- (a)  $xny \notin N$ .
- (b)  $m \in N(xn) \cap N(ny)$ .
- (c)  $-1 \notin N(ny) \cap N(xn)$ .
- (d)  $m \notin N(x) \cup N(y)$ .
- (e)  $-1 \notin N(x^{-1}) \cup N(y^{-1})$ .

Then

- (1) If  $m \in N(xny)$  and  $-1 \notin N(ny)$ , then  $x^*(x+m)^*(ny-1)^*y^*$  is a path in  $\Delta$ .
- (2) If  $m \in N(xny)$  and  $-1 \notin N(xn)$ , then  $x^*(xn-1)^*(y+m)^*y^*$  is a path in  $\Delta$ .
- (3) If  $m \notin N(xny)$ , then  $x^*(x^{-1}-1)^*(xny+m)^*(y^{-1}-1)^*y^*$  is a path in  $\Delta$ .
- (4)  $d(x^*, y^*) \leq 4$ .

*Proof.* Suppose first that  $m \in N(xny)$  and  $-1 \notin N(ny)$ ; then since  $m \notin N(x)$ , we see that  $m \in N(xny) \setminus N(x)$ . Since  $-1 \notin N(ny)$ , we get (1) from 2.9.1.



Suppose next that  $m \in N(xny)$  and  $-1 \notin N(xn)$ ; then since  $m \notin N(y)$ , we see that  $m \in N(xny) \setminus N(y)$ . Since  $-1 \notin N(xn)$ , we get (2) from 2.9.2.

Now assume  $m \notin N(xny)$ . Since  $m \in N(xn)$ , we see that  $m \in N(xn) \setminus N(xny)$ . Further,  $-1 \notin N(y^{-1})$ ; hence, by 2.8.2,  $y^*(y^{-1} - 1)^*(xny + m)^*$  is a path in  $\Delta$ . Next, since  $m \in N(ny)$ , we see that  $m \in N(ny) \setminus N(xny)$ . Further  $-1 \notin N(x^{-1})$ ; hence, by 2.8.1,  $x^*(x^{-1} - 1)^*(xny + m)^*$  is a path in  $\Delta$ . Hence (3) follows and (4) is immediate from (1), (2) and (3).

**3. The definition of the  $U$ -Hypothesis; notation and preliminaries; the proof that if  $\text{diam}(\Delta) > 4$  then  $G$  satisfies the  $U$ -Hypothesis**

In this section we define the  $U$ -Hypothesis which will play a crucial role in the paper. We also establish some notation which will hold throughout the paper and give some preliminary results. Finally, in Theorem 3.18, we prove that if  $\text{diam}(\Delta) > 4$ , then  $G$  satisfies the  $U$ -Hypothesis.

*Definition.* We say that  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}$  (or just that  $G$  satisfies the  $U$ -Hypothesis) if there exists a normal subset  $\emptyset \neq \mathbb{N} \subsetneq G$  such that  $\mathbb{N} \subsetneq N$  is a proper subset of  $N$  and if we set  $\bar{\mathbb{N}} = N \setminus \mathbb{N}$ , then

- (U1)  $1, -1 \in \mathbb{N}$ .
- (U2)  $\mathbb{N}^2 = \mathbb{N}$ .
- (U3) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} + 1 \in \mathbb{N}$  and  $\bar{n} - 1 \in N$ .

*Notation.* Let  $x^* \in G^* \setminus \{1^*\}$  and let  $C^* \subseteq G^* - \{1^*\}$  be a conjugacy class of  $G^*$ .

- (1) Denote  $\mathbb{P}_{x^*} = \{a \in Nx : 1 \in N(a)\}$ .
- (2) Denote
 
$$\mathbb{N}_{x^*} = \{n \in N : n \in N(a), \text{ for all } a \in \mathbb{P}_{x^*}\},$$

$$\bar{\mathbb{N}}_{x^*} = N \setminus \mathbb{N}_{x^*}.$$
- (3) Let  $U_{x^*} = \{n \in N : n, n^{-1} \in \mathbb{N}_{x^*}\}$ .
- (4) Let  $\mathbb{M}_{x^*} = \mathbb{N}_{x^*} \setminus U_{x^*}$ .
- (5) Let  $\mathbb{O}_{x^*} = \{x_1 \in Nx : -1 \notin N(x_1) \cup N(x_1^{-1})\}$ .
- (6) Denote by  $C_{x^*}$  the conjugacy class of  $x^*$  in  $G^*$ .
- (7) Denote  $\hat{C} = \{c \in G : c^* \in C^*\}$ .
- (8) Let  $\mathbb{P}_{C^*} = \bigcup_{y^* \in C^*} \mathbb{P}_{y^*}$ .
- (9) Denote

$$\mathbb{N}_{C^*} = \bigcap_{y^* \in C^*} \mathbb{N}_{y^*},$$

$$\bar{\mathbb{N}}_{C^*} = N \setminus \mathbb{N}_{C^*}.$$

- (10) Denote  $U_{C^*} = \bigcap_{y^* \in C^*} U_{y^*} = \{n \in N : n, n^{-1} \in \mathbb{N}_{C^*}\}$ .  
 (11) Let  $\mathbb{M}_{C^*} = \mathbb{N}_{C^*} \setminus U_{C^*}$ .

*Definition.* We define three binary relations on  $(G^* \setminus \{1^*\}) \times (G^* \setminus \{1^*\})$ . These relations will play a crucial role throughout this paper. Given a binary relation  $R$  on  $(G^* \setminus \{1^*\}) \times (G^* \setminus \{1^*\})$ ,  $R(x^*, y^*)$  means that  $(x^*, y^*) \in R$ . Here are our binary relations: Let  $(x^*, y^*) \in (G^* \setminus \{1^*\}) \times (G^* \setminus \{1^*\})$ .

$\text{In}(x^*, y^*)$ : For all  $a \in Nx$  and  $b \in Ny$ , either  $N(a) \subseteq N(b)$ , or  $N(b) \subseteq N(a)$ . Note that  $\text{In}(x^*, y^*)$  is a symmetric relation.

$\text{Inc}(y^*, x^*)$ :  $\text{In}(y^*, x^*)$  and for all  $b \in \mathbb{P}_{y^*}$ , there exists  $a \in \mathbb{P}_{x^*}$  such that  $N(b) \supseteq N(a)$ . Note that  $\text{Inc}(y^*, x^*)$  is not necessarily symmetric.

$T(x^*, y^*)$ : For all  $(a, b) \in Nx \times Ny$ , and all  $n \in N \setminus (N(a) \cup N(b))$

$$N(a + n) \supseteq N(a) \cap N(b) \subseteq N(b + n).$$

Note that  $T(x^*, y^*)$  is symmetric.

(3.1) Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and let  $g \in G$ . Then

- (1)  $g^{-1}\mathbb{P}_{x^*}g = \mathbb{P}_{(g^{-1}xg)^*}$ .
- (2)  $g^{-1}\mathbb{N}_{x^*}g = \mathbb{N}_{(g^{-1}xg)^*}$  and  $g^{-1}\bar{\mathbb{N}}_{x^*}g = \bar{\mathbb{N}}_{(g^{-1}xg)^*}$ .
- (3)  $\mathbb{N}_{C_{x^*}}$  is a normal subset of  $G$ .
- (4) If  $-1 \in \mathbb{N}_{x^*}$ , then  $-1 \in \mathbb{N}_{C_{x^*}}$ .
- (5) If  $\mathbb{N}_{y^*} \supseteq \mathbb{N}_{x^*}$ , then  $\mathbb{N}_{C_{y^*}} \supseteq \mathbb{N}_{C_{x^*}}$ .
- (6)  $g^{-1}\mathbb{M}_{x^*}g = \mathbb{M}_{(g^{-1}xg)^*}$ ,  $g^{-1}U_{x^*}g = U_{(g^{-1}xg)^*}$  and  $g^{-1}\mathbb{O}_{x^*}g = \mathbb{O}_{(g^{-1}xg)^*}$ .

*Proof.* For (1), it suffices to show that  $g^{-1}\mathbb{P}_{x^*}g \subseteq \mathbb{P}_{(g^{-1}xg)^*}$ . Let  $a \in \mathbb{P}_{x^*}$ . Then  $a \in Nx$  and  $1 \in N(a)$ , so that, by 1.8,  $1 \in N(a^g)$ , and clearly,  $a^g \in Nx^g$ . Hence  $a^g \in \mathbb{P}_{(xg)^*}$ . For (2), it suffices to show that  $g^{-1}\mathbb{N}_{x^*}g \subseteq \mathbb{N}_{(g^{-1}xg)^*}$ . Let  $n \in \mathbb{N}_{x^*}$ . Then  $n \in N(a)$ , for all  $a \in \mathbb{P}_{x^*}$ ; hence, by 1.8,  $n^g \in N(c)$ , for all  $c \in g^{-1}\mathbb{P}_{x^*}g$ . Now, by (1),  $n^g \in \mathbb{N}_{(g^{-1}xg)^*}$ . Note that (3) and (4) are immediate from (2).

For (5), let  $z^* \in C_{y^*}$ . Let  $g \in G$ , with  $(y^g)^* = z^*$ . By (2),  $\mathbb{N}_{z^*} \supseteq \mathbb{N}_{(xg)^*} \supseteq \mathbb{N}_{C_{x^*}}$ . As this holds for all  $z^* \in C_{y^*}$ , we see that  $\mathbb{N}_{C_{y^*}} \supseteq \mathbb{N}_{C_{x^*}}$ .

The proof of (6) is similar to the proof of (2) and we omit the details.

(3.2) Let  $x^* \in G^* \setminus \{1^*\}$ , let  $\alpha \in \{x^*, C_{x^*}\}$  and set  $\mathbb{P} = \mathbb{P}_\alpha$  and  $\mathbb{N} = \mathbb{N}_\alpha$ .

Then

- (1)  $1 \in \mathbb{N}$ .
- (2)  $n \in \mathbb{N}$  if and only if  $n^{-1}\mathbb{P} \subseteq \mathbb{P}$ .
- (3) If  $n \in \mathbb{N}$ , then  $n\mathbb{N} \subseteq \mathbb{N}$ .
- (4) If  $\alpha = C_{x^*}$ , then  $\mathbb{N}$  is a normal subset of  $G$ .
- (5) If  $-1 \in \mathbb{N}$ , then  $-\mathbb{N} = \mathbb{N}$ .

*Proof.* (1) is by the definition of  $\mathbb{N}$ . Let  $n \in N$ . Suppose  $n^{-1}\mathbb{P} \subseteq \mathbb{P}$ . Let  $a \in \mathbb{P}$ . Then  $n^{-1}a \in \mathbb{P}$  and hence,  $1 \in N(n^{-1}a)$ ; so by 1.8.1,  $n \in N(a)$ . As this holds for all  $a \in \mathbb{P}$ ,  $n \in \mathbb{N}$ . Suppose  $n \in \mathbb{N}$  and let  $a \in \mathbb{P}$ ; then  $n \in N(a)$ ; so by 1.8.1,  $1 \in N(n^{-1}a)$ , and  $n^{-1}a \in \mathbb{P}$ .

Let  $n \in \mathbb{N}$ . Then by (2), for all  $a \in \mathbb{P}$ ,  $\mathbb{N} \subseteq N(n^{-1}a)$ . Hence  $n\mathbb{N} \subseteq N(a)$ , for all  $a \in \mathbb{P}$ ; that is,  $n\mathbb{N} \subseteq \mathbb{N}$ . (4) is 3.1.3. (5) is immediate from (3).

(3.3) Let  $x^* \in G^* \setminus \{1^*\}$ ,  $\alpha \in \{x^*, C_{x^*}\}$  and set  $\mathbb{N} = \mathbb{N}_\alpha$  and  $U = U_\alpha$ .

Then

- (1)  $U = \{n \in N : n\mathbb{N} = \mathbb{N}\} = \{n \in N : n\bar{\mathbb{N}} = \bar{\mathbb{N}}\}$ .
- (2)  $U = \{n \in N : \mathbb{N}n = \mathbb{N}\} = \{n \in N : \bar{\mathbb{N}}n = \bar{\mathbb{N}}\}$ .
- (3)  $U$  is a subgroup of  $G$ ; further, if  $\alpha = C_{x^*}$ , then  $U$  is normal in  $G$ .
- (4) If  $-1 \in \mathbb{N}$ , then  $-1 \in U$ .

*Proof.* We start with a proof of (1). Clearly since  $N$  is a disjoint union of  $\mathbb{N}$  and  $\bar{\mathbb{N}}$ ,  $\{n \in N : n\mathbb{N} = \mathbb{N}\} = \{n \in N : n\bar{\mathbb{N}} = \bar{\mathbb{N}}\}$ . Let  $u \in U$ ; then by 3.2.3,  $u\mathbb{N} \subseteq \mathbb{N}$  and  $u^{-1}\mathbb{N} \subseteq \mathbb{N}$ . Hence  $u\mathbb{N} = \mathbb{N}$ . Conversely let  $n \in N$  and suppose  $n\mathbb{N} = \mathbb{N}$ . As  $1 \in \mathbb{N}$ ,  $n \in \mathbb{N}$  and as  $n^{-1}\mathbb{N} = \mathbb{N}$ ,  $n^{-1} \in \mathbb{N}$ , so  $n \in U$ . This proves (1). The proof of (2) is identical to the proof of (1). (3) follows from (1) and the fact that if  $\alpha = C_{x^*}$ ,  $\mathbb{N}$  is a normal subset of  $G$ . (4) is immediate from the definition of  $U$ .

(3.4) Let  $x^* \in G^* \setminus \{1^*\}$  and set  $\mathbb{P} = \mathbb{P}_{x^*}$ ,  $U = U_{x^*}$ . Let  $a \in Nx$  and  $n \in N$ . Then  $n \in N(a)$  if and only if  $(nU) \cup (Un) \subseteq N(a)$ .

