

## ONE-SIDED LEBESGUE BERNOULLI MAPS OF THE SPHERE OF DEGREE $n^2$ AND $2n^2$

JULIA A. BARNES and LORELEI KOSS

(Received 24 November 1997 and in revised form 10 February 1998)

**ABSTRACT.** We prove that there are families of rational maps of the sphere of degree  $n^2$  ( $n = 2, 3, 4, \dots$ ) and  $2n^2$  ( $n = 1, 2, 3, \dots$ ) which, with respect to a finite invariant measure equivalent to the surface area measure, are isomorphic to one-sided Bernoulli shifts of maximal entropy. The maps in question were constructed by Böttcher (1903–1904) and independently by Lattès (1919). They were the first examples of maps with Julia set equal to the whole sphere.

**Keywords and phrases.** One-sided Bernoulli, rational maps of the sphere, Julia sets.

2000 Mathematics Subject Classification. Primary 28D99.

**1. Introduction.** We consider rational maps of the sphere with Julia set equal to the whole sphere. Böttcher [3, 4] and Lattès [7] independently constructed the first examples of such maps. A map is said to be one-sided Bernoulli of maximal entropy if it can be modeled by a fair  $d$ -sided die toss, where  $d$  is the degree of the map. Freire et al. [5] and independently Lyubich [8] conjectured that all rational maps of the sphere of degree greater than 1 are one-sided Bernoulli with respect to a particular measure, namely the measure of maximal entropy. Mañé [9] and Lyubich [8] proved that, for every rational map of the sphere of degree greater than 1, the maximal entropy measure is unique. Mañé [10] showed that for every rational map, there is some forward iterate which is one-sided Bernoulli with respect to the maximal entropy measure. However, the conjecture that all rational maps are one-sided Bernoulli is yet unknown. In [12], Parry discusses some of the reasons why proving that a map is one-sided Bernoulli is particularly difficult.

In this paper, we examine two families of rational maps which were constructed by Böttcher and Lattès, and we construct direct isomorphisms between these families of maps and one-sided Bernoulli shifts with respect to the maximal entropy measure. For the maps we examine here, the maximal entropy measure is equivalent to one of the most natural measures on the sphere, the normalized surface area measure. Furthermore, Zdunik [16] proved that the only maps that could possibly be one-sided Bernoulli with respect to a measure equivalent to the surface area measure are maps constructed in the fashion discussed here, i.e., as factors of toral endomorphisms.

Let  $R_\omega$ , for  $\omega = n$  or  $n + ni$ , denote the families of maps we consider where  $n \in \mathbb{N}$ , and  $\omega\bar{\omega} \geq 2$ . In Section 2, we describe how the maps  $R_\omega$  from these two families are constructed. In Section 3, we construct a toral map isomorphic to  $R_\omega$  which is used throughout the rest of this paper. In Section 4, the one-sided Lebesgue Bernoulli

property is introduced and the method used to prove  $R_\omega$  is one-sided Bernoulli is presented. Then Sections 5 and 6 are devoted to proofs concerning the two families of maps,  $R_n$  and  $R_{n+ni}$ , respectively, using an integral lattice. In the last section, we extend the results from Sections 5 and 6 to hold for any appropriate lattice.

**2. Basic construction.** Let  $\mathbb{C}_\infty$  denote the complex sphere and  $m$  denote the normalized surface area measure of  $\mathbb{C}_\infty$ . Let  $\alpha, \beta \in \mathbb{C} - \{0\}$  be such that  $\alpha/\beta$  is not a real number. We define a lattice of points in the complex plane by  $\Gamma = [\alpha, \beta] = \{\alpha i + \beta j : i, j \in \mathbb{Z}\}$ . Given a lattice  $\Gamma$  and a complex number  $\omega$  satisfying  $\omega\Gamma \subset \Gamma$ , the map  $T_\omega(z) = \omega z \pmod{\Gamma}$  gives an endomorphism of the complex torus  $\mathbb{C}/\Gamma$ . In this paper, we restrict to maps  $T_\omega$ , where  $\omega = n$ ,  $n \in \mathbb{Z} - \{0, 1, -1\}$ , or  $\omega = n + ni$ ,  $n \in \mathbb{Z} - \{0\}$ .

Let  $\wp_\Gamma$  denote the Weierstrass elliptic function defined on a lattice  $\Gamma$  by

$$\wp_\Gamma(z) = \frac{1}{z^2} + \sum_{\zeta \in \Gamma - \{0\}} \left[ \frac{1}{(z + \zeta)^2} - \frac{1}{\zeta^2} \right]. \tag{2.1}$$

