

REFLEXIVE ALGEBRAS and SIGMA ALGEBRAS

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ABSTRACT. The concept of a reflexive algebra (σ -algebra) β of subsets of a set X is defined in this paper. Various characterizations are given for an algebra (σ -algebra) β to be reflexive. If V is a real vector lattice of functions on a set X which is closed for pointwise limits of functions and if $\beta = \{A \mid A \subseteq X \text{ and } C_A(x) \in V\}$ is the σ -algebra induced by V then necessary and sufficient conditions are given for β to be reflexive (where $C_A(x)$ is the indicator function).

KEY WORDS AND PHRASES. *Reflexive algebra, σ -algebra, and Boolean algebra.*

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1. INTRODUCTION.

The object of this paper is to study the concept of reflexive algebra and a reflexive σ -algebra β of subsets of a set X . The concept naturally arises, when we consider the topology generated by an algebra or σ -algebra β on X . An algebra β of subsets of X is said to be reflexive if $\beta(\tau(\beta)) = \beta$, where $\tau(\beta)$ is the topology generated on X by taking β as a base and $\beta(\tau)$ is the family of closed and open subsets of X under a zero-dimensional topology τ .

In section 2, we discuss some preliminaries concerning representations of algebras and we introduce some definitions. In section 3, various characterizations are given for an algebra to be reflexive. For a σ -algebra it is shown that an equivalent condition for it to be reflexive is that its real measurable functions should coincide with $\tau(\beta)$ -real continuous functions. Thus for reflexive σ -algebras the study of real measurable functions amounts to the study of real continuous functions with respect to topology $\tau(\beta)$. Given any algebra β there is the smallest reflexive algebra generated by it. An example is given to show that not every measure on a σ -algebra β can be extended to the smallest reflexive σ -algebra containing it.

If V is a real vector lattice of functions on a set X which is closed for pointwise limits of functions and if $\beta = \{A \mid A \subseteq X \text{ such that } C_A(x) \in V\}$ is the σ -algebra induced by V , necessary and sufficient conditions are given for β to be reflexive.

2. PRELIMINARIES AND DEFINITIONS.

Let (X, β) be an arbitrary algebra of subsets of X . Define for x, y in X , $x \sim y$ if for every $B \in \beta$, if $x \in B$ we have $y \in B$. It is easily seen that \sim is an

equivalence relation and the map $q: X \rightarrow X/\sim$ gives an algebra

$$q(\beta) = \{q(B) : B \in \beta\}$$

in X/\sim which is isomorphic to β . Moreover $q(\beta)$ is point-separating. In view of the above procedure, we will in the sequel assume that all our algebras are point-separating.

Let β^* denote any Boolean algebra which is isomorphic to β . Let $S(\beta) = S(\beta^*)$ denote the Stone-space of the Boolean algebra β^* . We note that $S(\beta) = \{\lambda : \lambda \text{ is a maximal filter in } \beta^*\}$. On $S(\beta)$ the topology is generated by sets of the form $[B] = [B^*] = \{\lambda \in S(\beta) : B^* \in \lambda \text{ where } B \in \beta\}$ where $B \rightarrow B^*$ is the isomorphism between β and β^* . It is known that this topology $(S(\beta), \sigma)$ is a compact zero-dimensional space and that the Boolean algebra of Clopen (closed and open) subsets of $S(\beta)$ is isomorphic to β^* and thus isomorphic to β .

If Δ is any Boolean algebra and (X, β) is such that Δ is isomorphic to β then we say that (X, β) is a representation of Δ .

For each representation (X, β) of a Boolean algebra β^* there is a natural embedding

$$\Psi: (X, \tau(\beta)) \rightarrow (S(\beta), \sigma)$$

where $\tau(\beta)$ is the topology generated by β on X , defined by

$$\Psi(X) = \{B^* \in \beta^* : x \in B\}$$

Then $\Psi(X)$ is a dense subspace of $S(\beta)$. Conversely, if T is any dense subspace of $S(\beta)$ then (T, Δ) is a representation of β^* where $\Delta = \{T \cap [B] : B \in \beta\}$.

DEFINITION 1. A topological space (X, τ) is called a P-space if every F_σ set in X is closed.