*Proof.* If  $(nU) \cup (Un) \subseteq N(a)$ , then since  $1 \in U$ ,  $n \in N(a)$ . Suppose  $n \in N(a)$ . Then  $1 \in N(n^{-1}a) \cap N(an^{-1})$ , by 1.8.1. Hence, by definition,  $n^{-1}a, an^{-1} \in \mathbb{P}$ , so that  $U \subseteq N(n^{-1}a) \cap N(an^{-1})$ . Now 1.8.1 implies that  $(nU) \cup (Un) \subseteq N(a)$ , as asserted.

(3.5) Let  $x^* \in G^* \setminus \{1^*\}$  and set  $U = U_{x^*}$ . Suppose that  $U = U_{(x^{-1})^*}$  and that  $-1 \in U$ . Let  $x_1 \in \mathbb{O}_{x^*}$ . Then  $\mathbb{O}_{x^*} \supseteq (Ux_1) \cup (x_1U)$ .

*Proof.* Let  $u \in U$ . Suppose  $-1 \in N(ux_1)$ . Then  $-u^{-1} \in N(x_1)$ . By 3.4,  $U \subseteq N(x_1)$ , and in particular,  $-1 \in N(x_1)$ , a contradiction. Similarly  $-1 \notin N(x_1^{-1}u)$ , so that  $Ux_1 \subseteq \mathbb{O}_{x^*}$ . The proof that  $x_1U \subseteq \mathbb{O}_{x^*}$  is similar.

(3.6) Let  $x^* \in G^* \setminus \{1^*\}$ . Then the following conditions are equivalent.

- (1)  $\mathbb{O}_{x^*} = \emptyset$ .
- (2) For all  $a \in Nx$ ,  $-1 \in N(a) \cup N(a^{-1})$ .
- (3) For all  $a \in Nx$ , and  $n \in N \setminus N(a)$ ,  $a + n \in Nx$ .
- (4) There exists  $a \in Nx$  such that for all  $n \in N \setminus N(a)$ ,  $a + n \in Nx$ .

*Proof.* (1) if and only if (2) is by definition.

(2)  $\rightarrow$  (3). Let  $a \in Nx$  and  $n \in N \setminus N(a)$ . Then  $-1 \notin N(-n^{-1}a)$ ; so by (2),  $-1 \in N(-a^{-1}n)$ ; that is,  $n^{-1} \in N(a^{-1})$ . Hence  $a^{-1} + n^{-1} \in N$  and multiplying by  $a$  on the right and  $n$  on the left we get  $a + n \in Na = Nx$ .

(3)  $\rightarrow$  (4). This is immediate.

(4)  $\rightarrow$  (3). Let  $b \in Nx$  and write  $b = ma$ , for some  $m \in N$ . Then  $N(b) = mN(a)$ . Let  $n \in N \setminus N(b)$ ; then  $n \notin mN(a)$ , so  $m^{-1}n \notin N(a)$ . Hence, by (4),  $a + m^{-1}n \in Nx$ , so that  $ma + n \in Nx$ ; that is,  $b + n \in Nx$ , so (3) holds.

(3)  $\rightarrow$  (2). Let  $a \in Nx$ , and suppose  $-1 \notin N(a)$ . Then by (3),  $a - 1 \in Na$ . Now, multiplying by  $a^{-1}$  on the right we see that  $a^{-1} - 1 \in N$ ; that is,  $-1 \in N(a^{-1})$ .

(3.7) Let  $a, b \in G \setminus N$  and  $\varepsilon \in \{1, -1\}$ . Then

(1) If  $a + b \neq 0$  and  $N(a + b) \not\subseteq N(a)$ , then

$$a^*(a + n)^*b^* \text{ is a path in } \Delta, \text{ for any } n \in N(a + b) \setminus N(a).$$

(2) If  $a + b \notin N$  and  $N(a) \not\subseteq N(a + b)$ , then

$$b^*(a + b + n)^*(a + b)^* \text{ is a path in } \Delta, \text{ for any } n \in N(a) \setminus N(a + b).$$

(3) If  $a^*z^*(a + \varepsilon b)^*$  is a path in  $\Delta$ ,  $\varepsilon \notin N(a^{-1}b)$  and  $a^{-1}b \notin N$ , then  $a^*z^*(\varepsilon + a^{-1}b)^*(a^{-1}b)^*$  is a path in  $\Delta$ ; so in particular,  $d(a^*, (a^{-1}b)^*) \leq 3$ .

*Proof.* For (1), set  $c = a + b$  and let  $n \in N(a + b) \setminus N(a)$ . Then  $(a + n) + b = c + n \in N$ . By Remark 2.2,  $d((a + n)^*, b^*) \leq 1 \geq d((a + n)^*, a^*)$ , and (1) follows.

For (2), note that  $a = (a + b) - b$ , so (2) follows from (1).

Finally, for (3), note that  $a + \varepsilon b = \varepsilon a(\varepsilon + a^{-1}b)$ . Further,  $z^*$  commutes with  $a^*$  and  $(a + \varepsilon b)^*$ , so that  $z^*$  commutes with  $(\varepsilon + a^{-1}b)^*$ , and of course  $a^{-1}b$  commutes with  $(\varepsilon + a^{-1}b)$ . Hence, if  $(\varepsilon + a^{-1}b) \notin N$ ,  $a^{-1}b \notin N$ ,  $a^*z^*(\varepsilon + a^{-1}b)^*(a^{-1}b)^*$  is a path in  $\Delta$ .

(3.8) Let  $x, y \in G \setminus N$  and let  $\bar{n} \in N \setminus (N(x) \cup N(y))$ . Suppose  $d(x^*, y^*) > 2$ . Then  $\bar{n} + m \in N$ , for all  $m \in N(x + \bar{n}) \cap N(y + \bar{n})$ .

*Proof.* Let  $m \in N(x + \bar{n}) \cap N(y + \bar{n})$ . Then  $x + (\bar{n} + m) \in N$  and  $y + (\bar{n} + m) \in N$ . Suppose  $\bar{n} + m \notin N$ . Then, by Remark 2.2,  $d((x + (\bar{n} + m))^*, (\bar{n} + m)^*) \leq 1 \geq d(y^*, (\bar{n} + m)^*)$ . It follows that  $d(x^*, y^*) \leq 2$ , a contradiction.

(3.9) Let  $x^*, y^* \in G^* \setminus \{1^*\}$ . Then each of the following conditions imply  $\text{In}(x^*, y^*)$ .

(1)  $d(x^*, y^*) > 4$ .

(2)  $d(x^*, y^*) > 2$ , and  $d(x^*, (x^{-1}y)^*) > 3$ .

*Proof.* The fact that (1) implies  $\text{In}(x^*, y^*)$  derives from Remark 2.4. Now suppose (2) holds. Let  $(a, b) \in Nx \times Ny$ . Note that since  $d(a^*, b^*) > 2$ , 2.3.1 implies that

$$(i) \quad N(a+b) \subseteq N(a) \cap N(b).$$

Suppose  $N(b) \neq N(a+b) \neq N(a)$ . Then  $N(a) \not\subseteq N(a+b)$  and  $N(b) \not\subseteq N(a+b)$ , so by 3.7.2,

$$(ii) \quad \begin{aligned} & b^*(a+b+n)^*(a+b)^* \text{ is a path in } \Delta, \text{ for any } n \in N(a) \setminus N(a+b) \\ & a^*(a+b+m)^*(a+b)^* \text{ is a path in } \Delta, \text{ for any } m \in N(b) \setminus N(a+b). \end{aligned}$$

From (ii) we get that

$$(iii) \quad a^*(a+b+m)^*(a+b)^*(a+b+n)^*b^* \text{ is a path in } \Delta$$

for any  $m \in N(b) \setminus N(a+b)$  and  $n \in N(a) \setminus N(a+b)$ . Suppose  $1+a^{-1}b \in N$ , then  $(a+b)^* = a^*$ , and then from (iii) we get that  $d(a^*, b^*) \leq 2$ , contradicting the choice of  $a^*, b^*$ . Hence  $1+a^{-1}b \notin N$ , so by 3.7.3,  $d(a^*, (a^{-1}b)^*) \leq 3$ , a contradiction.

We may now conclude that either  $N(a+b) = N(a)$ , or  $N(a+b) = N(b)$ . Hence, by (i), either  $N(a) \subseteq N(b)$ , or  $N(b) \subseteq N(a)$ , as asserted.

(3.10) *Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and assume  $\text{In}(x^*, y^*)$ . Then either  $\text{Inc}(y^*, x^*)$  or  $\text{Inc}(x^*, y^*)$ .*

*Proof.* Suppose that  $\text{Inc}(y^*, x^*)$  is false. Then, there exists  $b \in \mathbb{P}_{y^*}$ , such that  $N(a) \not\supseteq N(b)$ , for all  $a \in \mathbb{P}_{x^*}$ . Thus  $\text{Inc}(x^*, y^*)$  holds.

(3.11) *Let  $x^*, y^* \in G^* \setminus \{1^*\}$  such that  $\text{In}(x^*, y^*)$ . Then*

- (1) *If  $\text{Inc}(y^*, x^*)$ , then  $\mathbb{N}_{y^*} \supseteq \mathbb{N}_{x^*}$ , and  $U_{y^*} \geq U_{x^*}$ .*
- (2) *If  $(a, b) \in Nx \times Ny$  such that  $N(b) \supseteq N(a)$ , then  $N(-b) \supseteq N(a)$ .*
- (3) *If  $(a, b) \in Nx \times Ny$  such that  $N(b) \not\supseteq N(a)$ , then  $N(-b) \not\supseteq N(a)$ .*
- (4) *If  $\text{Inc}(y^*, x^*)$ , then  $-1 \in \mathbb{N}_{y^*}$  and hence  $-1 \in U_{y^*}$ .*

*Proof.* For (1), let  $b \in \mathbb{P}_{y^*}$ . By  $\text{Inc}(y^*, x^*)$ , there exists  $a \in \mathbb{P}_{x^*}$  such that  $N(b) \supseteq N(a)$ . But, by definition,  $N(a) \supseteq \mathbb{N}_{x^*}$ . Hence  $N(b) \supseteq \mathbb{N}_{x^*}$ . As this holds for all  $b \in \mathbb{P}_{y^*}$ ,  $\mathbb{N}_{y^*} \supseteq \mathbb{N}_{x^*}$ . Then, it is immediate from the definition of  $U_{x^*}$  that  $U_{y^*} \geq U_{x^*}$ .

Let  $(a, b) \in Nx \times Ny$  such that  $N(b) \supseteq N(a)$ . Let  $s \in N(b)$ . Suppose  $-s \notin N(b)$ . Then  $-s \notin N(a)$  and  $-s \in N(-b)$ . Hence, by  $\text{In}(x^*, y^*)$ ,  $N(-b) \not\supseteq N(a)$ . Thus we may assume that  $-s \in N(b)$ , for all  $s \in N(b)$ . But then  $N(-b) = N(b)$ , by 1.8.1, and again  $N(-b) \supseteq N(a)$ ; in addition, if  $N(b) \not\supseteq N(a)$ , then  $N(-b) = N(b) \not\supseteq N(a)$ . This show (2) and (3).

Suppose  $\text{Inc}(y^*, x^*)$ . Let  $b \in \mathbb{P}_{y^*}$ ; then there exists  $a \in \mathbb{P}_{x^*}$ , such that  $N(b) \supseteq N(a)$ . By (2),  $N(b) \supseteq N(-a)$ , so as  $-1 \in N(-a)$ ,  $-1 \in N(b)$ , as this holds for all  $b \in \mathbb{P}_{y^*}$ ,  $-1 \in \mathbb{N}_{y^*}$ . This proves the first part of (4) and the second part of (4) is immediate from the definitions.

(3.12) Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and assume

- (i)  $d(x^*, y^*) > 2$ .
- (ii)  $\text{In}(x^*, y^*)$ .

Let  $(a, b) \in Nx \times Ny$  and suppose  $N(b) \supseteq N(a)$ . Then

- (1)  $N(a + \varepsilon b) = N(a)$ , for  $\varepsilon \in \{1, -1\}$ .
- (2) If  $N(b) \not\supseteq N(a)$ , then  $a^*(a + \varepsilon b + n_\varepsilon)^*(a + \varepsilon b)^*$  is a path in  $\Delta$ , for any  $n_\varepsilon \in N(\varepsilon b) \setminus N(a)$ , where  $\varepsilon \in \{1, -1\}$ .

*Proof.* First note that by 3.11.2,  $N(-b) \supseteq N(a)$ . Let  $\varepsilon \in \{1, -1\}$ . As  $d(a^*, b^*) > 2$ ,  $N(a + \varepsilon b) \subseteq N(a)$ , by 2.3.1. Let  $m \in N(a)$ . Then  $m \in N(\varepsilon b)$ . Suppose  $m \notin N(a + \varepsilon b)$ . Consider the element  $z = a + \varepsilon b + m$ . Since  $m \notin N(a + \varepsilon b)$ ,  $z \notin N$ . However, since  $z = a + (\varepsilon b + m)$  (and  $\varepsilon b + m \in N$ ), Remark 2.2 implies that  $d(z^*, a^*) \leq 1$ . Similarly as  $z = \varepsilon b + (a + m)$  (and  $a + m \in N$ ),  $d(z^*, b^*) \leq 1$ . Thus  $d(a^*, b^*) \leq 2$ , a contradiction. This shows (1).

Assume  $N(b) \not\supseteq N(a)$ . Then by 3.11.3,  $N(-b) \not\supseteq N(a)$ . Let  $n_\varepsilon \in N(\varepsilon b) \setminus N(a)$ ; then (2) follows from 3.7.2.