It is easy to see that  $\wp_\Gamma$  is an even, onto, 2-fold branched cover of  $\mathbb{C}_\infty$ . Until Section 7 of this paper, we use  $\Gamma = [1, i]$ , and we denote  $\wp = \wp_{[1, i]}$ . We define a map  $R_\omega : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$  by  $R_\omega = \wp T_\omega \wp^{-1}$ . The map  $R_\omega$  is well defined since  $T_\omega\Gamma \subset \Gamma$ , and hence the following diagram commutes:

$$\begin{array}{ccc} \mathbb{C}/\Gamma & \xrightarrow{T_\omega} & \mathbb{C}/\Gamma \\ \downarrow \wp & & \downarrow \wp \\ \mathbb{C}_\infty & \xrightarrow{R_\omega} & \mathbb{C}_\infty. \end{array} \tag{2.2}$$

Since  $R_\omega$  is locally a composition of analytic maps,  $R_\omega$  is analytic. Further, all analytic maps of  $\mathbb{C}_\infty$  are rational, so  $R_\omega$  is a rational map with degree  $d = \omega\bar{\omega}$ . Thus, for  $\omega = n$ , we have the  $\text{deg}(R_\omega) = n^2$  and for  $\omega = n + ni$ ,  $\text{deg}(R_\omega) = 2n^2$ .

It can be shown explicitly via algebraic identities that  $R_2(z) = (z^2 + 1)^2/[4z(z^2 - 1)]$  as done in [2]. Also, Ueda [15] has proved that the rational map  $R_{1+i}$  of the sphere is given by the equation  $R_{1+i}(z) = (1/2i)(z - (1/z))$ , a degree 2 map.

We represent the torus  $\mathbb{C}/\Gamma$  by a period parallelogram  $\Omega_0 = \{x + iy : x, y \in \mathbb{R}, 0 \leq x, y < 1\}$ , the unit square without the top or right boundaries. Let  $\mathcal{B}_0$  be the Lebesgue measurable sets in  $\Omega_0$ , and let  $leb$  be normalized 2-dimensional Lebesgue measure restricted to  $\Omega_0$ . We use the  $\sigma$ -algebra  $\mathcal{B}$  and measure  $\nu$  on  $\mathbb{C}_\infty$  induced by the factor map  $\wp$ . Thus  $\mathcal{B}$  is precisely the  $\sigma$ -algebra of Borel sets on  $\mathbb{C}_\infty$  and the measure  $\nu$  is given by  $\nu(A) = leb(\wp|_{\Omega_0}^{-1}A)$  for all  $A \in \mathcal{B}$ . By definition, since  $T_\omega$  is measure-preserving with respect to  $leb$ ,  $R_\omega$  is measure-preserving with respect to  $\nu$ . We note that the measure  $\nu$  is equivalent to  $m$ , the normalized surface area measure on  $\mathbb{C}_\infty$ .

Since  $\wp$  is an even map,  $\wp(u) = \wp(-u)$  for all  $u \in \mathbb{C}$ . Therefore, the map  $R_\omega$  has critical values at precisely the points  $\wp(u)$  where  $u \equiv -u \pmod{\Gamma}$ , as  $R_\omega$  fails to have  $d$  distinct preimages at these points. There are exactly 4 points in the period parallelogram  $\Omega_0$  where  $u \equiv -u \pmod{\Gamma}$ . These are

$$V = \left\{ \frac{1}{2}, \frac{i}{2}, \frac{1+i}{2}, 0 \right\}. \tag{2.3}$$

Therefore, the critical values (i.e., the images under  $R_\omega$  of the critical points) of the rational map  $R_\omega$  are

$$e_1 = \wp\left(\frac{1}{2}\right), \quad e_2 = \wp\left(\frac{i}{2}\right), \quad e_3 = \wp\left(\frac{1+i}{2}\right), \quad \wp(0) = \infty. \tag{2.4}$$

The dynamical behavior of a rational map  $R_\omega$  depends on the postcritical set of  $R_\omega$ , which is defined as

$$P(R_\omega) = \overline{\bigcup_{j>0} \{R_\omega^j(c) : c \text{ is a critical point of } R_\omega\}}. \tag{2.5}$$

For  $\omega = n$  or  $\omega = n + ni$ ,  $P(R_\omega) = \{e_1, e_2, e_3, \infty\}$ . The postcritical orbit of the map  $R_\omega$  depends on whether  $n$  is even or odd.

For any  $\omega = n$  or  $\omega = n + ni$  with  $n$  even,

$$e_1 \rightarrow \infty \rightarrow \infty \cdots, \quad e_2 \rightarrow \infty \rightarrow \infty \cdots, \quad e_3 \rightarrow \infty \rightarrow \infty \cdots. \tag{2.6}$$

If  $\omega = n$  and  $n$  is odd, then

$$e_1 \rightarrow e_1 \cdots, \quad e_2 \rightarrow e_2 \cdots, \quad e_3 \rightarrow e_3 \cdots, \quad \infty \rightarrow \infty \cdots. \tag{2.7}$$

If  $\omega = n + ni$  and  $n$  is odd, then

$$e_1 \rightarrow e_3 \rightarrow \infty \rightarrow \infty \cdots, \quad e_2 \rightarrow e_3 \rightarrow \infty \rightarrow \infty \cdots. \tag{2.8}$$

In all cases,  $R_\omega$  has a finite postcritical set, the critical values are periodic or preperiodic, and none of the critical points of  $R_\omega$  are periodic (i.e., there are no critical points  $c$  of  $R_\omega$  and no integers  $r > 0$  such that  $R_\omega^r(c) = c$ ). Therefore,  $R_\omega$  is ergodic and conservative (see [14]) as well as exact (see [1]) with respect to  $m$ .