3. MAIN RESULTS.

We start this section by first observing that for every β the space $(X, \tau(\beta))$ is a zero-dimensional Hausdorff space. If further β is a σ -algebra then $(X, \tau(\beta))$ is a P-space. However it can happen that $(X, \tau(\beta))$ may be a P-space without β being a σ -algebra as the ensuing simple example shows.

EXAMPLE 1. Let ω denote the first infinite cardinal and let

$$\beta = \{A \subset \omega : |A| < \omega \text{ or } |\omega - A| < \omega\}.$$

Then $(X, \tau(\beta))$ is discrete and thus a P-space, while clearly β is not a σ -algebra. ($|A|$ is the cardinality of A).

Let τ denote a zero-dimensional topology on a set X . By defining $x \sim y$ ($x, y \in X$) if and only if for each $U \in \tau$ if $x \in U$ we have $y \in U$, we obtain an equivalence relation. The quotient space X/\sim is Hausdorff and zero-dimensional. In view of this, without loss of generality we will assume in the sequel that (X, τ) is itself a Hausdorff and zero-dimensional, and hence completely regular. We then denote by $\beta(\tau)$ the family of clopen subsets of (X, τ) . We now have

THEOREM 1. The family $\beta(\tau)$ is always an algebra on X . Moreover $\beta(\tau)$ is a σ -algebra if and only if (X, τ) is a P-space.

PROOF. The first part is obvious. If (X, τ) is a P-space then the union of countably many clopen sets is clopen, which shows that $\beta(\tau)$ is a σ -algebra. Conversely, if the union of countably many clopen sets is clopen, τ is obviously a P-space.

The following facts are easily established:

$$\tau(\beta(\tau)) = \tau. \quad (3.1)$$

$$\beta(\tau(\beta)) \supset \beta. \quad (3.2)$$

In view of Example 1, it is seen that the reverse inclusion in (3.2) does not always hold. This prompts the following definition:

DEFINITION 2. An algebra β of subsets of a set X is reflexive if

$$\beta(\tau(\beta)) = \beta.$$

EXAMPLE 2. Let ω_1 denote the first uncountable cardinal and let

$$\beta = \{A \subset \omega_1 : |A| \leq \omega \text{ or } |\omega_1 - A| \leq \omega\}$$

Then β is a non reflexive σ -algebra on ω_1 . In this case $\beta(\tau(\beta)) = P(\omega_1)$, the set of all subsets of ω_1 . However if

$$\bar{\omega}_1 = \{\text{ordinals } \alpha : \alpha \leq \omega_1\} \text{ and further if}$$

$$\beta = \{A \subset \bar{\omega}_1 \text{ such that either } |\bar{\omega}_1 - A| \leq \omega \text{ or } |A| \leq \omega \text{ and } \omega_1 \notin A\}.$$

then β is a non trivial reflexive σ -algebra on $\bar{\omega}_1$.

LEMMA 1. $\beta(\tau(\beta(\tau(\beta)))) = \beta(\tau(\beta))$ and hence $\beta(\tau(\beta))$ is always reflexive.

PROOF. Since $\tau(\beta(\tau(\beta))) = \tau$, it follows that

$$\beta(\tau(\beta(\tau(\beta)))) = \beta(\tau(\beta)).$$

LEMMA 2. For every algebra β , the algebra $R_\beta = \beta(\tau(\beta))$ is the smallest reflexive algebra that contains β . If further β is a σ -algebra so is R_β .

PROOF. In view of $\beta(\tau(\beta)) \supset \beta$, it follows that $\beta \subset R_\beta$ and by Lemma 1, R_β is reflexive. If Ω is any reflexive algebra such that

$$\beta \subset \Omega \subset R_\beta$$

then $\Omega = \beta(\tau(\Omega)) \supset \beta(\tau(\beta)) = R_\beta$ and hence R_β is minimal. If R_β is a σ -algebra, by an earlier result it follows that $\tau(R_\beta)$ is a P-space and hence $R_\beta = \beta(\tau(R_\beta))$ is a σ -algebra. This completes the proof.

We now note the following two properties:

$$\text{Always } \beta \subset \tau(\beta). \tag{3.3}$$

$$\text{Always } \beta(\tau) \subset \tau. \tag{3.4}$$

THEOREM 2. For a Boolean algebra β , the following conditions are equivalent:

- (i) $\beta = \tau(\beta)$.
- (ii) β is reflexive and for each $x \in X$, $\{x\} \in \beta$.
- (iii) $\beta = P(X)$ i.e. β is trivial.