(3.13) Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and assume that  $d(x^*, y^*) > 3 < d(x^*, (x^{-1}y)^*)$ . Let  $x_1 \in \mathbb{O}_{x^*}$  and  $b \in Ny$ , such that  $1 \notin N(b)$ . Then  $N(x_1) \supseteq N(b)$ .

*Proof.* First note that by 3.9,  $\text{In}(x^*, y^*)$ . Suppose  $N(x_1) \not\supseteq N(b)$ . Then, by 3.12,  $N(x_1 - b) = N(x_1)$ , and

$$(*) \quad x_1^*(x_1 + b + s)^*(x_1 + b)^*$$

is a path in  $\Delta$ , for any  $s \in N(b) \setminus N(x_1)$ . Suppose  $x_1^{-1}b + 1 \in N$ ; that is,  $1 \in N(x_1^{-1}b)$ . Then  $-1 \in N(-x_1^{-1}b)$ , so  $N(x_1^{-1}(-b)) \not\subseteq N(x_1^{-1})$ . As  $-1 \notin N(-b)$ , 2.9.1 implies that  $d(x_1^*, b^*) \leq 3$ , contradicting  $d(x^*, y^*) > 3$ . Thus  $1 \notin N(x_1^{-1}b)$ . Hence by 3.7.3,  $d(x^*, (x^{-1}y)^*) \leq 3$ , a contradiction.

(3.14) Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and assume one of the following conditions holds

- (1)  $d(x^*, y^*) > 4$ .
- (2)  $d(x^*, y^*) > 3$ ,  $\text{In}(x^*, y^*)$  and either  $\mathbb{O}_{x^*} = \emptyset$  or  $\mathbb{O}_{y^*} = \emptyset$ .

Then,  $\text{T}(x^*, y^*)$ .

*Proof.* If  $d(x^*, y^*) > 4$ , then by 3.9,  $\text{In}(x^*, y^*)$  holds. Let  $(a, b) \in Nx \times Ny$  and let  $\bar{n} \in N \setminus (N(a) \cup N(b))$ . By  $\text{In}(x^*, y^*)$ , we may assume without loss of generality that  $N(b) \supseteq N(a)$ . By 3.12,  $N(a - b) = N(a)$ . Note that if (2) holds, then, by 3.6, either  $a + \bar{n} \in Na$ , or  $b + \bar{n} \in Nb$ ; hence, in any case, by Remark 2.2,  $d(a + \bar{n}, b + \bar{n}) > 2$ . But  $a - b = (a + \bar{n}) - (b + \bar{n})$ , and then 2.3.1 implies that  $N(a + \bar{n}) \supseteq N(a - b) = N(a)$ . Further, by 3.11,  $N(-b) \supseteq N(a)$ , and as  $-\bar{n} \notin N(-b)$ ,  $-\bar{n} \notin N(a)$ . Also  $a + b = (a - \bar{n}) + (b + \bar{n})$ , and if (2) holds, then by 3.6, either  $a - \bar{n} \in Na$ , or  $b + \bar{n} \in Nb$ . Hence again, in any case  $d(a - \bar{n}, b + \bar{n}) > 2$  and as above we get  $N(b + \bar{n}) \supseteq N(a + b) = N(a)$ . This shows  $\text{T}(x^*, y^*)$ .

(3.15) *Let  $x^*, y^* \in G^* \setminus \{1^*\}$ . Suppose that*

- (a)  $d(x^*, y^*) > 2$ .
- (b)  $-1 \in \mathbb{N}_{y^*}$ .
- (c) *For all  $\bar{n} \in \bar{\mathbb{N}}_{C_{y^*}}$  and  $m \in \mathbb{N}_{C_{x^*}}$ ,  $\bar{n} + m \in N$ .*

*Then  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{C_{y^*}}$ .*

*Proof.* Set  $\mathbb{N} = \mathbb{N}_{C_{y^*}}$  and  $\mathbb{P} = \mathbb{P}_{C_{y^*}}$ . First note that by (b) and 3.1.4,  $-1 \in \mathbb{N}$ . We first claim that

- (i)  $b + m \in \mathbb{N}_{C_{x^*}}$ , for all  $b \in \mathbb{P}$  and  $m \in \mathbb{N}_{C_{x^*}}$ .

To prove (i), let  $b \in \mathbb{P}$  and  $m \in \mathbb{N}_{C_{x^*}}$ . Let  $a \in \mathbb{P}_{C_{x^*}}$ . Suppose  $a + m \in \bar{\mathbb{N}}$ . Then, by 3.2.5,  $-a - m \in \bar{\mathbb{N}}$ , and by (c),  $(-a - m) + m \in N$ ; hence  $-a \in N$ , a contradiction. Thus  $a + m \in \mathbb{N}$  and hence  $b + (a + m) \in N$ . We have shown that

- (ii)  $a + (b + m) \in N$ , for all  $a \in \mathbb{P}_{C_{x^*}}$ ,  $b \in \mathbb{P}$  and  $m \in \mathbb{N}_{C_{x^*}}$ .

Since  $d(x^*, y^*) > 2$ , we can choose  $a_1 \in \mathbb{P}_{C_{x^*}}$  so that  $d(a_1^*, b^*) > 2$  (see 1.8.5). By (ii), given  $m \in \mathbb{N}_{C_{x^*}}$ ,  $a_1 + (b + m) \in N$ , so if  $b + m \notin N$ , then by Remark 2.2,  $d(a_1^*, (b + m)^*) \leq 1 \geq d(b^*, (b + m)^*)$ , so  $d(a_1^*, b^*) \leq 2$ , a contradiction. This shows that  $b + m \in N$ . Now (ii) implies (i). Next we claim:

- (iii) For all  $\bar{n} \in \bar{\mathbb{N}}$  and  $m \in \mathbb{N}_{C_{x^*}}$ ,  $\bar{n} + m \in \mathbb{N}$ .

Let  $b \in \mathbb{P}$ ,  $\bar{n} \in \bar{\mathbb{N}}$  and  $m \in \mathbb{N}_{C_{x^*}}$ . By (i),  $b + m \in \mathbb{N}_{C_{x^*}}$ , and by (c),  $b + m + \bar{n} \in N$ . As this holds for all  $b \in \mathbb{P}$ ,  $m + \bar{n} \in \mathbb{N}$ , and (iii) is proved.

Finally, let  $\bar{n} \in \bar{\mathbb{N}}$ . Then by 3.2.5,  $-\bar{n} \in \bar{\mathbb{N}}$ , and since  $1 \in \mathbb{N}_{C_{x^*}}$ , (iii) implies that  $-\bar{n} + 1 \in \mathbb{N}$ . Hence

- (iv)  $\bar{n} - 1 \in \mathbb{N}$ .

Now (iii), (iv), our assumption (b) and 3.2 imply that  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}$ .

(3.16) THEOREM. Let  $x^*, y^* \in G^* \setminus \{1^*\}$ . Suppose that

- (a)  $d(x^*, y^*) > 2$ .
- (b)  $-1 \in \mathbb{N}_{y^*}$ .
- (c) For all  $\bar{n} \in \bar{\mathbb{N}}_{y^*}$  and  $m \in \mathbb{N}_{x^*}$ ,  $\bar{n} + m \in N$ .

Then

- (1) For all  $\bar{n} \in \mathbb{N}_{C_{y^*}}$  and  $m \in \mathbb{N}_{C_{x^*}}$ ,  $\bar{n} + m \in N$ .
- (2)  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{C_{y^*}}$ .

*Proof.* Set  $\mathbb{N} = \mathbb{N}_{C_{y^*}}$  and let  $\bar{n} \in \bar{\mathbb{N}}$  and  $m \in \mathbb{N}_{C_{x^*}}$ . We want to show that  $\bar{n} + m \in N$ . After conjugation with some element of  $G$ , and using 3.1, we may assume that  $\bar{n} \in \bar{\mathbb{N}}_{y^*}$ . But  $m \in \mathbb{N}_{C_{x^*}} \subseteq \mathbb{N}_{x^*}$ , so (1) follows from our assumption (c). Then (2) follows from 3.15.

(3.17) THEOREM. Let  $x^*, y^* \in G^* \setminus \{1^*\}$  and assume

- (i)  $d(x^*, y^*) > 2$ .
- (ii)  $\text{Inc}(y^*, x^*)$  and  $\text{T}(x^*, y^*)$ .

Then  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{C_{y^*}}$ .

*Proof.* Set  $\mathbb{N} = \mathbb{N}_{C_{y^*}}$ . We verify assumptions (b) and (c) of Theorem 3.16. Assumption (b) follows from  $\text{Inc}(y^*, x^*)$  and 3.11.4.

It remains to verify assumption (c) of Theorem 3.16. Let  $\bar{n} \in \bar{\mathbb{N}}_{y^*}$  and let  $m \in \mathbb{N}_{x^*}$ . By definition, there exists  $b \in \mathbb{P}_{y^*}$ , such that  $\bar{n} \notin N(b)$ . Let  $a \in \mathbb{P}_{x^*}$ , such that  $N(b) \supseteq N(a)$  (using  $\text{Inc}(y^*, x^*)$ ). By  $\text{T}(x^*, y^*)$ ,  $N(a + \bar{n}) \supseteq N(a) \subseteq N(b + \bar{n})$ . In particular,  $m \in \mathbb{N}_{x^*} \subseteq N(a) \subseteq N(a + \bar{n}) \cap N(b + \bar{n})$ . Since  $d(x^*, y^*) > 2$ , 3.8 implies that  $\bar{n} + m \in N$ , as asserted.

(3.18) THEOREM. Suppose that  $\text{diam}(\Delta) > 4$ . Then there exist conjugacy classes  $A^*, B^* \subseteq G^* \setminus \{1^*\}$  such that

- (1)  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{B^*}$ .
- (2) For all  $b \in \mathbb{P}_{B^*}$ , there exists  $a \in \mathbb{P}_{A^*}$  such that  $d(a^*, b^*) > 4$  and  $N(b) \supseteq N(a)$ .

*Proof.* Let  $x^*, y^* \in \Delta$  be such that  $d(x^*, y^*) > 4$ . By 3.9,  $\text{In}(x^*, y^*)$  and by 3.10, we may assume that  $\text{Inc}(y^*, x^*)$ . Further by 3.14,  $\text{T}(x^*, y^*)$ . Set  $B^* = C_{y^*}$  and  $A^* = C_{x^*}$ . By Theorem 3.17, (1) holds. Let  $b \in \mathbb{P}_{B^*}$ . Then there exists  $g \in G$ , such that  $b^g \in \mathbb{P}_{y^*}$  (see 3.1.1). Since  $\text{Inc}(y^*, x^*)$ , there exists  $a \in \mathbb{P}_{x^*}$  such that  $N(b^g) \supseteq N(a)$ . By 1.8.2,  $N(b) \supseteq N(a^{g^{-1}})$ . Of course  $a^{g^{-1}} \in \mathbb{P}_{A^*}$  and  $d(b^*, (a^{g^{-1}})^*) > 4$ , so (2) holds.

#### 4. The proof that if $\Delta$ is balanced then $G$ satisfies the $U$ -Hypothesis

In this section we continue the notation and definitions of Sections 2 and 3.



*Definitions.* (1) We define a binary relation  $\mathfrak{B}$  on  $(G^* \setminus \{1^*\}) \times (G^* \setminus \{1^*\})$  as follows. Let  $(x^*, y^*) \in (G^* \setminus \{1^*\}) \times (G^* \setminus \{1^*\})$ ,

$\mathfrak{B}(x^*, y^*)$ : The distances  $d(x^*, y^*)$ ,  $d(x^*, x^*y^*)$ ,  $d(y^*, x^*y^*)$ ,  $d(x^*, (x^{-1}y)^*)$ ,  $d(y^*, (x^{-1}y)^*)$  are all greater than 3.

(2) We say that  $\Delta$  is balanced if there exists  $x^*, y^* \in G^* \setminus \{1^*\}$  such that  $\mathfrak{B}(x^*, y^*)$ .

The purpose of this section is to prove the following theorem.

(4.1) THEOREM. *Suppose that  $\Delta$  is balanced. Then there exists a conjugacy class  $C^* \subseteq G^* \setminus \{1^*\}$  such that*

- (1)  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{C^*}$ .
- (2) One of the following holds:
  - (2a)  $\mathbb{O}_{x^*} = \emptyset$ , for some  $x^* \in G^* \setminus \{1^*\}$ .
  - (2b) For all  $m \in \mathbb{M}_{C^*}$ , there exists  $z^* \in C^*$ , such that  $m \in N(z_1)$ , for all  $z_1 \in \mathbb{O}_{z^*}$ .

(4.2) (1)  $\mathfrak{B}$  is symmetric.

(2) If  $\mathfrak{B}(x^*, y^*)$ , then  $\mathfrak{B}((x^{-1})^*, y^*)$ .

*Proof.* Suppose  $\mathfrak{B}(x^*, y^*)$ . We must show that  $\mathfrak{B}(y^*, x^*)$ . By definition,  $d(y^*, x^*) > 3$ . Next since  $d(y^*, x^*y^*) > 3$ , conjugating with  $y^*$  we get that  $d(y^*, y^*x^*) > 3$ . Since  $d(x^*, x^*y^*) > 3$ , conjugating with  $x^*$  we get  $d(x^*, y^*x^*) > 3$ . Since  $d(y^*, (x^{-1}y)^*) > 3$ , inverting  $(x^{-1}y)^*$ , we see that  $d(y^*, (y^{-1}x)^*) > 3$ , finally since  $d(x^*, (x^{-1}y)^*) > 3$ , inverting  $(x^{-1}y)^*$ , we get that  $d(x^*, (y^{-1}x)^*) > 3$ . Hence  $\mathfrak{B}(y^*, x^*)$ . The proof of (2) is similar.

