



AN EXTENSION OF OZAKI AND NUNOKAWA'S UNIVALENCE CRITERION

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ABSTRACT. In this paper we obtain a sufficient condition for the analyticity and the univalence of the functions defined by an integral operator. In a particular case we find the well known condition for univalence established by S. Ozaki and M. Nunokawa.

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1. INTRODUCTION

We denote by $U_r = \{z \in \mathbb{C} : |z| < r\}$ a disk of the z -plane, where $r \in (0, 1]$, $U_1 = U$ and $I = [0, \infty)$. Let \mathcal{A} be the class of functions f analytic in U such that $f(0) = 0$, $f'(0) = 1$.

Theorem 1.1 ([1]). *Let $f \in \mathcal{A}$. If for all $z \in U$*

$$(1.1) \quad \left| \frac{z^2 f'(z)}{f^2(z)} - 1 \right| < 1,$$

then the function f is univalent in U .

2. PRELIMINARIES

In order to prove our main result we need the theory of Löwner chains; we recall the basic result of this theory, from Pommerenke.

Theorem 2.1 ([2]). *Let $L(z, t) = a_1(t)z + a_2(t)z^2 + \dots$, $a_1(t) \neq 0$ be analytic in U_r , for all $t \in I$, locally absolutely continuous in I and locally uniform with respect to U_r . For almost all $t \in I$, suppose that*

$$z \frac{\partial L(z, t)}{\partial z} = p(z, t) \frac{\partial L(z, t)}{\partial t}, \quad \forall z \in U_r,$$

where $p(z, t)$ is analytic in U and satisfies the condition $\operatorname{Re} p(z, t) > 0$, for all $z \in U$, $t \in I$. If $|a_1(t)| \rightarrow \infty$ for $t \rightarrow \infty$ and $\{L(z, t)/a_1(t)\}$ forms a normal family in U_r , then for each $t \in I$, the function $L(z, t)$ has an analytic and univalent extension to the whole disk U .

3. MAIN RESULTS

Theorem 3.1. Let $f \in \mathcal{A}$ and α be a complex number, $\operatorname{Re} \alpha > 0$. If the following inequalities

$$(3.1) \quad \left| \frac{z^2 f'(z)}{f^2(z)} - 1 \right| < 1$$

and

$$(3.2) \quad \left| \left(\frac{z^2 f'(z)}{f^2(z)} - 1 \right) |z|^{2\alpha} + 2 \frac{1 - |z|^{2\alpha}}{\alpha} \left(\frac{z^2 f'(z)}{f^2(z)} - 1 \right) + \frac{(1 - |z|^{2\alpha})^2}{\alpha^2 |z|^{2\alpha}} \left[\left(\frac{z^2 f'(z)}{f^2(z)} - 1 \right) + (1 - \alpha) \left(\frac{f(z)}{z} - 1 \right) \right] \right| \leq 1$$

are true for all $z \in U \setminus \{0\}$, then the function F_α ,

$$(3.3) \quad F_\alpha(z) = \left(\alpha \int_0^z u^{\alpha-1} f'(u) du \right)^{\frac{1}{\alpha}}$$

is analytic and univalent in U , where the principal branch is intended.

Proof. Let us consider the function $g_1(z, t)$ given by

$$g_1(z, t) = 1 - \frac{e^{2\alpha t} - 1}{\alpha} \left(\frac{f(e^{-t}z)}{e^{-t}z} - 1 \right).$$

For all $t \in I$ and $z \in U$ we have $e^{-t}z \in U$ and because $f \in \mathcal{A}$, the function $g_1(z, t)$ is analytic in U and $g_1(0, t) = 1$. Then there is a disk U_{r_1} , $0 < r_1 < 1$ in which $g_1(z, t) \neq 0$, for all $t \in I$. For the function

$$g_2(z, t) = \alpha \int_0^{e^{-t}z} u^{\alpha-1} f'(u) du,$$

$g_2(z, t) = z^\alpha \cdot g_3(z, t)$, it can be easily shown that $g_3(z, t)$ is analytic in U_{r_1} and $g_3(0, t) = e^{-\alpha t}$. It follows that the function