PROOF. (i) \Rightarrow (iii). By (i) each open set in $\tau(\beta)$ is closed and hence every point is open and thus $\tau(\beta)$ is discrete. Hence $\beta = P(X)$.

That (iii) \Rightarrow (ii) is obvious.

We now prove that (ii) \Rightarrow (i). Since β is reflexive, $\beta(\tau(\beta)) = \beta$ and since all points belong to β , all one-point sets are open in $\tau(\beta)$. Thus $\tau(\beta)$ is discrete. Hence $\beta(\tau(\beta)) = \beta = P(X)$ which implies (i).

THEOREM 3. If β is a reflexive σ -algebra and if $(X, \tau(\beta))$ is such that all one-point subsets of X are G_δ sets in $\tau(\beta)$ then $\beta = P(X)$.

PROOF. Since β is a σ -algebra, $\tau(\beta)$ is a P-space and thus it must be discrete. But $\beta = \beta(\tau(\beta))$ and hence $\beta = P(X)$.

THEOREM 4. For topology τ the following conditions are equivalent:

- (i) $\tau = \beta(\tau)$.
- (ii) τ is discrete.
- (iii) $\tau = P(X)$.

PROOF. Since all open sets are clopen, τ is discrete and hence $\tau = P(X)$.

DEFINITION 3. A compact Hausdorff space Z is called Banaschewski compactification of its dense subspace X , if for every clopen set U in X ,

$$\overline{U}^Z \cap \overline{(X-U)}^Z = \phi, \text{ where}$$

\overline{A}^Z means the closure taken in Z .

THEOREM 5. For an algebra β the following statements are equivalent:

- (i) β is reflexive.
- (ii) $\beta = \beta(\tau)$ for some topology τ on X .
- (iii) $S(\beta)$ is the Banaschewski compactification of $(X, \tau(\beta))$.
- (iv) If $C \subset X$ and $C = \cup B'$ and $X-C = \cup B''$, where B' and B'' are subsets of β , then $C \in \beta$ (here $C = \cup B'$ means that C is union of sets from B').

PROOF. (i) \Rightarrow (ii). Since $\beta = \beta(\tau(\beta))$, it is sufficient to take $\tau = \tau(\beta)$.

(ii) \Rightarrow (iv). If C is as in (iv) and if τ is as in (ii) then C is clopen in τ and thus $C \in \beta$.

(iv) \Rightarrow (iii). Suppose U is a clopen set in $(X, \tau(\beta))$ then by (iv) $U \in \beta$. Hence $\overline{U} \cap \overline{(X-U)} = \phi$, where closure is taken in $S(\beta)$.

(iii) \Rightarrow (i) Suppose that U is clopen in $\tau(\beta)$ then $\overline{U} \cap \overline{(X-U)} = \phi$ in $S(\beta)$ and thus $U = [B] \cap X$, for some $B \in \beta$ which implies that $U \in \beta$.

This completes the proof.

THEOREM 6. For a σ -algebra β the following statements are equivalent:

- (i) β is reflexive.
- (ii) $\beta = \beta(\tau)$ for some P-topology τ .
- (iii) $S(\beta)$ is the Stone-Ćech compactification of $(X, \tau(\beta))$.
- (iv) The (X, β) -real measurable functions coincide with $(X, \tau(\beta))$ -real continuous functions.

PROOF. (i) \Rightarrow (ii). It suffices to take $\tau = \tau(\beta)$.

(ii) \Rightarrow (iv). Clearly (X, β) -measurability implies $(X, \tau(\beta))$ -continuity.

Conversely if $f: X \rightarrow R$ is continuous, then the inverse images of open sets in R are open F_σ -sets in $(X, \tau(\beta))$ and these are clopen, since $\tau(\beta)$ is a P-space. Thus inverse images of open sets belong to $\beta(\tau(\beta))$. As $\beta = \beta(\tau)$ it follows that $\beta(\tau(\beta)) = \beta$ and thus f is measurable.