*Notation.* From now until the end of Section 4 we fix  $x, y \in G \setminus N$  such that  $\mathfrak{B}(x^*, y^*)$ . We set

$$S := (\{x, x^{-1}\} \times \{y, y^{-1}\}) \cup (\{y, y^{-1}\} \times \{x, x^{-1}\})$$

and

$$\mathbb{O}_S = \mathbb{O}_{x^*} \cup \mathbb{O}_{(x^{-1})^*} \cup \mathbb{O}_{y^*} \cup \mathbb{O}_{(y^{-1})^*}.$$

(4.3) Let  $(g, h) \in S$ , then

- (1)  $\mathfrak{B}(g^*, h^*)$ .
- (2)  $\text{In}(g^*, h^*)$ .

*Proof.* (1) follows from 4.2 and (2) follows from (1) and 3.9.

(4.4) Suppose  $\mathbb{O}_{x^*} = \emptyset$  or  $\mathbb{O}_{y^*} = \emptyset$ . Then  $G$  satisfies the  $U$ -Hypothesis.

*Proof.* First note that by  $\mathfrak{B}(x^*, y^*)$ , 4.3 and 3.14,  $T(x^*, y^*)$ . Then, by 4.3, and 3.10, we may assume without loss that  $\text{Inc}(y^*, x^*)$ . Now the lemma follows from Theorem 3.17.

In view of 4.4, and symmetry, we assume from now on that

The sets  $\mathbb{O}_{x^*}, \mathbb{O}_{(x^{-1})^*}, \mathbb{O}_{y^*}$ , and  $\mathbb{O}_{(y^{-1})^*}$  are not empty.

*Notation.* Given  $z \in \{x, x^{-1}, y, y^{-1}\}$ ,  $z_1$  will always denote an element in  $\mathbb{O}_{z^*}$ .

(4.5) *Let  $g \in \{x, x^{-1}, y, y^{-1}\}$ ; then  $-1 \in \mathbb{N}_{g^*}$ ,  $-1 \in \mathbb{N}_{C_{g^*}}$  and  $-1 \in U_{g^*}$ .*

*Proof.* Let  $g \neq h \in \{x, x^{-1}, y, y^{-1}\}$ , with  $h \notin \{g, g^{-1}\}$ . By 4.3.1,  $\mathfrak{B}(g^*, h^*)$ . It suffices to show that  $-1 \in \mathbb{N}_{g^*}$ , then by 3.1.4,  $-1 \in \mathbb{N}_{C_{g^*}}$ , and by 3.3.4,  $-1 \in U_{g^*}$ . Letting  $a \in \mathbb{P}_{g^*}$ , we must show that  $-1 \in N(a)$ . Suppose  $-1 \notin N(a)$ , then,  $1 \notin N(-a)$ , so by 3.13,  $N(g_1) \supseteq N(-a)$ . But  $-1 \in N(-a)$ , a contradiction.

(4.6) *Let  $z \in \mathbb{O}_S$ . Then*

- (1)  $N(z) = N(h)$ , for all  $h \in \mathbb{O}_S$ .
- (2)  $1 \notin N(z)$ .
- (3) *If  $a \in Nz$  such that  $1 \notin N(a)$ , then  $N(z) \supseteq N(a)$ .*
- (4) *If  $\bar{n} \in \bar{\mathbb{N}}_{z^*}$ , then  $\bar{n}^{-1} \in N(z)$ .*
- (5)  $N(z) = \mathbb{M}_{z^*}$ .
- (6)  $\mathbb{N}_{z^*}$  is independent of the choice of  $z$ .
- (7)  $U_{z^*}$  is independent of the choice of  $z$ .

*Proof.* We show that  $\mathfrak{B}(x^*, y^*)$  implies  $N(x_1) \supseteq N(y_1)$ . Then, (1) follows from 4.3.1. A similar application of 4.3.1 will be used throughout the proof. Now  $1 \notin N(-y_1)$ , so by 3.13,  $N(x_1) \supseteq N(-y_1)$ . Then, by 3.11,  $N(x_1) \supseteq N(y_1)$ .

Suppose  $1 \in N(x_1)$ . Then  $-1 \in N(-x_1)$ , so that  $N(-x_1) \not\supseteq N(y_1)$ . By 3.11,  $N(x_1) \not\supseteq N(y_1)$ , contradicting (1). Hence (2) holds.

(3) is immediate from 3.11, (1) and 4.3.2. To show (4), let  $\bar{n} \in \bar{\mathbb{N}}_{z^*}$ . By definition, there exists  $a \in \mathbb{P}_{z^*}$ , such that  $\bar{n} \notin N(a)$ . Then,  $1 \notin N(\bar{n}^{-1}a)$ , and so by (3),  $N(z) \supseteq N(\bar{n}^{-1}a)$ . But  $\bar{n}^{-1} \in N(\bar{n}^{-1}a)$ , so that  $\bar{n}^{-1} \in N(z)$ .

Next let  $h \in \mathbb{O}_S$ , with  $h^* \neq z^*$ ,  $(z^{-1})^*$ . Note that  $N(h) \subseteq N(b)$ , for all  $b \in \mathbb{P}_{z^*}$ , by  $\text{In}(h^*, z^*)$ , so  $N(z) = N(h) \subseteq \mathbb{N}_{z^*}$ . Let  $u \in U_{z^*}$ . If  $u \in N(z)$ ; then, by 3.4,  $U_{z^*} \subseteq N(z)$ , a contradiction, as  $-1 \in U_{z^*}$ . Hence  $N(z) \subseteq \mathbb{M}_{z^*}$ . Let  $m \in \mathbb{M}_{z^*}$ ; then, by definition,  $m^{-1} \in \bar{\mathbb{N}}_{z^*}$ , so by (4),  $m = (m^{-1})^{-1} \in N(z)$ . Hence  $N(z) = \mathbb{M}_{z^*}$ , and (5) holds.

To show (6), by 4.3.1, it suffices to show that  $\bar{\mathbb{N}}_{x^*} \subseteq \bar{\mathbb{N}}_{y^*}$  (so  $\mathbb{N}_{x^*} \supseteq \mathbb{N}_{y^*}$ ). Let  $\bar{n} \in \bar{\mathbb{N}}_{x^*}$ ; then by (4) and (1),  $\bar{n}^{-1} \in N(y_1)$ . But by (5),  $N(y_1) = \mathbb{M}_{y^*}$ , so by definition,  $\bar{n} = (\bar{n}^{-1})^{-1} \in \bar{\mathbb{N}}_{y^*}$ . Finally (7) is immediate from (6).

(4.7) Let  $\bar{n} \in \bar{\mathbb{N}}_{x^*}$  and  $m \in \mathbb{N}_{y^*}$ . Then  $\bar{n} + m \in N$ .

*Proof.* Set  $\mathbb{N} = \mathbb{N}_{x^*}$ ,  $\mathbb{M} = \mathbb{M}_{x^*}$  and  $U = U_{x^*}$ . Note that by 4.6,  $\mathbb{N} = \mathbb{N}_{z^*}$ ,  $\mathbb{M} = \mathbb{M}_{z^*} = N(z)$ , and  $U = U_{z^*}$ , for all  $z \in \mathbb{O}_S$ . First we claim that

(i)  $z + \bar{n} \in Nz$ , for all  $z \in \mathbb{O}_S$ .

Indeed, by 4.6.4,  $\bar{n}^{-1} \in \mathbb{M}$ , so as  $\mathbb{M} = N(z^{-1})$ ,  $z^{-1} + \bar{n}^{-1} \in N$  and (i) holds.

Further, by 3.12,  $N(x_1 - y_1) = N(x_1) = \mathbb{M}$ , and by (i),  $d((x_1 + \bar{n})^*, (y_1 + \bar{n})^*) > 3$ , hence, by 2.3.1,  $\mathbb{M} = N(x_1 - y_1) = N((x_1 + \bar{n}) - (y_1 + \bar{n})) \subseteq N(x_1 + \bar{n})$ . Similarly,  $\mathbb{M} \subseteq N(y_1 + \bar{n})$ , so that

(ii)  $N(x_1 + \bar{n}) \supseteq \mathbb{M} \subseteq N(y_1 + \bar{n})$

by 3.8,  $\bar{n} + \mathbb{M} \subseteq N$ , for all  $\bar{n} \in \bar{\mathbb{N}}$ . We have shown

(iii)  $\bar{n} + m \in N$ , for all  $\bar{n} \in \bar{\mathbb{N}}$  and  $m \in \mathbb{M}$ .

Next we show that  $\bar{n} + 1 \in N$ , for all  $\bar{n} \in \bar{\mathbb{N}}$ . We first claim that

(iv)  $N(x_1 + 1) \supseteq \mathbb{M}$ .

Suppose not and let  $m \in \mathbb{M} \setminus N(x_1 + 1)$ ; recall that by 3.12,  $N(x_1 - y_1) = N(x_1) = \mathbb{M}$ . But  $x_1 - y_1 = (x_1 + 1) - (y_1 + 1)$ , so  $m \in N(x_1 - y_1) \setminus N(x_1 + 1)$ . Hence, by 3.7.1,

(v)  $(x_1 + 1)^*(x_1 + 1 + m)^*(y_1 + 1)^*$  is a path in  $\Delta$ .

Replacing  $y_1$ , by  $y_1^{-1}$ , the same argument shows that

(vi)  $(x_1 + 1)^*(x_1 + 1 + m)^*(y_1^{-1} + 1)^*$  is a path in  $\Delta$ .

It follows from (v) and (vi) that  $(x_1 + 1 + m)^*$  commutes with  $(y_1^{-1} + 1)$  and  $(y_1 + 1)$ . But  $y_1 + 1 = y_1(y_1^{-1} + 1)$ , so  $(x_1 + 1 + m)^*$  commutes with  $y_1^*$ . However, applying Remark 2.2 twice, we see that  $d((x_1 + 1 + m)^*, x_1^*) \leq 2$ . Hence we get that  $d(x_1^*, y_1^*) \leq 3$ , contradicting  $\mathfrak{B}(x^*, y^*)$ . This shows (iv). Similarly,  $N(y_1 + 1) \supseteq \mathbb{M}$ . Since  $\bar{n}^{-1} \in \mathbb{M}$ , 3.8 implies that  $\bar{n}^{-1} + 1 \in N$ , so  $\bar{n} + 1 = \bar{n}(\bar{n}^{-1} + 1) \in N$ . We have shown

(vii)  $\bar{n} + 1 \in N$ , for all  $\bar{n} \in \bar{\mathbb{N}}$ .

Let  $u \in U$ . Then  $u^{-1}\bar{n} \in \bar{\mathbb{N}}$ , by 3.3, so by (vii),  $u^{-1}\bar{n} + 1 \in N$ , so  $\bar{n} + u \in N$ . We have shown

(viii)  $\bar{n} + u \in N$ , for all  $u \in U$ .

Since  $\mathbb{N}$  is the union of  $\mathbb{M}$  and  $U$ , (iii) and (viii) complete the proof.

(4.8)  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N}_{C_{x^*}}$ .

*Proof.* This follows immediately from 4.5, 4.7 and Theorem 3.16.

(4.9) Let  $\mathbb{N} = \mathbb{N}_{C_x^*}$  and  $\mathbb{M} = \mathbb{M}_{C_x^*}$ . Then  $\mathbb{N} = \mathbb{N}_{C_{z^*}}$  and  $\mathbb{M} = \mathbb{M}_{C_{z^*}}$ , for all  $z \in \mathbb{O}_S$ .

*Proof.* Let  $z \in \mathbb{O}_S$ . By definition,  $\mathbb{N}_{C_x^*} = \bigcap \{\mathbb{N}_{v^*} : v^* \in C_x^*\}$  and  $\mathbb{N}_{C_{z^*}} = \bigcap \{\mathbb{N}_{v^*} : v^* \in C_{z^*}\}$ . But, by 4.6.6 and 3.1.2,  $\{\mathbb{N}_{v^*} : v^* \in C_x^*\} = \{\mathbb{N}_{v^*} : v^* \in C_{z^*}\}$ , so  $\mathbb{N} = \mathbb{N}_{C_{z^*}}$ . Then, by definition,  $\mathbb{M} = \mathbb{M}_{C_{z^*}}$ .

(4.10) Set  $\mathbb{M} = \mathbb{M}_{C_x^*}$ , and let  $m \in \mathbb{M}$ . Then there exists  $z^* \in C_x^*$ , such that  $m \in N(z_1)$ , for all  $z_1 \in \mathbb{O}_{z^*}$ .

*Proof.* Since  $m \in \mathbb{M}$ ,  $m \in \mathbb{N}_{C_x^*}$ . Since  $m \notin U_{C_x^*}$ , there exists  $z^* \in C_x^*$ , such that  $m \notin U_{z^*}$ . Hence  $m \in \mathbb{M}_{z^*}$ . After conjugation, and using 3.1, we may assume that  $z = x$ . But then the lemma follows from 4.6.

Note now that by 4.4, 4.9 and 4.10, Theorem 4.1 holds.

### 5. The $U$ -Hypothesis

In this section  $\emptyset \neq \mathbb{N} \subsetneq N$  is a proper subset of  $N$  such that  $\mathbb{N}$  is a normal subset of  $G$ . We denote  $\bar{\mathbb{N}} = N \setminus \mathbb{N}$  and assume the  $U$ -Hypothesis.

(U1)  $1, -1 \in \mathbb{N}$ .

(U2)  $\mathbb{N}^2 = \mathbb{N}$ .

(U3) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} + 1 \in \mathbb{N}$  and  $\bar{n} - 1 \in N$ .

(5.1) *Remark.* Notice that if  $\text{diam}(\Delta) > 4$  or  $\Delta$  is balanced, then by Theorems 3.18 and 4.1,  $G$  satisfies the  $U$ -Hypothesis with respect to  $\mathbb{N} = \mathbb{N}_{X^*}$ , where  $X^* = B^*$ , if  $\text{diam}(\Delta) > 4$  ( $B^*$  as in Theorem 3.18) and  $X^* = C^*$  if  $\Delta$  is balanced ( $C^*$  as in Theorem 4.1).