**3. Realization of  $R_\omega$  on the torus.** In order to study the behavior of  $R_\omega$  on the sphere, we analyze the behavior of an isomorphic map on a subset  $\Omega_\omega$  of the topological closure of the period parallelogram  $\Omega_0$  as defined below. Due to the differences in the structure of  $P(R_\omega)$  for  $n$  even and  $n$  odd, we define  $\Omega_\omega$  separately for  $n$  even and  $n$  odd as follows:

$$\begin{aligned} \text{If } n \text{ is even, } \Omega_\omega &= \left\{x + iy \in \overline{\Omega}_0 : 0 \leq y \leq \frac{1}{2}\right\}. \\ \text{If } n \text{ is odd, } \Omega_\omega &= \{x + iy \in \overline{\Omega}_0 : y \leq x\}. \end{aligned} \tag{3.1}$$

See Figure 3.1 for an illustration of  $\Omega_\omega$ .

We next discuss some properties associated with  $\Omega_\omega$ . For all  $u \in \Omega_0$ , we define an operation prime ( $'$ ) by  $u' = 1 + i - u \in \Omega_0$ . Note that  $u' = -u \pmod{\Gamma}$  and  $u'' = u$ .

**LEMMA 3.1.** *There is a set of leb-measure one in  $\Omega_0$  on which the following property holds:*

$$u \in \Omega_\omega \text{ if and only if } u' \in \Omega_0 - \Omega_\omega. \tag{3.2}$$

**PROOF.** Let  $\partial\Omega_\omega$  denote the boundary of  $\Omega_\omega$ , and  $\Omega_\omega^0 = \Omega_\omega - \partial\Omega_\omega$  denote the interior of  $\Omega_\omega$ . Clearly,  $\partial\Omega_\omega$  has leb-measure 0, so it suffices to prove this lemma for the

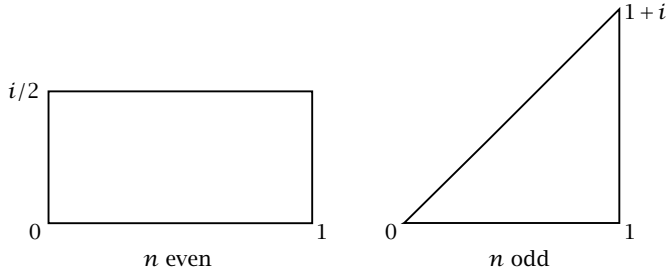


FIGURE 3.1. Illustration of  $\Omega_\omega$ .

points in  $\Omega_\omega^0$ . Suppose  $u \in \Omega_\omega^0$ . Then the midpoint of the line segment connecting  $u$  and  $u'$  in  $\mathbb{C}$  is  $(u + u')/2 = (1 + i)/2$  which is the center of  $\Omega_0$ . Thus  $u' \in \Omega_0 - \Omega_\omega$  by the symmetry of  $\Omega_0$ .

The other direction works similarly.

Let  $\theta_\omega = \wp|_{\Omega_\omega}$ . We recall that  $\wp$  is a two-to-one (except at 4 points) surjective map, and  $\wp(u) = \wp(u')$ . From Lemma 3.1,  $\theta_\omega$  is measure-theoretically one-to-one. Therefore,  $\theta_\omega^{-1}(z)$  has a unique value for every  $z \in \mathbb{C}_\infty$  in a set of  $\nu$ -measure 1.

Define  $\hat{T}_\omega : \Omega_\omega \rightarrow \Omega_\omega$  such that  $\hat{T}_\omega(u) = \theta_\omega^{-1}R_\omega\theta_\omega(u)$  and the following diagram commutes:

$$\begin{array}{ccc}
 \Omega_\omega & \xrightarrow{\hat{T}_\omega} & \Omega_\omega \\
 \downarrow \theta_\omega & & \downarrow \theta_\omega \\
 \mathbb{C}_\infty & \xrightarrow{R_\omega} & \mathbb{C}_\infty.
 \end{array} \tag{3.3}$$

Let  $\mathcal{B}_\omega$  be the Lebesgue measurable sets in  $\Omega_\omega$ . We define  $\mu(A) = 2 \text{leb}(A)$  for all  $A \in \mathcal{B}_\omega$ . Thus,  $\hat{T}_\omega$  is well defined on a set of  $\mu$ -measure 1 and  $\hat{T}_\omega$  is measure preserving with respect to  $\mu$ . Using the construction defined above, we are able to prove the following proposition which enables us to study the behavior of  $R_\omega$  by analyzing  $\hat{T}_\omega$  on  $\Omega_\omega$ . □

**PROPOSITION 3.2.** *We have  $(\Omega_\omega, \mathcal{B}_\omega, \mu, \hat{T}_\omega) \cong (\mathbb{C}_\infty, \mathcal{B}, \nu, R_\omega)$ .*

**PROOF.** Let  $U$  be a set of *leb*-measure 1 in  $\Omega_0$  given in Lemma 3.1, and define  $U_\omega = U \cap \Omega_\omega$ . Then  $\theta_\omega$  is one-to-one on  $U_\omega$ . By the definitions of  $\nu$  and  $\mu$ ,  $1 = \nu(\wp(U_\omega)) = \mu(\theta_\omega^{-1}(\wp(U_\omega))) = \mu(\Omega_\omega) = \nu(\mathbb{C}_\infty)$ .

