

## RESEARCH NOTES

### THE SPACES $\mathcal{O}_M$ AND $\mathcal{O}'_C$ ARE ULTRABORNLOGICAL A NEW PROOF

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ABSTRACT. In [1] Laurent Schwartz introduced the spaces  $\mathcal{O}'_M$  and  $\mathcal{O}'_C$  of multiplication and convolution operators on temperate distributions. Then in [2] Alexandre Grothendieck used tensor products to prove that both  $\mathcal{O}'_M$  and  $\mathcal{O}'_C$  are bornological. Our proof of this property is more constructive and based on duality.

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We use  $C, N, R,$  and  $Z,$  resp., for the set of all complex, nonnegative integer, real, and integer numbers. For each  $q \in N,$  the space

$$L_q = \{f: R^n \rightarrow C; \|f\|_q^2 = \sum_{|\alpha+\beta| \leq q} \int_{R^n} x^{2\alpha} |D^\beta f(x)|^2 dx < +\infty\} \text{ is Hilbert.}$$

Here  $D^\beta f$  stands for the Sobolev generalized derivative. We denote by  $L_{-q}$  the strong dual of  $L_q$  and by  $\|\cdot\|_{-q}$  the standard norm on  $L_{-q}$ . Then the space  $\mathcal{S}$  of rapidly decreasing functions, resp. its strong dual  $\mathcal{S}'$ , is the  $\text{projlim}_{q \rightarrow \infty} L_q$ , resp.  $\text{indlim}_{q \rightarrow \infty} L_{-q}$ .

It is convenient to introduce the weight-function  $W(x) = (1 + |x|^2)^{\frac{1}{2}}, x \in R^n$ . The mapping  $T_k: f \mapsto W^k f: \mathcal{S}' \rightarrow \mathcal{S}'$ ,  $k \in Z$ , is injective. We denote by  $W^k L_m$ ,  $k, m \in Z$ , the image of  $L_m$  under  $T_k$  and provide it with the topology which makes  $T_k: L_m \rightarrow W^k L_m$  a topological isomorphism. Further,  $\mathcal{O}_q$ ,  $q \in N$ , stands for the  $\text{indlim}_{p \rightarrow \infty} W^p L_q$ , and  $\mathcal{O}'_{-q}$  for its strong dual. It is proved in [7] that for each  $q \in N$ , the space  $\mathcal{O}_q$  is reflexive and  $\mathcal{O}'_{-q} = \text{projlim}_{p \rightarrow \infty} W^{-p} L_{-q}$ . Finally, the space  $\mathcal{O}'_M$  of multiplication operators on  $\mathcal{S}'$  equals  $\text{projlim}_{q \rightarrow \infty} \mathcal{O}'_q$ , see [6].

PROPOSITION 1. The strong dual  $\mathcal{O}'_M$  of  $\mathcal{O}_M$  equals  $\text{indlim}_{q \rightarrow \infty} \mathcal{O}'_{-q}$ .

PROOF. The space  $\mathcal{S}$  is dense in each  $L_q$ ,  $q \in N$ . Hence  $\mathcal{S} = W^p \mathcal{S}$  is dense in  $W^p L_q$  for each  $p \in N$ . Then  $\mathcal{S}$ , and à fortiori its superset  $\mathcal{O}'_M$ , are dense in each

$\mathcal{O}_q = \text{indlim}_{p \rightarrow \infty} W^p L_q$ ,  $q \in \mathbb{N}$ . By [3, ch. IV, 4.4], the dual of  $\mathcal{O}_M$ , equipped with the Mackey topology, equals  $\text{indlim}_{q \rightarrow \infty} \mathcal{O}_{-q}$ . The Mackey and strong topologies on  $\mathcal{O}'_M$  coincide since  $\mathcal{O}_M$ , as a projective limit of reflexive spaces  $\mathcal{O}_q$ , is semireflexive, see [3, ch. IV, 5.5].

PROPOSITION 2.  $\mathcal{O}'_M$  is the strong dual of  $\text{indlim}_{q \rightarrow \infty} \mathcal{O}_{-q}$ .

PROOF. By [3, ch. IV, 4.5], the topology  $\tau$  of  $\mathcal{O}'_M = \text{projlim}_{q \rightarrow \infty} \mathcal{O}_q$  is consistent with the duality  $\langle \mathcal{O}'_M, \mathcal{O}_M \rangle$ . Hence  $\tau$  is coarser than the strong topology  $\beta(\mathcal{O}'_M, \mathcal{O}'_M)$ . On the other hand, it is proved in [5, Prop. 4] that  $\tau$  is finer than  $\beta(\mathcal{O}'_M, \mathcal{O}'_M)$ .

THEOREM 1. The space  $\mathcal{O}'_M$  is reflexive and  $\mathcal{O}'_M$  is the strong dual.

LEMMA 1. Let  $r = 1 + [\frac{1}{2}n]$ ,  $q \in \mathbb{N}$ . Then  $W^{-r}L_q \subset L_q$  and every set bounded in  $W^{-r}L_q$  is relatively compact in  $L_q$ .