(5.2) Let  $U = \{n \in \mathbb{N} : n^{-1} \in \mathbb{N}\}$ . Then

(1)  $U = \{n \in N : n\mathbb{N} = \mathbb{N}\} = \{n \in N : n\bar{\mathbb{N}} = \bar{\mathbb{N}}\}$ .

(2)  $U = \{n \in N : \mathbb{N}n = \mathbb{N}\} = \{n \in N : \bar{\mathbb{N}}n = \bar{\mathbb{N}}\}$ .

(3)  $U$  is a normal subgroup of  $G$ .

(4)  $-1 \in U$ .

*Proof.* This was already proved in 3.3 in a slightly different context; for completeness we include a proof. Clearly since  $N$  is a disjoint union of  $\mathbb{N}$  and  $\bar{\mathbb{N}}$ ,  $\{n \in N : n\mathbb{N} = \mathbb{N}\} = \{n \in N : n\bar{\mathbb{N}} = \bar{\mathbb{N}}\}$ . Let  $u \in U$ , then by (U2),  $u\mathbb{N} \subseteq \mathbb{N}$  and  $u^{-1}\mathbb{N} \subseteq \mathbb{N}$ . Hence  $u\mathbb{N} = \mathbb{N}$ . Conversely let  $n \in N$  and suppose  $n\mathbb{N} = \mathbb{N}$ . As  $1 \in \mathbb{N}$ ,  $n \in \mathbb{N}$  and as  $n^{-1}\mathbb{N} = \mathbb{N}$ ,  $n^{-1} \in \mathbb{N}$ , so that  $n \in U$ . This proves (1). The proof of (2) is identical to the proof of (1). (3) follows from (1) and the fact that  $\mathbb{N}$  is a normal subset of  $G$ . Note that (4) follows immediately from (U1).

(5.3) *Notation.* We denote  $\mathbb{M} = \mathbb{N} \setminus U$ . Hence  $N = \mathbb{M} \dot{\cup} U \dot{\cup} \bar{\mathbb{N}}$  is a disjoint union.

(5.4) (1) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} + U = U$ .

(2) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n}^{-1} \in \mathbb{N}$ .

*Proof.* We first show

(i) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} - 1 \in \mathbb{N}$ .

Let  $\bar{n} \in \bar{\mathbb{N}}$  and suppose  $\bar{n} - 1 \notin \mathbb{N}$ , then,  $\bar{n} - 1 \in \bar{\mathbb{N}}$  and by (U3),  $(\bar{n} - 1) + 1 \in \mathbb{N}$ , a contradiction. This shows (i).

Let  $\bar{m} \in \bar{\mathbb{N}}$ . Suppose that  $\bar{m}^{-1} \in \mathbb{N}$ , then by (U2),  $\bar{m}^{-1}\mathbb{N} \subseteq \mathbb{N}$ . We conclude that  $\bar{m}^{-1}(\bar{m} \pm 1) \in \mathbb{N}$ . Hence  $\bar{m}^{-1} \pm 1 \in \mathbb{N}$ . Suppose  $\bar{m}^{-1} \in \bar{\mathbb{N}}$ . Then by (U3) and (i),  $\bar{m}^{-1} \pm 1 \in \mathbb{N}$ . Hence in either case we get that

(ii)  $\bar{m}^{-1} \pm 1 \in \mathbb{N}$ , for all  $\bar{m} \in \bar{\mathbb{N}}$ .

Next we show

(iii) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} \pm 1 \in U$ .

Let  $\bar{n} \in \bar{\mathbb{N}}$  and let  $\varepsilon \in \{1, -1\}$ . By (i) and (U3),  $\bar{n} + \varepsilon \in \mathbb{N}$ . Hence we must show that  $(\bar{n} + \varepsilon)^{-1} \in \mathbb{N}$ . Suppose  $(\bar{n} + \varepsilon)^{-1} \notin \mathbb{N}$ . Set  $\bar{m} = (\bar{n} + \varepsilon)^{-1}$ . Then  $\bar{m} \in \bar{\mathbb{N}}$ , so by (ii),  $\bar{m}^{-1} - \varepsilon \in \mathbb{N}$ . But  $\bar{m}^{-1} - \varepsilon = \bar{n} \in \bar{\mathbb{N}}$ , a contradiction.

We can now prove (1). Let  $u \in U$  and  $\bar{n} \in \bar{\mathbb{N}}$ . Then by 5.2.1,  $u^{-1}\bar{n} \in \bar{\mathbb{N}}$  and by (iii),  $u^{-1}\bar{n} + 1 \in U$ . It follows that  $\bar{n} + u = u(u^{-1}\bar{n} + 1) \in U$ . Hence

(iv)  $\bar{n} + U \subseteq U$ .

Next by 5.2.4,  $-u \in U$ , and by (iv),  $\bar{n} - u \in U$ . Again by 5.2.4,  $u - \bar{n} \in U$  and hence  $u = \bar{n} + (u - \bar{n}) \in \bar{n} + U$ . Hence  $U \subseteq \bar{n} + U$  and (1) is proved.

Finally we prove (2). Let  $\bar{n} \in \bar{\mathbb{N}}$  and suppose  $\bar{n}^{-1} \notin \mathbb{N}$ . Then  $\bar{n}^{-1} \in \bar{\mathbb{N}}$ , so by (1),  $\bar{n}^{-1} + 1 \in U$ . Then by 5.2.2,  $\bar{n} + 1 = \bar{n}(\bar{n}^{-1} + 1) \in \bar{\mathbb{N}}$ , which contradicts (U3).

(5.5) (1) For all  $s \in N \setminus U$ ,  $s \in \mathbb{M}$  if and only if  $s^{-1} \in \bar{\mathbb{N}}$ .

(2) For all  $\bar{n} \in \bar{\mathbb{N}}$ ,  $\bar{n} + U = U$ .

(3) For all  $u \in U$ ,  $u\bar{\mathbb{N}} = \bar{\mathbb{N}}u = \bar{\mathbb{N}}$  and  $u\mathbb{M} = \mathbb{M}u = \mathbb{M}$ .

(4)  $\bar{\mathbb{N}}^2 \subseteq \bar{\mathbb{N}}$  and  $\mathbb{M}^2 \subseteq \mathbb{M}$ .

*Proof.* For (1) let  $m \in \mathbb{M} \subseteq \mathbb{N}$ . If  $m^{-1} \in \mathbb{N}$ , then, by definition,  $m \in U$ , a contradiction. Hence  $m^{-1} \in \bar{\mathbb{N}}$ . Let  $n \in \bar{\mathbb{N}}$ . By 5.4.2,  $n^{-1} \in \mathbb{N}$ , and since  $n \notin U$ ,  $n^{-1} \in \mathbb{M}$ . This shows (1). (2) is from 5.4.1 and (3) is from 5.2.1 and 5.2.2.

Let  $\bar{n}, \bar{m} \in \bar{\mathbb{N}}$  and suppose  $\bar{n}\bar{m} \in \mathbb{N}$ . By (1),  $\bar{n}^{-1} \in \mathbb{N}$ , and by (U2),  $\bar{m} = \bar{n}^{-1}(\bar{n}\bar{m}) \in \mathbb{N}$ , a contradiction. Hence  $\bar{\mathbb{N}}^2 \subseteq \bar{\mathbb{N}}$ . Let  $m, m' \in \mathbb{M}$ . Suppose  $mm' \in U \cup \bar{\mathbb{N}}$ . Then  $m^{-1} \in \bar{\mathbb{N}}$  (by (1)) and by (3) and the fact that  $\bar{\mathbb{N}}^2 \subseteq \bar{\mathbb{N}}$ ,  $m' = m^{-1}(mm') \in \bar{\mathbb{N}}$ , a contradiction. Hence  $\mathbb{M}^2 \subseteq \mathbb{M}$ .

## 6. Further consequences of the $U$ -Hypothesis

In this section we continue the notation and hypotheses of Section 5, deriving further consequences. We denote  $\Gamma = N/U$  (note that by 5.2.3,  $U$  is a normal subgroup of  $G$  and hence of  $N$ ). Recall from 1.3 that we denote by  $\nu : G \rightarrow F^\#$  the reduced norm function, in the case when  $[D : F] < \infty$ .

(6.1) *Definition.* We define an order relation  $\leq$  on  $\Gamma$  as follows. For  $Ua, Ub \in \Gamma$ ,  $Ua < Ub$  if and only if  $Ua \neq Ub$  and  $ba^{-1} \in \bar{\mathbb{N}}$ .

(6.2) (1) *The relation  $\leq$  is a well defined linear order relation on  $\Gamma$ .*

(2) *If  $Ua, Ub, Uc, Ud \in \Gamma$ , with  $Ua \leq Uc$  and  $Ub \leq Ud$ , then  $Uab \leq Ucd$ .*

*Proof.* It is clear from 5.5.3 that  $\leq$  is independent on coset representatives and hence it is a well defined relation on  $\Gamma$ . We show it is an order relation. If  $Ua < Ub$ , then  $ba^{-1} \in \bar{\mathbb{N}}$ ; hence by 5.5.1,  $ab^{-1} \in \mathbb{M}$  and it follows that  $Ub \not< Ua$ . Also if  $Ua < Ub < Uc$ , then  $ba^{-1} \in \bar{\mathbb{N}}$  and  $cb^{-1} \in \bar{\mathbb{N}}$ . Hence by 5.5.4,  $ca^{-1} = (cb^{-1})(ba^{-1}) \in \bar{\mathbb{N}}$  and hence  $Ua < Uc$ . Finally let  $Ua, Ub \in \Gamma$ , with  $Ua \neq Ub$ . Then by 5.5.1 either  $ab^{-1} \in \bar{\mathbb{N}}$  or  $ba^{-1} \in \bar{\mathbb{N}}$ ; hence either  $Ua < Ub$ , or  $Ub < Ua$ , so  $\leq$  is linear.

For (2), if  $Ua = Uc$ , or  $Ub = Ud$ , then (2) follows directly from the definition of  $\leq$  and the fact that  $\bar{\mathbb{N}}$  is a normal subset of  $G$ . So suppose  $Ua < Uc$  and  $Ub < Ud$ . Then  $ca^{-1}, db^{-1} \in \bar{\mathbb{N}}$ . Now  $(cd)(ab)^{-1} = cdb^{-1}a^{-1} = ca^{-1}adb^{-1}a^{-1}$ . Since  $\bar{\mathbb{N}}$  is a normal subset of  $G$ ,  $adb^{-1}a^{-1} \in \bar{\mathbb{N}}$ . By 5.5.4,  $\bar{\mathbb{N}}^2 \subseteq \bar{\mathbb{N}}$ , so  $ca^{-1}adb^{-1}a^{-1} \in \bar{\mathbb{N}}$ . Hence,  $(cd)(ab)^{-1} \in \bar{\mathbb{N}}$  and  $Uab < Ucd$ , as asserted.

(6.3) *Let  $Ua, Ub \in \Gamma$ , with  $Ua \neq Ub$ . Then*

(1)  *$Ua + Ub \subseteq N$ , and*

(2)  *$Ua + Ub = \min\{Ua, Ub\}$ .*

*Proof.* Without loss of generality we may assume that  $Ua < Ub$ . Let  $x \in Ua$  and  $y \in Ub$ . Then  $yx^{-1} \in \bar{\mathbb{N}}$ . Hence by 5.5.2,  $1 + yx^{-1} \in U$  and multiplying by  $x$  on the right we see that  $x + y \in Ux = Ua$ . This shows (1) and the fact that  $Ua + Ub \subseteq Ua$ . But  $Ua + Ub$  contains the coset  $U(a + b)$ , and it follows that  $Ua + Ub = Ua$ .

(6.4) COROLLARY. *Let  $Ua \in \Gamma$  and let  $x, y \in Ua$ . Suppose  $x + y \in N$ . Then  $U(x + y) \geq Ua$ .*

*Proof.* Suppose  $U(x + y) < Ua = Ux$ . Then by 6.3,  $y = (x + y) - x \in U(x + y)$ . But  $y \in Ua$ , a contradiction.

(6.5) COROLLARY. *Let  $a_1, a_2, \dots, a_k \in N$  and assume there exists some  $1 \leq i \leq k$ , such that  $Ua_i < Ua_j$ , for all  $j \neq i$ . Then  $Ua_1 + Ua_2 + \dots + Ua_k = Ua_i$ .*

*Proof.* This follows immediately from 6.3 by induction.

(6.6) *Suppose  $[D : F] < \infty$  and let  $n \in N \setminus UF^\#$ . Then there exists  $r \leq \deg(D)$  such that  $n^r \in UF^\#$ .*

*Proof.* Let

$$\alpha_0 + \alpha_1 x^{k_1} + \dots + \alpha_t x^{k_t}$$

be the minimal polynomial of  $n$  over  $F$  with  $\alpha_i \neq 0$ , for all  $0 \leq i \leq t$  and  $0 < k_1 < k_2 < \dots < k_t$ . Suppose there exists some  $0 \leq i \leq t$ , such that  $U\alpha_i n^{k_i} < U\alpha_j n^{k_j}$ , for all  $j \neq i$ . Then by 6.5,  $\alpha_0 + \alpha_1 n^{k_1} + \dots + \alpha_t n^{k_t} \in U\alpha_i n^{k_i}$ . In particular,  $\alpha_0 + \alpha_1 n^{k_1} + \dots + \alpha_t n^{k_t} \neq 0$ , a contradiction. Hence the set of minimal elements in the set  $\{U\alpha_0, U\alpha_1 n^{k_1}, \dots, U\alpha_t n^{k_t}\}$  is of size larger than 1. It follows that there are indices  $0 \leq i < j \leq t$ , such that  $U\alpha_i n^{k_i} = U\alpha_j n^{k_j}$ . We conclude that  $n^{k_j - k_i} \in U(\alpha_i \alpha_j^{-1})$ . Note now that  $r = k_j - k_i \leq k_t \leq \deg(D)$  and that  $n^r \in UF^\#$ .