Combining these facts with the construction of  $\hat{T}_\omega$  above, we have shown that  $\theta_\omega : U_\omega \rightarrow \wp(U_\omega)$  is a measure-theoretic isomorphism, proving the proposition. □

**4. The one-sided Lebesgue Bernoulli property.** Let  $X_d^+ = \prod_{j=0}^\infty \{0, 1, 2, \dots, d-1\}$  be the collection of one-sided sequences on  $d$  symbols. Let  $\mathcal{C}$  be the  $\sigma$ -algebra generated by cylinder sets of  $X_d^+$  and let  $\sigma$  be the one-sided shift on  $X_d^+$  given by  $\sigma(x_0, x_1, x_2, \dots) = (x_1, x_2, \dots)$ . Let  $p_d$  be the  $(1/d, 1/d, \dots, 1/d)$  Bernoulli measure on  $X_d^+$ , i.e.,  $p_d([j_0, j_1, \dots, j_{k-1}]) = 1/d^k$ , where  $[j_0, j_1, \dots, j_{k-1}] = \{x \in X_d^+ : x_0 = j_0, x_1 = j_1, \dots, x_{k-1} = j_{k-1}\}$  is a cylinder set.

We say that a map  $R_\omega$  is one-sided Bernoulli with respect to the measure  $\nu$  if  $(\mathbb{C}_\infty, \mathcal{B}, \nu, R_\omega)$  is isomorphic to  $(X_d^+, \mathcal{C}, p_d, \sigma)$ . Due to Proposition 3.2, this is equivalent to satisfying  $(\Omega_\omega, \mathcal{B}_\omega, \mu, \hat{T}_\omega) \cong (X_d^+, \mathcal{C}, p_d, \sigma)$ .

To prove that  $\hat{T}_\omega$  is one-sided Bernoulli with respect to  $\mu$ , we construct a partition  $\mathcal{P}_\omega$  of the space  $\Omega_\omega$  into  $d$  congruent atoms:  $A_0, A_1, \dots, A_{d-1}$ . Then we code the system using a map  $\varphi_\omega : \Omega_\omega \rightarrow X_d^+$  such that  $\varphi_\omega(u) = c_{i_1}, c_{i_2}, c_{i_3}, \dots$  where  $\hat{T}_\omega^j(u) \in A_{i_j}$ . Notice that  $\hat{T}_\omega^j(\hat{T}_\omega(u)) = \hat{T}_\omega^{j+1}(u) \in A_{c_{j+1}}$ . Using this property, it is easy to show that  $\sigma\varphi_\omega(u) = \varphi_\omega(\hat{T}_\omega(u))$ .

The map  $\varphi_\omega$  is the standard method used to code a system from a partition. The join of two partitions  $\eta$  and  $\xi$  is defined to be  $\eta \vee \xi = \{A_j \cap B_k : A_j \in \eta, B_k \in \xi\}$ . We define  $\mathcal{P}_\omega^j = \bigvee_{r=0}^j T_\omega^{-r}(\mathcal{P}_\omega) = \mathcal{P}_\omega \vee T_\omega^{-1}(\mathcal{P}_\omega) \vee \dots \vee T_\omega^{-j}(\mathcal{P}_\omega)$ , and we use Proposition 4.1 to show that the map  $\varphi_\omega$  is an isomorphism. A proof of Proposition 4.1 can be found in Petersen [13]; see Barnes [1] for the noninvertible case.

**PROPOSITION 4.1.** *If  $\mathcal{P}_\omega$  generates under  $\hat{T}_\omega$ , then the map  $\varphi_\omega : \Omega_\omega \rightarrow X_d^+$  is an isomorphism.*

To show that  $\mathcal{P}_\omega$  is a generating partition, i.e.,  $\widehat{\bigvee_{j=0}^\infty \mathcal{P}_\omega^j}$  is the Borel  $\sigma$ -algebra (mod 0), we apply Theorem 4.2, whose proof can be found in Mañé [11].