(iv) \Rightarrow (iii). Let $f: X \rightarrow R$ be $\tau(\beta)$ -continuous. Thus f is (X, β) -measurable and hence there exists a $B \in \beta$ such that $f^{-1}(0) \subset [B]$. $f^{-1}(1) \cap [B] = \phi$ and thus

$$\overline{f^{-1}(0)}^{S(\beta)} \cap \overline{f^{-1}(1)}^{S(\beta)} = \phi.$$

This proves that (iv) implies (iii).

(iii) \Rightarrow (i). The proof of this implication is the same as in Theorem 5.

THEOREM 7. For a Boolean algebra β^* the following statements are equivalent:

- (i) β^* is complete.
- (ii) Every representation (X, β) of β^* is reflexive.

PROOF. (i) \Rightarrow (ii). If β^* is complete then $S(\beta^*)$ is extremally disconnected. Let $X \subset S(\beta^*)$ be a dense subspace of $S(\beta^*)$ and let

$$\beta = \{ [B] \cap X : B \in \beta^* \}$$

Suppose that $U \subset X$ is clopen in X . Then there exist disjoint open sets U^* and V^* in $S(\beta)$ with $U^* \cap X = U$ and $V^* \cap X = X - U$. Then $\overline{U^*} \cap \overline{V^*} = \emptyset$, and hence $\overline{U^*}$ is clopen. This means that $\overline{U^*} \in \beta^*$ and $\overline{U^*} \cap X = U \in \beta$. This proves that (i) \Rightarrow (ii).

Conversely, suppose β^* is not complete. Then there exist open sets U and V in $S(\beta^*)$ such that $U = \text{Int}(\overline{U})$ and $\overline{U} \cap \overline{V} \neq \emptyset$, but $U \cap V = \emptyset$. Let $X = (U \cap V, \sigma)$. Then X is dense in $S(\beta^*)$ and U is clopen in X but $U \notin \beta$. Hence β is not reflexive. This completes the proof.

One of the relevant questions is that whether a measure defined on Σ can be extended to the smallest reflexive σ -algebra $\beta(\tau(\beta))$ containing β . The following easy example shows that this may not be always possible.

EXAMPLE 3. If X is a set of cardinality 2^c , let

$$\beta = \{ B \subset X : |B| \leq \omega \text{ or } |X-B| \leq \omega \} \text{ and}$$

$$\mu(\beta) = \begin{cases} 0, & \text{if } B \text{ is countable} \\ 1, & \text{otherwise} \end{cases}$$

Then β is a σ -algebra and μ is a two valued measure on β . Clearly $\beta(\tau(\beta)) = P(X)$. Since 2^c is not measurable μ does not have an extension.

In the next theorem the following question is discussed. Let V be a vector lattice of real functions on a set X which is closed under pointwise limits of functions in V . If $C_A(x)$ is the indicator function of the subset $A \subseteq X$, then it is known that the collection

$$\beta = \{ A \subseteq X : C_A \in V \}$$

is a σ -algebra and that V is precisely the set of real β -measurable functions. The next theorem gives a characterization for β to be reflexive.

THEOREM 8. Let V be a vector lattice of real functions defined on a set X and let V be closed under pointwise limits. Let

$$\beta = \{ A \subseteq X : C_A \in V \}$$

Then β is reflexive if and only if for each $f: X \rightarrow \mathbb{R}$ such that $f = \sup_{\alpha} \{g_{\alpha}\} = \inf_{\beta} \{h_{\beta}\}$, where $g_{\alpha} \in V$, $h_{\beta} \in V$, we have $f \in V$.

PROOF. In this result we use (iv) of Theorem 5. Suppose $A = \cup \beta'$ and $X-A = \cup \beta''$, where $\beta', \beta'' \subset \beta$. Let $g_B = C_B(x)$ and $h_B = C_{X-B}(x)$. Then clearly

$$\sup_{B \in \beta'} \{g_B\} = C_A(x) = \inf_{B \in \beta''} \{h_B\}$$

Hence $C_A \in V$ and thus $C \in \beta$ which shows that β is reflexive.

Conversely, if β is reflexive then $\tau(\beta)$ -continuous functions are measurable. Thus a function f which is both upper semi-continuous and lower semi-continuous is continuous and hence it is measurable. Thus $f \in V$.

The proof is complete.

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