(6.7) *Suppose  $[D : F] < \infty$  and let  $n \in N$ , with  $\nu(n) \in U$ . Then  $n \in U$ .*

*Proof.* Suppose first that  $n \in UF^\#$ . Note that as  $U \triangleleft G$ , for each  $u \in U$ ,  $\nu(u) \in U$ . This is because  $\nu(u)$  is a product of conjugates of  $u$  (see 1.4). Write  $n = \alpha u$ , with  $u \in U$  and  $\alpha \in F^\#$ . Then

$$\nu(n) = \alpha^{\deg(D)} \nu(u)$$

and it follows that  $\alpha^{\deg(D)} = \nu(n) \nu(u)^{-1} \in U$ . By 5.5.4,  $\alpha \in U$ , and hence  $n \in U$ .

Next suppose  $n \in N \setminus UF^\#$ . Then by (6.6),  $n^r \in UF^\#$ , for some  $1 < r \leq \deg(D)$ . Note now that  $\nu(n^r) = \nu(n)^r \in U$ , so by the previous paragraph of the proof,  $n^r \in U$ , this contradicts 5.5.4.

(6.8) COROLLARY. *If  $[D : F] < \infty$ , then  $N/U \leq Z(G/U)$ .*

*Proof.* Here  $Z(G/U)$  is the center of  $G/U$ . Let  $g \in G$  and  $n \in N$ . Then  $\nu([g, n]) = 1 \in U$ . Hence by 6.7,  $[g, n] \in U$ .

(6.9) *Remark.* Note that if  $[D : F] < \infty$ , then the canonical homomorphism  $v : N \rightarrow \Gamma$  behaves like a *valuation* on  $N$  in the sense that  $v$  is a group homomorphism, and  $\Gamma$  is a linearly ordered abelian group. Further  $v(a + b) \geq \min\{v(a), v(b)\}$ , whenever  $a + b \in N$ . In particular the restriction  $v : F^\# \rightarrow v(F^\#)$  is a valuation on  $F$ .

(6.10) *If  $[D : F] < \infty$ , then  $F^\# \not\subseteq U$ .*

*Proof.* Suppose  $F^\# \subseteq U$  and let  $\bar{n} \in \bar{\mathbb{N}}$ . Let

$$\alpha_0 + \alpha_1 x^{k_1} + \dots + \alpha_t x^{k_t}$$

be the minimal polynomial of  $\bar{n}$  over  $F$  with  $\alpha_i \neq 0$ , for all  $0 \leq i \leq t$  and  $0 < k_1 < k_2 < \dots < k_t$ . Then

$$\alpha_0 + \alpha_1 \bar{n}^{k_1} + \dots + \alpha_t \bar{n}^{k_t} = 0.$$

We show by induction on  $j$  that  $\alpha_0 + \alpha_1 \bar{n}^{k_1} + \dots + \alpha_j \bar{n}^{k_j} \in U$ , for all  $0 \leq j \leq t$ . By hypothesis  $\alpha_0 \in U$ . Suppose  $\alpha_0 + \alpha_1 \bar{n}^{k_1} + \dots + \alpha_j \bar{n}^{k_j} \in U$ . Note that as  $\alpha_{j+1} \in U$ , 5.5.3 and 5.5.4 imply that  $\alpha_{j+1} \bar{n}^{k_{j+1}} \in \bar{\mathbb{N}}$ ; hence by 5.5.2,  $(\alpha_0 + \alpha_1 \bar{n}^{k_1} + \dots + \alpha_j \bar{n}^{k_j}) + \alpha_{j+1} \bar{n}^{k_{j+1}} \in U$ . But we cannot have  $\alpha_0 + \alpha_1 \bar{n}^{k_1} + \dots + \alpha_t \bar{n}^{k_t} \in U$ , a contradiction.

### 7. Towards the proof of Theorem A

In this and the following sections we finally prove Theorem A. We continue the notation of the previous sections. In particular,  $\Delta$  is the commuting graph of  $G^*$ . We assume that either  $\text{diam}(\Delta) > 4$ , or  $\Delta$  is balanced. If  $\text{diam}(\Delta) > 4$  then we fix  $A^*, B^*$  to denote the conjugacy classes as in Theorem 3.18. Recall that  $\hat{A} = \{a \in G : a^* \in A^*\}$  and  $\hat{B} = \{b \in G : b^* \in B^*\}$ . If  $\Delta$  is balanced, then we fix  $C^*$  to denote the conjugacy class as in Theorem 4.1; again  $\hat{C} = \{c \in G : c^* \in C^*\}$ .

If  $\text{diam}(\Delta) > 4$ , let  $X^* = B^*$ , while if  $\Delta$  is balanced let  $X^* = C^*$ . We let  $\mathbb{P} = \mathbb{P}_{X^*}$ ,  $\mathbb{N} = \mathbb{N}_{X^*}$ ,  $\bar{\mathbb{N}} = \bar{\mathbb{N}}_{X^*}$ ,  $\mathbb{M} = \mathbb{M}_{X^*}$  and  $U = U_{X^*}$ . Note that by Remark 5.1, all the results of Sections 5 and 6 apply here.

In this section we further assume that  $G^*$  is a nonabelian finite simple group and that  $[D : F] < \infty$ . We draw the attention of the reader to Remarks 2.2 and 2.4.

*Definitions and Notation.* (1)  $\hat{K} = \{a \in \mathbb{O}U \setminus N : N(a) \supseteq \mathbb{M}\}$ .

(2)  $K^* = \{a^* : a \in \hat{K}\}$ .

(3) An element  $a \in G \setminus N$  is a *standard element* if it satisfies the following condition: If  $n \in N(a)$ , then  $Un \subseteq N(a)$ .

(4) We denote by  $\Phi$  the set of all standard elements in  $G \setminus N$ .



- (7.1) (1)  $G = \mathbb{O}N$ .  
 (2)  $(\mathbb{O}U) \cap N = U$ .  
 (3)  $\mathbb{O}U/U \simeq G^*$ .  
 (4)  $[G, N] \leq U$ .

*Proof.* (1) follows from our assumption that  $G^*$  is simple and from 1.5. Let  $n \in (\mathbb{O}U) \cap N$ ; then  $n = au$ , for some  $a \in \mathbb{O}$ , so  $\nu(n) = \nu(u)$ . Since  $U$  is normal in  $G$ ,  $\nu(u) \in U$ , by 1.4. Then by 6.7,  $n \in U$ . Next, since  $G = (\mathbb{O}U)N$ ,  $G^* = G/N \simeq \mathbb{O}U/(\mathbb{O}U) \cap N = \mathbb{O}U/U$ , by (2). Finally, (4) is from 6.8.

(7.2) *Let  $a, b \in G \setminus N$ . Then*

- (1) *Let  $n \in N(a)$ , then  $a + n \in Un$ .*  
 (2) *Let  $n \in N(a)$ , then  $Um \subseteq N(a)$ , for all  $Um < Un$ . Further if  $a \in \Phi$ , then also  $Un \subseteq N(a)$ .*  
 (3) *Let  $n \in N \setminus N(a)$ , then  $Um \leq Un$ , for all  $m \in N(a)$ . Further if  $a \in \Phi$ , then  $Um < Un$ , for all  $m \in N(a)$ .*  
 (4) *If  $a \in \Phi$  and  $b \in G \setminus N$ , then  $N(a) \subseteq N(b)$  or  $N(b) \subseteq N(a)$ .*  
 (5) *Let  $n \in N$ . Then  $\mathbb{N} \subseteq N(n)$  if and only if  $n \in \bar{\mathbb{N}}$  and  $\mathbb{M} \subseteq N(n)$  if and only if  $n \in U \cup \bar{\mathbb{N}}$ .*

*Proof.* For (1), suppose  $a + n = m \notin Un$ . Note that as  $-1 \in U$ ,  $-n \in Un$  and hence  $a = m - n \in Um + Un \subseteq N$ , by 6.3.1, a contradiction.

For (2), assume  $Um < Un$ . By (1),  $a = n + nu$ , for some  $u \in U$ . Then  $a + m = n + nu + m \in Um$ , by 6.5. Hence  $m \in N(a)$ . This proves the first part of (2) and the second part of (2) is obvious. Now (3) is an immediate consequence of (2).

Let  $a \in \Phi$  and  $b \in G \setminus N$  and suppose  $N(b) \not\subseteq N(a)$ . Let  $n \in N(b) \setminus N(a)$ ; then by (2),  $Um \subseteq N(b)$ , for all  $Um < Un$ . By (3), if  $m \in N(a)$ , then  $Um < Un$ . Hence,  $N(a) \subseteq N(b)$ . This proves (4).

We now prove (5). Let  $n \in \mathbb{N}$ . Then  $-n \notin N(n)$ , by definition, and  $-n \in \mathbb{N}$ , thus  $\mathbb{N} \not\subseteq N(n)$ . Let  $\bar{n} \in \bar{\mathbb{N}}$ ; then by 6.3,  $\mathbb{N} \subseteq N(n)$ . This proves the first part of (5). The proof of the second part of (5) is similar.

(7.3) *Let  $a \in G \setminus N$ . Then*

- (1) *If  $a \in \Phi$ , then  $Na \subseteq \Phi$ .*  
 (2)  *$a \in \Phi$  if and only if*  
 (\*) *For each  $b \in Na$  such that  $1 \in N(b)$ ,  $U \subseteq N(b)$ .*

In particular, if  $a \notin \Phi$ , then there exists  $b \in Na$ , with  $N(b) \cap U \neq \emptyset$ , but  $U \not\subseteq N(b)$ .

- (3) *If  $a \in \Phi$ , then  $N(a)$  is a normal subset of  $G$ .*  
 (4)  *$\Phi$  is a normal subset of  $G$ .*

*Proof.* Suppose  $a \in \Phi$ . Let  $b \in Na$  and  $m \in N(b)$ . Write  $b = sa$ ,  $s \in N$ . Then  $s^{-1}m \in N(a)$ . Let  $u \in U$ . Since  $a \in \Phi$ ,  $s^{-1}mu \in N(a)$ , so that  $mu \in N(sa) = N(b)$ . Thus  $Um \subseteq N(b)$  as asserted.

For (2), note that (1) implies that if  $a \in \Phi$ , then  $(*)$  holds. So assume  $(*)$  holds. Let  $m \in N(a)$ . Then  $1 \in N(m^{-1}a)$ , so by  $(*)$ ,  $U \subseteq N(m^{-1}a)$ . Hence  $Um \subseteq N(a)$  and  $a \in \Phi$ .

Next let  $a \in \Phi$ ,  $n \in N(a)$  and  $g \in G$ . By 7.1.4,  $n^g \in Un \subseteq N(a)$ , so  $N(a)$  is a normal subset of  $G$ . (4) follows from (3) since for  $a \in \Phi$  and  $g \in G$ ,  $N(a^g) = g^{-1}N(a)g = N(a)$ ; so  $a^g \in \Phi$ .

(7.4) (1) If  $\text{diam}(\Delta) > 4$ , then  $\hat{B} \subseteq \Phi$ .

(2) If  $\Delta$  is balanced, then  $\hat{C} \subseteq \Phi$ .

*Proof.* (1) and (2) follow from the definition of  $U$  and from 7.3.2.

(7.5) Let  $a \in \Phi$ . Then

- (1) For all  $u \in U$ ,  $N(ua) = N(a) = N(au)$ .
- (2) If  $n \in N \setminus N(a)$ , then  $N(a+n) \supseteq N(a)$ .
- (3) Let  $x, y \in (\mathbb{O}U) \cap Na$ . Then  $N(x) = N(y)$ .

*Proof.* For (1) note that  $N(ua) = uN(a) \subseteq N(a)$ , as  $a \in \Phi$ . Similarly  $u^{-1}N(a) \subseteq N(a)$ , so  $N(a) \subseteq uN(a) = N(ua)$ . This proves the first part of (1) and the proof of the second part of (1) is the same. For (2), let  $m \in N(a)$ ; then, by 7.2.3,  $Um < Un$ , and by 6.3.2,  $a+n+m = a+um$ , for some  $u \in U$ . Then, since  $a \in \Phi$ ,  $a+um \in N$ , so that  $m \in N(a+n)$ .

Next we prove (3): notice that  $xy^{-1} \in (\mathbb{O}U) \cap N$ . Hence, by 7.1.2,  $xy^{-1} \in U$ , so (3) follows from (1).

(7.6) Let  $a \in G \setminus N$  and  $m \in N$ . Suppose  $Um \subseteq N(x)$ , for some  $x \in \hat{C}_{a^*} \cap (\mathbb{O}U)$ ; then  $Um \subseteq N(z)$ , for all  $z \in \hat{C}_{a^*} \cap (\mathbb{O}U)$ .

*Proof.* Recall that  $C_{a^*}$  is the conjugacy class of  $a^*$  in  $G^*$  and  $\hat{C}_{a^*} = \{c \in G : c^* \in C_{a^*}\}$ . First we claim that

$$(*) \quad Um \subseteq N(x^g), \text{ for all } g \in G.$$

Let  $g \in G$ . Then, by 1.8.2,  $N(x^g) = g^{-1}N(x)g$ . Hence  $N(x^g) \supseteq g^{-1}(Um)g = Um^g = Um$ , where the last equality follows from 7.1.4.