**THEOREM 4.2.** *Let  $(X, \mathcal{D}, \mu)$  be a probability space, where  $X$  is a locally complete separable metric space and  $\mathcal{D}$  is the Borel  $\sigma$ -algebra of  $X$ . Let  $\mathcal{P}_\omega^j, j \geq 1$  be a sequence of partitions such that*

$$\lim_{j \rightarrow \infty} \left( \sup_{P \in \mathcal{P}_\omega^j} \text{diam}(P) \right) = 0. \tag{4.1}$$

Then  $\widehat{\bigvee_{j=0}^\infty \mathcal{P}_\omega^j} = \mathcal{D} \pmod{0}$ .

**5. The family  $R_n$ .** Recall that  $T_2(u) = 2u \pmod{\Gamma}$  and  $R_2(z) = \wp T_2 \wp^{-1}(z)$ . We prove the following for  $R_2$ .

**THEOREM 5.1.** *For  $\Gamma = [1, i]$ , the rational map  $R_2(z)$  with measure  $\nu$  on  $\mathbb{C}_\infty$  is isomorphic to the one-sided  $(1/4, 1/4, 1/4, 1/4)$  Bernoulli shift on 4 symbols with measure  $p_4$ ; i.e.,  $(\mathbb{C}_\infty, \mathcal{B}, \nu, R_2) \cong (X_4^+, \mathcal{C}, p_4, \sigma)$ .*

**PROOF.** In order to use the methods described in Section 4, we define a partition,  $\mathcal{P}_2$  of  $\Omega_2$  by  $\mathcal{P}_2 = \{A_k : 0 \leq k \leq 3\}$ , where

$$\begin{aligned} A_0 &= \left\{ x + iy \in \Omega_2 : x, y \in \mathbb{R}, 0 \leq x < \frac{1}{2}, 0 \leq y < \frac{1}{4} \right\}, \\ A_1 &= \left\{ x + iy \in \Omega_2 : x, y \in \mathbb{R}, \frac{1}{2} \leq x \leq 1, 0 \leq y < \frac{1}{4} \right\}, \\ A_2 &= \left\{ x + iy \in \Omega_2 : x, y \in \mathbb{R}, 0 \leq x < \frac{1}{2}, \frac{1}{4} \leq y \leq \frac{1}{2} \right\}, \\ A_3 &= \left\{ x + iy \in \Omega_2 : x, y \in \mathbb{R}, \frac{1}{2} \leq x \leq 1, \frac{1}{4} \leq y \leq \frac{1}{2} \right\}. \end{aligned} \tag{5.1}$$

To determine the partitions  $\mathcal{P}_2^j$ , we analyze images of the boundaries of the atoms of  $\mathcal{P}_2$  under  $\widehat{T}_2^{-1}$ . All of these boundaries are horizontal or vertical lines. Notice that any vertical line in  $\Omega_2$  can be expressed by  $l_{v,k} = \{u \in \Omega_2 : \operatorname{Re}(u) = k\}$ , for some real number  $k$ .  $\widehat{T}_2^{-1}(l_{v,k})$  is a pair of vertical lines described by  $\{u \in \Omega_2 : \operatorname{Re}(u) = k/2 \text{ or } \operatorname{Re}(u) = (k+1)/2\}$ . Similarly, any horizontal line in  $\Omega_2$  is of the form  $l_{h,k} = \{u \in \Omega_2 : \operatorname{Im}(u) = k\}$  for some  $k \in \mathbb{R}$  and also has 2 lines in its image under  $\widehat{T}_2^{-1}$ . One of these lines is the horizontal line satisfying  $l_1 = \{u \in \Omega_2 : \operatorname{Im}(u) = k/2\}$ . The other line is  $l_2 = \{u \in \Omega_2 : \operatorname{Im}(u) = (1-k)/2\}$ . This comes from the fact that  $2l_2 = \operatorname{Im}(u) = 1-k \in \Omega_0 - \Omega_2$ , and for all  $u \in \{z : \operatorname{Im}(z) = 1-k\}$ ,  $u' \in \{z : \operatorname{Re}(z) = k\}$ . In Figure 5.1, we illustrate the partitions  $\mathcal{P}_2$  and  $\mathcal{P}_2^1$ .

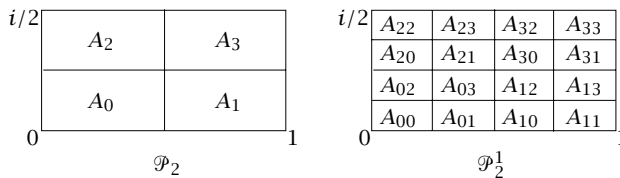


FIGURE 5.1. Partitions for  $\widehat{T}_2$ .

As  $j$  approaches  $\infty$ , the sequence of partitions consists of rectangles with smaller and smaller diameter. We can calculate

$$\lim_{j \rightarrow \infty} \left( \sup_{P \in \mathcal{P}_2^j} \operatorname{diam}(P) \right) = \lim_{j \rightarrow \infty} \frac{\sqrt{5}}{2^{4+2j}} = 0. \tag{5.2}$$

Therefore, by Proposition 4.1 and Theorem 4.2,  $\varphi_2$  is an isomorphism. □

We extend the same type of argument to handle all  $n \in \mathbb{Z} - \{0, 1, -1\}$ .