PROOF. Let  $B$  be an absolutely convex, bounded, and closed, set in  $W^{-r}L_q$ . Then  $B$  is weakly compact as a polar of a neighborhood in  $W^rL_{-q}$ . By [3, Ch. IV, 11.1, Cor 2],  $B$  is weakly sequentially compact and every sequence in  $B$  contains a subsequence  $\{f_k\}$  which converges weakly to some  $g \in B$ . We may assume  $g = 0$ .

Since the set  $\{W^{r+q}f; f \in B\}$  is bounded in  $L^2(\mathbb{R}^n)$ , the set  $\{W^q f; f \in B\}$  is bounded in  $L^1(\mathbb{R}^n)$  and for any  $\alpha \in \mathbb{N}^n$ ,  $|\alpha| \leq q$ , the set  $\{D^\alpha Ff; f \in B\}$ , where  $Ff$  is the Fourier transform of  $f$ , is uniformly bounded and locally equicontinuous on  $\mathbb{R}^n$ . Hence  $\{f_k\}$  contains a subsequence, let it be again  $\{f_k\}$ , such that  $\{D^\alpha Ff_k(x)\}$  converges uniformly on  $\mathbb{R}^n$  for all  $\alpha \in \mathbb{N}^n$ ,  $|\alpha| \leq q$ .

Take a non-negative function  $h \in \mathcal{S}$ ,  $\int_{\mathbb{R}^n} h(x)dx = 1$ , and put  $h_i(x) = i^n h(ix)$ ,  $i \in \mathbb{N}$ . Then  $f * h_i \rightarrow f$  as  $i \rightarrow \infty$  in the topology of  $L_q$  uniformly on  $B$ . Given  $\varepsilon > 0$ , there is  $i \in \mathbb{N}$  such that  $\|f - f * h_i\|_q < \varepsilon$  for any  $f \in B$ . We fix this  $i$ . For every  $\alpha, \beta \in \mathbb{N}^n$ ,  $|\alpha + \beta| \leq q$ , the sequence  $\{W^{\alpha\beta}(Ff_k * Fh_i)\}$  converges uniformly to 0 on  $\mathbb{R}^n$  as  $k \rightarrow \infty$  and has an integrable majorant from  $\mathcal{S}$ . Hence  $F(f_k * h_i) \rightarrow 0$ , and a fortiori  $f_k * h_i \rightarrow 0$ , both in the topology of  $L_q$ . If we choose  $k_0 \in \mathbb{N}$  so that  $\|f_k * h_i\|_q < \varepsilon$  for  $k > k_0$ , then  $\|f_k\|_q < 2\varepsilon$  for  $k > k_0$ .

LEMMA 2. Let  $r = 1 + [\frac{1}{2}n]$ ,  $q \in \mathbb{N}$ . Then  $W^{-r}L_{-q} \subset L_{-q}$  and every set bounded in  $W^{-r}L_{-q}$  is relatively compact in  $L_{-q}$ .

PROOF. Let  $B$  be an absolutely convex, bounded, and closed, set in  $W^{-r}L_{-q}$ . By the same argument as in Lemma 1, every sequence in  $B$  has a subsequence  $\{f_k\}$  which converges weakly to some  $g \in B$ . We again assume  $g = 0$ .

Denote by  $\|\cdot\|_{-r,-q}$ , resp.  $\|\cdot\|_{r,q}$ , the norm in  $W^{-r}L_{-q}$ , resp.  $W^rL_q$ . Let  $A$  be the closed unit ball in  $L_q$ ,  $B_0$  the open unit ball in  $W^rL_q$ , and  $a = \sup\{\|f\|_{-r,-q}; f \in B\}$ . Choose  $\varepsilon > 0$ . By Lemma 1,  $A$  is compact in the topology of  $W^rL_q$ . Since  $L_q$  is dense in  $W^rL_q$ , there exists a finite set  $\{\varphi_i; i \in F\} \subset L_q$  such that  $A \subset \cup\{\varphi_i + \varepsilon B_0; i \in F\}$ . For any  $\varphi \in A$ , there exists  $\varphi_i$  such that  $\|\varphi - \varphi_i\|_{r,q} < \varepsilon$  and for any  $k \in \mathbb{N}$  we have  $|\langle \varphi, f_k \rangle| \leq |\langle \varphi - \varphi_i, f_k \rangle| + |\langle \varphi_i, f_k \rangle| \leq \|\varphi - \varphi_i\|_{r,q} \cdot \|f_k\|_{-r,-q} + |\langle \varphi_i, f_k \rangle| \leq \varepsilon a + |\langle \varphi_i, f_k \rangle|$ . If we choose  $k_0 \in \mathbb{N}$  so that  $|\langle \varphi_i, f_k \rangle| < \varepsilon$  for all  $i \in F$  and  $k > k_0$  and the sequence  $\{f_k\}$  converges in  $L_{-q}$ .