Let  $z \in \hat{C}_{a^*} \cap (\mathbb{O}U)$ . Then there exists  $g \in G$ , such that  $z^* = (x^g)^*$ . By  $(*)$ ,  $Um \subseteq N(x^g)$ . Hence, we may assume that  $Nx = Nz$ . But then  $xz^{-1} \in N \cap (\mathbb{O}U) = U$  (see 7.1.2). Hence, there exists  $u \in U$  such that  $z = ux$ . Then  $N(z) = uN(x)$ , so that  $N(z) \supseteq u(Um) = Um$ , as asserted.

(7.7) Let  $a, b \in G \setminus N$ . Then

- (1) If  $U \subseteq N(a) \cap N(b)$ , then  $U \subseteq N(ab)$ .
- (2) If  $U \cap N(a) \neq \emptyset$  and  $\mathbb{M} \subseteq N(b)$ , then  $\mathbb{M} \subseteq N(ab)$ .
- (3) If  $U \subseteq N(a) \cap N(b)$ , then  $U \subseteq N(a + b)$ .
- (4) If  $\mathbb{M} \subseteq N(a) \cap N(b)$ , then  $\mathbb{M} \subseteq N(a + b)$ .
- (5) Suppose  $a \in \mathbb{O}U \setminus N$  and let  $\ell > 1$ , such that  $a^\ell \in N$ . Then  $a^\ell \in U$ .
- (6) Suppose  $a \in \mathbb{O}U \setminus N$ . Then  $U \not\subseteq N(a)$ . In particular, if  $a \in \Phi$ , then  $N(a) \subseteq \mathbb{M}$ .

*Proof.* For (1), let  $u \in U$ . Then  $ab + u = ab - b + b + u = (a - 1)b + (b + u)$ . As  $-1 \in U$ ,  $a - 1 \in U$ , by 7.2.1. Further by 7.2.1,  $b + u \in U$ , write  $v = a - 1$  and  $w = b + u$ . Then  $ab + u = vb + w = v(b + v^{-1}w) \in N$ . Hence  $u \in N(ab)$ .

For (2), let  $u \in U \cap N(a)$  and let  $m \in \mathbb{M}$ . Then  $ab + m = ab + ub + (m - ub) = (a + u)b + (m - ub)$ . Note now that by 7.2.1,  $a + u = v$  and  $m - ub = wm$ , for some  $v, w \in U$ . Hence  $ab + m = vb + wm = v(b + v^{-1}wm) \in N$ , where the last equality is because  $\mathbb{M} \subseteq N(b)$  and because  $(v^{-1}w)\mathbb{M} = \mathbb{M}$ .

For (3), let  $u \in U$ ; then  $(a + b) + u = a + (b + u)$ . But since  $u \in N(b)$ ,  $b + u = v \in U$ , by 7.2.1. Hence  $(a + b) + u = a + v \in N$ . Thus  $U \subseteq N(a + b)$ . The proof of (4) is similar.

Assume the hypotheses of (5). Since  $a \in \mathbb{O}U$ ,  $\nu(a) \in U$ , so  $\nu(a^\ell) \in U$ . Hence by 6.7,  $a^\ell \in U$ . Let  $a \in \mathbb{O}U \setminus N$ . Since  $G^*$  is finite there exists  $r \geq 2$ , with  $a^r \in N$ . By (5),  $a^r \in U$ . Hence  $a^{-1} = ua^{r-1}$ , for some  $u \in U$ . Suppose  $U \subseteq N(a)$ . Then by (1),  $U \subseteq N(a^{r-1})$ , so  $U \subseteq N(a^{-1})$ . In particular  $1 \in N(a) \cap N(a^{-1})$  contradicting 1.8.4. The second part of (6) follows from the first part of (6) and by 7.2.2.

(7.8) Let  $s \in \mathbb{M}$  and suppose that

$$(*) \quad s^2 \in N(z), \text{ for all } z \in \mathbb{O}U \setminus N.$$

Then  $s \in N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ .

*Proof.* Assume that there exists  $x \in \mathbb{O}U \setminus N$ , such that  $s \notin N(x)$ . Set  $y := -s^{-1}x$ . Then  $-1 \notin N(y)$ . First we claim that

$$(**) \quad -1 \in N(yy^g), \text{ for all } g \in G.$$

This is because  $yy^g = (s^{-1}x)(s^{-1})^g x^g = s^{-2}xx^g u$ , for some  $u \in U$ , where the last equality follows from 7.1.4. Since  $x \in \mathbb{O}U$ ,  $-xx^g u \in \mathbb{O}U$ , so, if  $-xx^g u \notin N$ , then, by hypothesis (\*),  $s^2 \in N(-xx^g u)$ . If  $-xx^g u \in N$ , then  $-xx^g u \in (\mathbb{O}U) \cap N = U$  (see 7.1.2). Since  $s \in \mathbb{M}$ ,  $s^2 \in \mathbb{M}$ , by 5.5.4, so, by 7.2.5,  $s^2 \in N(-xx^g u)$  in this case too. Now in any case  $s^2 \in N(-xx^g u)$ , and it follows that  $-1 \in N(yy^g)$ .

Now, taking  $a = y = b$  in 2.10, we get from 2.10 and (\*\*), that  $G^*$  is not simple, a contradiction.

### 8. Some properties of $\hat{K}$ and the proof that $\hat{K} \neq \emptyset$

In this section we continue the notation and hypotheses of Section 7 recalling from there that we defined

$$\hat{K} = \{a \in \mathbb{O}U \setminus N : N(a) \supseteq \mathbb{M}\}.$$

(8.1) (1)  $\hat{K}$  is a normal subset of  $G$ .

(2) If  $a \in \hat{K}$ , then  $Ua \subseteq \hat{K}$ .

*Proof.* (1) follows immediately from the fact that  $\mathbb{M}$  and  $\mathbb{O}U$  are normal subsets of  $G$  and from 1.8.2. (2) follows from the fact that  $u\mathbb{M} = \mathbb{M}$ , from 1.8.1 and the definition of  $\hat{K}$ .

(8.2) Suppose there exists  $a \in \mathbb{O}U \setminus N$  such that  $N(a) \cap U \neq \emptyset$ . Then

(1) For all  $b \in \hat{K}$  such that  $ab \in G \setminus N$ ,  $ab \in \hat{K}$ .

(2)  $\hat{K} = \mathbb{O}U \setminus N$ .

*Proof.* First note that by 7.2.2,  $\mathbb{M} \subseteq N(a)$ , so that  $a \in \hat{K}$ . Next, for (1), let  $b \in \hat{K}$ . Then  $N(b) \supseteq \mathbb{M}$ . By 7.7.2,  $\mathbb{M} \subseteq N(ab)$ , and clearly  $ab \in \mathbb{O}U$ , hence  $ab \in \hat{K}$ .

Next, since  $\hat{K}$  is a normal subset of  $G$ ,  $K^* \cup \{1^*\}$  is a normal subset of  $G^*$ . Further note that by (1),  $a^*(K^* \cup \{1^*\}) \subseteq K^* \cup \{1^*\}$ . Hence, by 1.9,  $K^* \cup \{1^*\} = G^*$ . Let  $b \in \mathbb{O}U \setminus N$ . Then  $b^* = k^*$ , for some  $k \in \hat{K}$ , and then  $bk^{-1} \in (\mathbb{O}U) \cap N \leq U$ . Hence  $b = uk$ , for some  $u \in U$ , so  $b \in \hat{K}$ . It follows that  $\hat{K} = \mathbb{O}U \setminus N$ .

(8.3) Assume that  $\text{diam}(\Delta) > 4$  and that for all  $m \in \mathbb{M}$ , there exists  $z \in (\hat{A} \cup \hat{B}) \cap (\mathbb{O}U)$  such that  $Um \subseteq N(z)$ . Then  $\hat{K} \neq \emptyset$ .

*Proof.* Let  $\mathbb{V} = \bigcap_{x \in \hat{A} \cap \mathbb{O}U} N(x)$  and  $\mathbb{W} = \bigcap_{y \in \hat{B} \cap \mathbb{O}U} N(y)$ . Let  $m \in \mathbb{V}$ ,  $u \in U$  and  $x \in \hat{A} \cap (\mathbb{O}U)$ . Then  $u^{-1}x \in \hat{A} \cap (\mathbb{O}U)$ , so  $m \in N(u^{-1}x)$ . Thus  $um \in N(x)$  and  $Um \subseteq N(x)$ . As this holds for all  $x \in \hat{A} \cap (\mathbb{O}U)$ ,  $Um \subseteq \mathbb{V}$ . Similarly,  $Um \subseteq \mathbb{W}$ , for all  $m \in \mathbb{W}$ . Next, if  $Um \subseteq \mathbb{V}$  and  $Us \leq Um$ , for some  $s \in N$ , then, by 7.2.2,  $Us \subseteq \mathbb{V}$ . Similarly if  $Um \subseteq \mathbb{W}$  and  $Us \leq Um$ , for some  $s \in N$ , then  $Us \subseteq \mathbb{W}$ .

Next we claim that either  $\mathbb{V} \subseteq \mathbb{W}$ , or  $\mathbb{W} \subseteq \mathbb{V}$ . Suppose  $\mathbb{V} \not\subseteq \mathbb{W}$ . Let  $Um \subseteq \mathbb{V}$ , such that  $Um \cap \mathbb{W} = \emptyset$ . Then, by the previous paragraph of the proof,  $Us < Um$ , for all  $Us \subseteq \mathbb{W}$ . Hence, by the previous paragraph of the proof,  $Us \subseteq \mathbb{V}$  and hence  $\mathbb{W} \subseteq \mathbb{V}$ .

Finally, by 7.6, and by the hypothesis of the lemma,  $\mathbb{M} \subseteq \mathbb{V} \cup \mathbb{W}$ , so, by the second paragraph of the proof  $\mathbb{M} \subseteq \mathbb{V}$ , or  $\mathbb{M} \subseteq \mathbb{W}$ . Hence either  $\hat{A} \cap (\mathbb{O}U) \subseteq \hat{K}$ , or  $\hat{B} \cap (\mathbb{O}U) \subseteq \hat{K}$  and  $\hat{K} \neq \emptyset$ .

(8.4) THEOREM.  $\hat{K} \neq \emptyset$ .

*Proof.* Suppose  $\hat{K} = \emptyset$ . By 8.2, we may assume

$$(*) \quad U \cap N(x) = \emptyset, \text{ for all } x \in \mathbb{O}U \setminus N.$$

*Case 1.*  $\text{diam}(\Delta) > 4$ . We shall show that for all  $m \in \mathbb{M}$ , there exists  $z \in (\hat{A} \cup \hat{B}) \cap (\mathbb{O}U)$  such that  $Um \subseteq N(z)$ . Then, by 8.3,  $\hat{K} \neq \emptyset$ , a contradiction. Let  $m \in \mathbb{M}$ . Since  $m^{-1} \in \bar{\mathbb{N}}$ , there exists  $b \in \mathbb{P}$ , such that  $m^{-1} \notin N(b)$ . By 3.18.2, there exists  $a \in \mathbb{P}_{A^*}$  such that  $N(a) \subseteq N(b)$  and  $d(a^*, b^*) > 4$ . Note that by 3.9,  $\text{In}(a^*, b^*)$ . Further, since  $b \in \Phi$ ,  $-m^{-1} \notin N(b)$  (see 7.2.2), and hence  $-m^{-1} \notin N(a)$ . Let  $x \in Na \cap (\mathbb{O}U)$  and  $y \in Nb \cap (\mathbb{O}U)$  and suppose that  $Um \not\subseteq N(x)$  and  $Um \not\subseteq N(y)$ . Since  $y \in \Phi$ ,  $m \notin N(y)$  and, after replacing  $x$  by  $ux$ , for some  $u \in U$ , we may assume that  $m \notin N(x)$ .

Suppose first that  $N(y) \supseteq N(x)$ . Let  $a' = ma$ . Notice that  $m \in N(a')$  and  $-1 \notin N(a')$ . Write  $a' = xn$ ,  $n \in N$ . Notice that  $mn^{-1} \in N(x)$ , so  $mn^{-1} \in N(y)$ . Thus  $m \in N(yn)$ . But  $y \in \Phi$ , so  $n^{-1}N(y)n = N(y)$  (see 7.3.3); thus  $m \in N(ny)$ . Note now that by (\*), all the hypotheses of 2.11 are met, for  $x, y, m$  and  $n$ , so by 2.11,  $d(x^*, y^*) \leq 4$ , contradicting  $d(a^*, b^*) > 4$ .

Suppose next that  $N(x) \supseteq N(y)$ . Let  $b' = mb$ . Notice that  $m \in N(b')$  and  $-1 \notin N(b')$ . Write  $b' = ny$ ,  $n \in N$ . Notice that  $n^{-1}m \in N(y)$  and since  $y \in \Phi$ ,  $mn^{-1} \in N(y)$ . Thus  $mn^{-1} \in N(x)$  and hence,  $m \in N(xn)$ . Again we see that by (\*), all the hypotheses of 2.11 are met, for  $x, y, m$  and  $n$ ; so by 2.11,  $d(x^*, y^*) \leq 4$ , a contradiction. Hence, either  $Um \subseteq N(x)$ , or  $Um \subseteq N(y)$ . This completes the proof of the theorem, in the case when  $\text{diam}(\Delta) > 4$ .

*Case 2.*  $\Delta$  is balanced. We use Theorem 4.1. First note that by (\*) and 3.6.2, we are in case (2b) of Theorem 4.1. Let  $m \in \mathbb{M}$ . By Theorem 4.1, there exists  $z \in \hat{C}$  such that  $m \in N(z_1)$ , for all  $z_1 \in \mathbb{O}_{z^*}$ . By (\*),  $Nz \cap (\mathbb{O}U) \subseteq \mathbb{O}_{z^*}$ ; thus  $m \in N(z_1)$ , for some  $z_1 \in Nz \cap (\mathbb{O}U)$ . Since  $z_1 \in \Phi$ ,  $Um \subseteq N(z_1)$  and hence, by 7.6,  $Um \subseteq N(x)$ , for all  $x \in \hat{C} \cap (\mathbb{O}U)$ . As this holds for all  $m \in \mathbb{M}$ ,  $\hat{C} \cap (\mathbb{O}U) \subseteq \hat{K}$  and  $\hat{K} \neq \emptyset$ .