**THEOREM 5.2.** *For  $\Gamma = [1, i]$ , the rational map  $R_n(z)$ ,  $n \in \mathbb{Z} - \{0, 1, -1\}$  with measure  $\nu$  on  $\mathbb{C}_\infty$  is isomorphic to the one-sided  $(1/n^2, \dots, 1/n^2)$  Bernoulli shift on  $n^2$  symbols with measure  $p_{n^2}$ ; i.e.,  $(\mathbb{C}_\infty, \mathcal{B}, \nu, R_2) \cong (X_{n^2}^+, \mathcal{C}, p_{n^2}, \sigma)$ .*

**PROOF.** First we consider the case where  $n$  is even. By increasing the value of the even number  $n$ , the partition becomes an  $n \times n$  grid instead of a  $2 \times 2$  grid. More precisely,  $\mathcal{P}_n = \{A_k : 0 \leq k \leq n^2 - 1\}$ , where

$$A_k = \left\{ x + iy \in \Omega_n : \frac{k \pmod n}{n} \leq x < \frac{k \pmod n + 1}{n}, \right. \tag{5.3} \\ \left. \frac{(k/n) - (k/n) \pmod 1}{2n} \leq y \leq \frac{(k/n) - (k/n) \pmod 1 + 1}{2n} \right\}.$$

The behavior of  $\widehat{T}_n$  on  $\mathcal{P}_n^j$  is similar to that of  $\widehat{T}_2$  on  $\mathcal{P}_2^j$  in that each atom of the partition  $\mathcal{P}_n^j$  is a rectangle which decreases in diameter as  $j$  increases. For  $|n| > 2$ , the diameter of any atom of any partition  $\mathcal{P}_n^j$  is strictly less than the diameter of an atom of  $\mathcal{P}_2^j$ . Thus

$$\lim_{j \rightarrow \infty} \left( \sup_{P \in \mathcal{P}_n^j} \text{diam}(P) \right) < \lim_{j \rightarrow \infty} \frac{\sqrt{5}}{2^{4+2j}} = 0, \tag{5.4}$$

and  $\varphi_n$  is an isomorphism when  $n$  is even.

Now suppose  $n$  is odd. Then  $\Omega_n$  is a triangle instead of a rectangle, but it still has half the *leb*-measure of the period parallelogram  $\Omega_0$ . As in the even case, the key to the proof comes from defining the appropriate partition  $\mathcal{P}_n$ .

We define  $\mathcal{P}_n = \{A_k : 0 \leq k \leq n^2 - 1\}$ , where  $s_k = (k/n) - (k/n) \pmod{1}$  and

$$A_k = \left\{ \begin{aligned} &x + iy \in \Omega_n \text{ or } (x + iy)' \in \Omega_n : \frac{k \pmod{n}}{n} \leq x < \frac{k \pmod{n} + 1}{n}; \\ &y - x < \frac{s_k - 2[k \pmod{n}]}{2n}, y > \frac{s_k}{2n} \text{ if } s_k \text{ is even;} \\ &y - x > \frac{s_k - 1 - 2[k \pmod{n}]}{2n}, y < \frac{s_k + 1}{2n} \text{ if } s_k \text{ is odd} \end{aligned} \right\}. \tag{5.5}$$

See Figure 5.2 for illustration of  $\mathcal{P}_3$ .

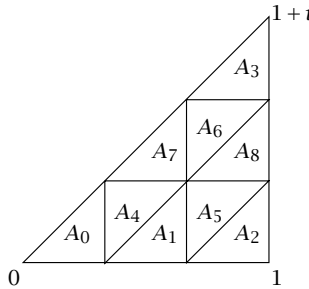


FIGURE 5.2. Partition  $\mathcal{P}_3$ .

This partition consists of right triangles which are contained in  $(1/n) \times (1/n)$  squares. Furthermore, we can calculate

$$\lim_{j \rightarrow \infty} \left( \sup_{P \in \mathcal{P}_n^j} \text{diam}(P) \right) = \lim_{j \rightarrow \infty} \frac{\sqrt{2}}{n^{j+1}} = 0, \tag{5.6}$$

and  $\varphi_n$  is an isomorphism. □

**6. The family  $R_{n+ni}$ .** We next extend Theorem 5.2 to the family of rational maps of the sphere  $R_{n+ni}(z) = \wp \circ T_{n+ni} \circ \wp^{-1}(z)$ , where  $T_{n+ni}(u) = (n + ni)(u) \pmod{\Gamma}$ .