PROPOSITION 3. For each  $q \in \mathbb{N}$ ,  $\mathcal{O}_{-q}$  is a Schwartz space.

PROOF. By Lemma 2, for every  $p \in \mathbb{N}$  the closed unit ball is  $W^{-r-p}L_{-q}$ , where  $r = 1 + [\frac{1}{2}n]$ , is compact in  $W^{-p}L_{-q}$ . By [4, Ch. 3.15, Prop. 9], the space  $\mathcal{O}_{-q} = \text{proj} \lim_{p \rightarrow \infty} W^{-p}L_{-q}$  is Schwartz.

PROPOSITION 4. Let  $E_1 \subset E_2 \subset \dots$  be locally convex spaces with identity maps:  $E_k \rightarrow E_{k+1}$ ,  $k \in \mathbb{N}$ , continuous and  $E = \text{ind} \lim_{k \rightarrow \infty} E_k$  Hausdorff. Assume:

- (1) every set bounded in  $E$  is bounded in some  $E_k$ ,
- (2) every  $E_k$  is a Schwartz space.

Then  $E$  is a Schwartz space.

Proposition 4 is slightly more general than Prop. 8 in [4, Ch. 3.15] and its proof requires only minor changes of the proof presented in [4].

THEOREM 2.  $\mathcal{O}'_M$  is a Schwartz space.

PROOF. We have  $\mathcal{O}'_M = \text{ind} \lim_{q \rightarrow \infty} \mathcal{O}_{-q}$ . Each space  $\mathcal{O}_{-q}$  is Schwartz and Fréchet. Further,  $\mathcal{O}'_M$  is reflexive, hence quasi-complete, which in turn implies fast completeness. By [8, Th. 1], the assumption (1) of Prop. 4 is satisfied and  $\mathcal{O}'_M$  is a Schwartz space.

THEOREM 3.  $\mathcal{O}'_M$  is complete.

PROOF. The space  $\mathcal{B}$  of  $C^\infty$ -functions, whose derivatives vanish at  $\infty$  was introduced in [1]. We denote the space  $W^m \mathcal{B}$  by  $\dot{\mathcal{B}}_m$  and provide it with the topology for which  $f \mapsto W^m f : \dot{\mathcal{B}} \rightarrow \dot{\mathcal{B}}_m$  is a topological isomorphism. Then the strong dual  $\mathcal{O}'_C$  of  $\mathcal{O}'_C$  equals  $\text{ind} \lim_{m \rightarrow \infty} \dot{\mathcal{B}}_m$ , see [2, Ch. 2, 4.4]. Also,  $\mathcal{O}'_C$  is isomorphic to  $\mathcal{O}'_M$  via Fourier transformation. Hence it suffices to prove that  $\text{ind} \lim_{m \rightarrow \infty} \dot{\mathcal{B}}_m$  is complete.

Let  $F$  be a Cauchy filter on  $\mathcal{O}'_C$ ,  $G$  a filter of all 0-neighborhoods in  $\mathcal{O}'_C$ , and  $H$  the filter with base  $\{A+B; A \in F, B \in G\}$ . By [4, Ch. 2.12, Lemma 3], there exists  $m \in \mathbb{N}$  such that  $H$  induces a filter  $H_m$  on  $\dot{\mathcal{B}}_m$  which is Cauchy in the topology inherited from  $\mathcal{O}'_C$ . On each ball  $\{x \in \mathbb{R}^n, |x| \leq n\}$ ,  $r > 0$ , the filter  $H_m$  converges uniformly pointwise to a function  $f \in \dot{\mathcal{B}}_m$ . Then  $f$  adheres to  $H_m$  on the subset  $\dot{\mathcal{B}}_m$  of  $\mathcal{O}'_C$  and by [4, Ch. 2.9, Prop. 1] the filter  $F$  converges to  $f$ .

THEOREM 4. The spaces  $\mathcal{O}'_M$  and  $\mathcal{O}'_M$  are ultrabornological.

PROOF. By Exercise 9 in [4, Ch. 3.15], the strong dual of a complete Schwartz space is ultrabornological. Hence  $\mathcal{O}'_M$  is ultrabornological by Theorems 1, 2, and 3.

The space  $\mathcal{O}'_M$  is ultrabornological as an inductive limit of Fréchet spaces  $\mathcal{O}_{-q}$ ,  $q \in \mathbb{N}$ .

THEOREM 5. The spaces  $\mathcal{O}'_C$  and its strong dual  $\mathcal{O}'_C$  are both complete, reflexive, and ultrabornological spaces.

PROOF. The space  $\mathcal{O}'_M$  is complete as a strong dual of a bornological space. Since the Fourier transformations  $\mathcal{F}: \mathcal{O}'_M \rightarrow \mathcal{O}'_C$  and  $\mathcal{F}: \mathcal{O}'_M \rightarrow \mathcal{O}'_C$  are topological isomorphisms, Theorem 5 follows from Theorems 1, 3, and 4.

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