### 9. The proof that $\hat{K} = \mathbb{O}U \setminus N$

In this section we continue the notation and hypotheses of Section 7. Note that by Theorem 8.4,  $\hat{K} \neq \emptyset$ . The purpose of this section is to prove

$$(9.1) \text{ THEOREM. } \hat{K} = \mathbb{O}U \setminus N.$$

In view of 8.2, we may (and do) assume that  $N(a) \cap U = \emptyset$ , for all  $a \in \mathbb{O}U \setminus N$ .

(9.2) *Suppose that for all  $m \in \mathbb{M}$  there exists  $s \in \mathbb{M}$ , with  $Um < Us$ . Then*

- (1) *Let  $a_1, b_1 \in \hat{K}$  such that  $a_1b_1 \in G \setminus N$ . Then  $a_1b_1 \in \hat{K}$ .*
- (2)  *$\hat{K} = \mathbb{O}U \setminus N$ .*

*Proof.* For (1), let  $m \in \mathbb{M}$  and let  $s \in \mathbb{M}$ , with  $Um < Us$ . Then

$$a_1b_1 + m = a_1b_1 - a_1s + (a_1s + m) = a_1(b_1 - s) + (a_1s + m).$$

By 7.2.1,  $b_1 - s = us$ , for some  $u \in U$ . Next  $a_1s + m = (a_1 + ms^{-1})s$ . Note that as  $Um < Us$ ,  $ms^{-1} \in \mathbb{M}$ , and hence  $a_1 + ms^{-1} \in N$ . Hence  $a_1s + m \in N$ , so by 7.2.1,  $a_1s + m = vm$ , for some  $v \in U$ . Hence we get that  $a_1b_1 + m = a_1(us) + vm$  and as above  $a_1(us) + vm \in N$ , so  $m \in N(a_1b_1)$ . Hence  $N(a_1b_1) = \mathbb{M}$ . Since  $a_1b_1 \in \mathbb{O}U \setminus N$ ,  $a_1b_1 \in \hat{K}$ .

The proof of (2) is exactly like the proof of 8.2.2; all we need is the property established in (1).

*Notation.* We fix the letter  $m$  to denote an element  $m \in \mathbb{M}$  such that  $Us \leq Um$ , for all  $s \in \mathbb{M}$  (see 9.2).

- (9.3) (1) *Let  $k, \ell \in \mathbb{Z}$  such that  $0 < k \leq \ell$ . Suppose  $x, y \in \mathbb{O}U \setminus N$  such that  $N(x) \supseteq Um^k$  and  $N(y) \supseteq Um^\ell$ . Then  $N(xy) \supseteq Um^{k+\ell}$ .*
- (2) *There exists  $t > 0$ , such that for all  $z \in \mathbb{O}U \setminus N$ ,  $N(z) \supseteq Um^t$ .*

*Proof.* For (1), we have

$$\begin{aligned} xy + m^{k+\ell} &= xy + xm^\ell - xm^\ell + m^{k+\ell} = uxm^\ell - xm^\ell + m^{k+\ell} \\ &= (ux - x + m^k)m^\ell = (ux + vm^k)m^\ell \in N. \end{aligned}$$

Here,  $u, v \in U$  and we used 7.2.1 for the equalities.

For (2), let  $x \in \hat{K}$ . Let  $X^*$  be the conjugacy class of  $x^*$  in  $G^*$ . Let  $\hat{X} = \{x \in G \setminus N : x^* \in X^*\}$ . Note that by 7.6,  $\hat{X} \cap \mathbb{O}U \subseteq \hat{K}$ . Now  $G^* = \langle X^* \rangle$ , and every element  $g^* \in G^*$  can be written as a product of elements in  $X^*$ . For  $g^* \in G^*$ , let  $\ell(g^*)$  be the minimal length of a word in the alphabet  $X^*$  which equals  $g^*$ . Let  $t = \max\{\ell(g^*) : g^* \in G^*\}$ . Note that every element in  $\mathbb{O}U \setminus N$

can be written as a word of length at most  $t$  in the alphabet  $\hat{X} \cap (\mathbb{O}U)$ . Hence, by (1), as  $Um \subseteq N(y)$ , for  $y \in \hat{X} \cap \mathbb{O}U$ ,  $Um^t \subseteq N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ .

We now complete the proof of Theorem 9.1. Suppose  $\hat{K} \neq \mathbb{O}U \setminus N$ . Let  $1 \leq t \in \mathbb{Z}$ , minimal subject to  $Um^t \subseteq N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ . Since  $\hat{K} \neq \mathbb{O}U \setminus N$ ,  $t \geq 2$ . Since there exists  $y \in \mathbb{O}U \setminus N$  such that  $Um^{t-1} \not\subseteq N(y)$ , we may assume without loss of generality that  $m^{t-1} \notin N(y)$ . Set  $s = m^{t-1}$ . Notice that  $s^2 = m^{2(t-1)}$ , and as  $2(t-1) \geq t$ , we conclude that  $s^2 \in N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ . But now, by 7.8,  $s \in N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ . This implies that  $Us \subseteq N(z)$ , for all  $z \in \mathbb{O}U \setminus N$ , a contradiction.

### 10. The construction of the local ring $R$ and the proof of Theorem A

In this section we continue the hypotheses of Section 7. In addition, in view of Theorem 9.1, we know that  $\hat{K} = \mathbb{O}U \setminus N$ . We will construct a local ring  $R$  and finally prove Theorem A.

(10.1) *Let  $a \in G$ . Then*

- (1) *If  $a \notin N$ , then  $\mathbb{M} \subseteq N(a)$  if and only if  $a = na_1$ , for some  $n \in U \cup \bar{\mathbb{N}}$  and some  $a_1 \in \hat{K}$ .*
- (2) *If  $a \notin N$ , then  $U \subseteq N(a)$  if and only if  $a = \bar{n}a_1$ , for some  $\bar{n} \in \bar{\mathbb{N}}$  and some  $a_1 \in \hat{K}$ .*
- (3) *If  $a \in N$ , then  $\mathbb{M} \subseteq N(a)$ , if and only if  $a \in U \cup \bar{\mathbb{N}}$ .*
- (4) *If  $a \in N$ , then  $\bar{\mathbb{N}} \subseteq N(a)$  if and only if  $a \in \bar{\mathbb{N}}$ .*

*Proof.* Note first that if  $a \notin N$ , then by Theorem 9.1, and by 7.1.1,  $a = na_1$ , for some  $n \in N$  and some  $a_1 \in \hat{K}$ .

Suppose  $a \notin N$ . Write  $a = na_1$ , with  $n \in N$  and  $a_1 \in \hat{K}$ . Now suppose that  $\mathbb{M} \subseteq N(a)$  and let  $u \in U$  such that  $u \notin N(a_1)$  (see 7.7.6). Then  $a + nu = n(a_1 + u) \notin N$ . Hence  $nu \notin \mathbb{M}$ , so  $nu \in U \cup \bar{\mathbb{N}}$ . It follows that  $n \in U \cup \bar{\mathbb{N}}$ . Suppose that  $U \subseteq N(a)$ ; then  $Un^{-1} \subseteq N(a_1)$ . But by 7.7.6,  $U \not\subseteq N(a_1)$  and hence, by 7.2.2,  $n^{-1} \in \bar{\mathbb{M}}$ , so that  $n \in \bar{\mathbb{N}}$ .

Conversely, let  $a_1 \in \hat{K}$  and  $n \in U \cup \bar{\mathbb{N}}$ . If  $n \in U$ , then  $na_1 \in \hat{K}$ , so that  $\mathbb{M} \subseteq N(na_1)$ . If  $n \in \bar{\mathbb{N}}$ , then for all  $u \in U$ ,  $na_1 + u = n(a_1 + n^{-1}u)$ , and as  $n^{-1}u \in \mathbb{M}$ ,  $na_1 + u \in N$ . Hence  $U \subseteq N(na_1)$ . This completes the proof of (1) and (2). (3) and (4) are as in 7.2.5.

*Definition.* We define

$$R = \{x \in D : x = 0, \text{ or } \mathbb{M} \subseteq N(x)\},$$

$$I = \{r \in R : r = 0 \text{ or } U \subseteq N(r)\}.$$

$$(10.2) (1) R \cap N = U \cup \bar{N}.$$

- (2)  $R \cap (G \setminus N) = \{nk : n \in U \cup \bar{N} \text{ and } k \in \hat{K}\}.$   
 (3)  $R$  is a subring of  $D$ .  
 (4)  $I$  is the unique maximal ideal of  $R$ .  
 (5)  $R \setminus I = \mathbb{O}U$  is the group of unites of  $R$ .

*Proof.* (1) and (2) are as in 10.1.3 and 10.1.1 respectively. Let  $x, y \in R^\#$ . To show  $x + y \in R$ , suppose  $x \neq -y$ . Assume first that  $x, y \in N$ . If  $x + y \in N$ , then by 6.3, 6.4 and (1),  $x + y \in U \cup \bar{N}$ , so  $x + y \in R$ . Suppose  $x + y \notin N$ . Then since  $-x \in N(x + y)$ , and  $-x \in U \cup \bar{N}$ , we get from 7.2.2 that  $\mathbb{M} \subseteq N(x + y)$ , so  $x + y \in R$ .

Now assume  $x \notin N$ . If  $y \in N(x)$ , then  $x + y \in Uy$ , by 7.2.1, and as  $y \in U \cup \bar{N}$ ,  $Uy \subseteq U \cup \bar{N}$ , so  $x + y \in R$ . If  $y \in N \setminus N(x)$ , then since  $y \in U \cup \bar{N}$ ,  $y + m \in \mathbb{M}$ , for all  $m \in \mathbb{M}$  and hence  $x + y + m \in N$ , for all  $m \in \mathbb{M}$ . Hence  $\mathbb{M} \subseteq N(x + y)$ , so  $x + y \in R$ .

Suppose  $x, y \notin N$ ; then by 7.7.4,  $x + y \in R$ . Let  $x, y \in R^\#$ . It is easy to see that  $xy \in R$  by (1) and (2).

The proof of (4) is similar to the proof of (3) from (1), (2), 10.1 and 7.7.3, and we omit the details. Let  $r \in R \setminus I$ . Then  $\mathbb{M} \subseteq N(r) \not\subseteq U$ , so by 10.1.1 and 10.1.2, if  $r \notin N$ , then  $r = uk$ , for some  $k \in \hat{K}$  and  $u \in U$ ; so  $r \in \mathbb{O}U$ , while if  $r \in N$ , then by 10.1.3 and 10.1.4,  $r \in U$  which shows that  $R \setminus I \subseteq \mathbb{O}U$ . The inclusion  $\mathbb{O}U \subseteq R \setminus I$  follows from the fact that  $\mathbb{O}U \subseteq R$  and from 7.7.6. This proves (5).

Let

$$\phi : R \rightarrow R/I$$

be the canonical homomorphism. Let

$$\psi : \mathbb{O}U \rightarrow (R/I)^\#$$

be the multiplicative group homomorphism induced by  $\phi$ .

$$(10.3) (1) R/I \text{ is a division algebra.}$$

- (2)  $\psi$  is surjective and  $\ker \psi \leq U$ .  
 (3)  $R/I$  is infinite.

*Proof.* (1) and the first part of (2) are obvious. Let  $r \in \ker \psi$ . Then  $r - 1 \in I$ . Hence  $r - 1 = a \in I$ . But then  $r = a + 1$ , and as  $a \in I$ ,  $a + 1 \in N$ ; thus  $r \in N$ . It follows that  $r \in (\mathbb{O}U) \cap N = U$ , by 7.1.2. Next we prove (3). Since  $\ker \psi \leq U$  and since, by 7.1.3,  $\mathbb{O}U/U \simeq G^*$ , we see that  $G^*$  is a homomorphic image of  $\mathbb{O}U/\ker \psi$  ( $\mathbb{O}U/U \simeq (\mathbb{O}U/\ker \psi)/(U/\ker \psi)$ ). Hence  $G^*$  is a homomorphic image of  $(R/I)^\#$ . But if  $R/I$  is finite, then  $R/I$  is a field, which is impossible. Hence  $R/I$  is infinite.



$$(10.4) \quad \mathbb{O}U \subseteq U + U.$$

*Proof.* We apply Theorem 1.6 to the division ring  $R/I$ . Since  $\psi(U)$  is a subgroup of finite index in  $(R/I)^\#$ , Theorem 1.6 implies that for all  $r \in R \setminus I$ , there are  $u_1, u_2 \in U$  such that  $r + I = u_1 - u_2 + I$ . Hence  $r = u_1 - u_2 + a$ , with  $a \in I$ . Note now that by 6.3.2, 7.2.1, 10.1.4 and the definition of  $I$ ,  $-u_2 + a \in U$ . Hence for all  $r \in R \setminus I$ ,  $r = u + v$ , with  $u, v \in U$ . But by 10.2.5,  $R \setminus I = \mathbb{O}U$ ; so the proof is complete.

We can now reach the final contradiction and complete the proof of Theorem A. Note that by 7.3.1 and 7.4,  $\hat{K} \cap \Phi \neq \emptyset$  and by 7.7.6, if  $k \in \hat{K} \cap \Phi$ , then  $N(k) = \mathbb{M}$ . Let  $k \in \hat{K} \cap \Phi$ . By 10.4, there are  $u, v \in U$ , with  $k = u + v$ . Thus,  $-u \in N(k)$ . But  $N(k) = \mathbb{M}$ , a contradiction.

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