**THEOREM 6.1.** *Let  $\Gamma = [1, i]$ . The rational map  $R_{n+ni}(z)$  with measure  $\nu$  on  $\mathbb{C}_\infty$  is isomorphic to the one-sided  $(1/d, \dots, 1/d)$  Bernoulli shift on  $d = 2n^2$  symbols with measure  $p_d$ ; i.e.,  $(\mathbb{C}_\infty, \mathcal{B}, \nu, R_{n+ni}) \cong (X_d^+, \mathcal{C}, p_d, \sigma)$ .*

**PROOF.** We begin by proving Theorem 6.1 in the case where  $n = 1$  and then extend the result to the maps of higher degree. We define a partition  $\mathcal{P}_{1+i} = \{A_0, A_1\}$  of  $\Omega_{1+i}$

as follows:

$$\begin{aligned} A_0 &= \{x + iy \in \Omega_{1+i} : x, y \in \mathbb{R}, y \leq x, y > -x + 1\}, \\ A_1 &= \{x + iy \in \Omega_{1+i} : x, y \in \mathbb{R}, y \leq x, y \leq -x + 1\}. \end{aligned} \tag{6.1}$$

For  $u = a + bi \in \mathbb{C}$ , we have  $T_{1+i}(u) = T_{1+i}(a + ib) = (a - b) + (a + b)i$ . Thus the action of  $T_{1+i}$  on a point  $u$  is to rotate it by  $\pi/4$  and increase its magnitude by  $\sqrt{2}$ , and  $T_{1+i}^{-1}$  rotates the point by  $-\pi/4$  and shrinks its magnitude by  $\sqrt{2}$ . We show illustrations of  $\mathcal{P}_{1+i}$ ,  $\mathcal{P}_{1+i}^1$ , and  $\mathcal{P}_{1+i}^2$  in Figure 6.1.

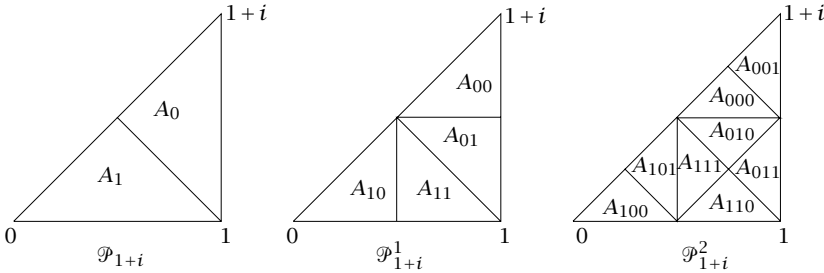


FIGURE 6.1. Partitions for  $\hat{T}_{1+i}$ .

The partition  $\bigvee_{r=0}^j \hat{T}_{1+i}^{-r}(\mathcal{P}_{1+i})$  cuts every  $P \in \mathcal{P}_{1+i}^{j-1}$  into two congruent triangles. Further, we can calculate for any  $P \in \mathcal{P}_{1+i}^j$  the  $\text{diam}(P) = 1/\sqrt{2}^j$ . Therefore,

$$\lim_{j \rightarrow \infty} \left( \sup_{P \in \mathcal{P}_{1+i}^j} \text{diam}(P) \right) = \lim_{j \rightarrow \infty} \frac{1}{\sqrt{2}^j} = 0. \tag{6.2}$$

Applying Proposition 4.1 and Theorem 4.2,  $\varphi_{1+i}$  is an isomorphism, and we have completed the proof in the case  $n = 1$ . □

Notice that the composition  $T_n \circ T_{1+i} = T_{n+ni}$  gives a degree  $2n^2$  map of the torus. By using the above arguments and Theorem 5.2, we see that  $R_{n+ni}$  is isomorphic to the one-sided  $(1/2n^2, \dots, 1/2n^2)$  Bernoulli shift on  $2n^2$  symbols.

**7. Changing the lattice.** We prove that the above isomorphism extends to other tori  $\mathbb{C}/\Gamma'$ , where  $T_\omega \Gamma' \subset \Gamma'$ . Suppose  $\Gamma' = [\gamma, \delta]$ . Then we can find real numbers  $x_1, y_1, x_2$ , and  $y_2$  such that

$$y = x_1\alpha + y_1\beta, \quad \delta = x_2\alpha + y_2\beta. \tag{7.1}$$

The period parallelogram for  $\mathbb{C}/\Gamma'$  becomes  $\Omega'_0 = \{c\gamma + d\delta \in \mathbb{C} : 0 \leq c, d < 1\}$ . We define a linear map  $L : \Omega_0 \rightarrow \Omega'_0$  by

$$L(u) = L(a + ib) = \begin{pmatrix} x_1 & y_1 \\ x_2 & y_2 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}. \tag{7.2}$$



We use  $L$  to define a measure-theoretic isomorphism between  $(\Omega_\omega, \mathcal{B}_\omega, \mu, T_\omega)$  and  $(Y_\omega, \mathcal{B}_Y, \mu_Y, T_{\omega,L})$ , where  $Y_\omega = L(\Omega_\omega) \subset \Omega'_0$ ,  $\mathcal{B}_Y$  is the Borel sets on  $Y_\omega$ ,  $\mu_Y$  is normalized Lebesgue measure in  $Y_\omega$ , and  $T_{\omega,L} = LT_\omega L^{-1}$ . We state this result in the following lemma.

**LEMMA 7.1.** *We have  $(\Omega_\omega, \mathcal{B}_\omega, \mu, T_\omega) \cong (Y_\omega, \mathcal{B}_Y, \mu_Y, T_{\omega,Y})$ .*

Lemma 7.1 provides us with the following corollary.

**COROLLARY 7.2.** *If  $T_\omega : \mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma$  is given by  $T_\omega(u) = \omega u \pmod{\Gamma}$ , where  $\omega = n$ ,  $n \in \mathbb{Z} - \{0, 1, -1\}$  or  $\omega = n + ni$ ,  $n \in \mathbb{Z} - \{0\}$ , then the rational map  $R_\omega(z) = \wp_\Gamma \circ T_\omega \circ \wp_\Gamma^{-1}(z)$  with measure  $\nu$  on  $\mathbb{C}_\infty$  is isomorphic to the one-sided  $(1/d, \dots, 1/d)$  Bernoulli shift on  $d = \omega\bar{\omega}$  symbols with measure  $p_d$ ; i.e.,  $(\mathbb{C}_\infty, \mathcal{B}_\omega, \nu, R_\omega) \cong (X_d^+, \mathcal{C}, p_d, \sigma)$ .*

This technique is further developed by Koss [6] to analyze other classes of critically finite rational maps with parabolic orbifolds.

**ACKNOWLEDGEMENTS.** The authors wish to thank their advisor Professor Jane M. Hawkins for her guidance and support. This work was partially supported by GAANN Fellowships (Graduate Assistance in Areas of National Need) and NSF Grant DMS 9203489.

#### REFERENCES

- [1] J. Barnes, *Application of Non-Invertible Ergodic Theory to Rational Maps of the Sphere*, Ph.D. thesis, University of North Carolina, Chapel Hill, 1996.
- [2] A. F. Beardon, *Iteration of Rational Functions*, Springer-Verlag, New York, 1991. MR 92j:30026. Zbl 742.30002.
- [3] L. E. Böettcher, *The principal convergence laws for iterates and their applications to analysis*, Izv. Fiz.-Mat. Obshch pri Imper. **13** (1903), no. 1, 1–37.
- [4] ———, *The principal convergence laws for iterates and their applications to analysis*, Izv. Fiz.-Mat. Obshch pri Imper. **14** (1904), no. 3–4, 155–234.
- [5] A. Freire, A. Lopes, and R. Mañé, *An invariant measure for rational maps*, Bol. Soc. Brasil. Mat. **14** (1983), no. 1, 45–62. MR 85m:58110b. Zbl 568.58027.
- [6] L. Koss, *Ergodic and Bernoulli Properties of Analytic Maps of Complex Projective Space*, Ph.D. thesis, University of North Carolina, Chapel Hill, 1998.
- [7] S. Lattès, *Sur l'iteration des substitutions rationnelles et les fonctions de Poincaré*, C. R. Acad. Sci. Paris. Ser. I. Math **166** (1919), 26–28.
- [8] M. Ju. Ljubich, *Entropy properties of rational endomorphisms of the Riemann sphere*, Ergodic Theory Dynamical Systems **3** (1983), no. 3, 351–385. MR 85k:58049. Zbl 537.58035.
- [9] R. Mañé, *On the uniqueness of the maximizing measure for rational maps*, Bol. Soc. Brasil. Mat. **14** (1983), no. 1, 27–43. MR 85m:58110a. Zbl 568.58028.
- [10] ———, *On the Bernoulli property for rational maps*, Ergodic Theory Dynamical Systems **5** (1985), no. 1, 71–88. MR 86i:58082. Zbl 605.28011.
- [11] ———, *Ergodic Theory and Differentiable Dynamics*, Springer-Verlag, Berlin, 1987. MR 88c:58040. Zbl 616.28007.
- [12] W. Parry, *Automorphisms of the Bernoulli endomorphism and a class of skew-products*, Ergodic Theory Dynam. Systems **16** (1996), no. 3, 519–529. MR 97h:28006. Zbl 851.58030.
- [13] K. Petersen, *Ergodic Theory*, Cambridge University Press, Cambridge, 1983. MR 87i:28002. Zbl 507.28010.
- [14] M. Rees, *Positive measure sets of ergodic rational maps*, Ann. Sci. École Norm. Sup. (4) **19** (1986), no. 3, 383–407. MR 88g:58100. Zbl 611.58038.

- [15] T. Ueda, *In Topics Around Dynamical Systems*, World Scientific Publ., 1993.
- [16] A. Zdunik, *Parabolic orbifolds and the dimension of the maximal measure for rational maps*, *Invent. Math.* **99** (1990), no. 3, 627–649. MR 90m:58120. Zbl 820.58038.

BARNES: DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, WESTERN CAROLINA UNIVERSITY, CULLOWHEE, NC 28723, USA

*E-mail address:* barnes@wpoff.wcu.edu

KOSS: DEPARTMENT OF MATHEMATICS, CB#3250, UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL, CHAPEL HILL, NC 27599-3250, USA

*E-mail address:* koss@dickinson.edu