$$g_4(z, t) = g_3(z, t) + \frac{(e^{\alpha t} - e^{-\alpha t}) \left(\frac{f(e^{-t}z)}{e^{-t}z} \right)^2}{g_1(z, t)}$$

is also analytic in a disk U_{r_2} , $0 < r_2 \leq r_1$ and $g_4(0, t) = e^{\alpha t}$. Therefore, there is a disk U_{r_3} , $0 < r_3 \leq r_2$ in which $g_4(z, t) \neq 0$, for all $t \in I$ and we can choose an analytic branch of $[g_4(z, t)]^{1/\alpha}$, denoted by $g(z, t)$. We choose the branch which is equal to e^t at the origin.

From these considerations it follows that the function

$$L(z, t) = z \cdot g(z, t) = e^t z + a_2(t) z^2 + \dots$$

is analytic in U_{r_3} , for all $t \in I$ and can be written as follows

$$(3.4) \quad L(z, t) = \left[\alpha \int_0^{e^{-t}z} u^{\alpha-1} f'(u) du + \frac{(e^{2\alpha t} - 1) e^{(2-\alpha)t} z^{\alpha-2} f^2(e^{-t}z)}{1 - \frac{e^{2\alpha t} - 1}{\alpha} \left(\frac{f(e^{-t}z)}{e^{-t}z} - 1 \right)} \right]^{\frac{1}{\alpha}}.$$

From the analyticity of $L(z, t)$ in U_{r_3} , it follows that there is a number r_4 , $0 < r_4 < r_3$, and a constant $K = K(r_4)$ such that

$$|L(z, t)/e^t| < K, \quad \forall z \in U_{r_4}, \quad t \in I,$$

and then $\{L(z, t)/e^t\}$ is a normal family in U_{r_4} . From the analyticity of $\partial L(z, t)/\partial t$, for all fixed numbers $T > 0$ and r_5 , $0 < r_5 < r_4$, there exists a constant $K_1 > 0$ (that depends on T and r_5) such that

$$\left| \frac{\partial L(z, t)}{\partial t} \right| < K_1, \quad \forall z \in U_{r_5}, \quad t \in [0, T].$$

It follows that the function $L(z, t)$ is locally absolutely continuous in I , locally uniform with respect to U_{r_5} . We also have that the function

$$p(z, t) = z \frac{\partial L(z, t)}{\partial z} \bigg/ \frac{\partial L(z, t)}{\partial t}$$

is analytic in U_r , $0 < r < r_5$, for all $t \in I$.

In order to prove that the function $p(z, t)$ has an analytic extension with positive real part in U for all $t \in I$, it is sufficient to show that the function $w(z, t)$ defined in U_r by

$$w(z, t) = \frac{p(z, t) - 1}{p(z, t) + 1}$$

can be continued analytically in U and that $|w(z, t)| < 1$ for all $z \in U$ and $t \in I$.

By simple calculations, we obtain

$$(3.5) \quad w(z, t) = \left(\frac{e^{-2t} z^2 f'(e^{-t} z)}{f^2(e^{-t} z)} - 1 \right) e^{-2\alpha t} + 2 \frac{1 - e^{-2\alpha t}}{\alpha} \left(\frac{e^{-2t} z^2 f'(e^{-t} z)}{f^2(e^{-t} z)} - 1 \right) + \frac{(1 - e^{-2\alpha t})^2}{\alpha^2 e^{-2\alpha t}} \left[\left(\frac{e^{-2t} z^2 f'(e^{-t} z)}{f^2(e^{-t} z)} - 1 \right) + (1 - \alpha) \left(\frac{f(e^{-t} z)}{e^{-t} z} - 1 \right) \right].$$

From (3.1) and (3.2) we deduce that the function $w(z, t)$ is analytic in the unit disk and

$$(3.6) \quad |w(z, 0)| = \left| \frac{z^2 f'(z)}{f^2(z)} - 1 \right| < 1.$$

We observe that $w(0, t) = 0$. Let t be a fixed number, $t > 0$, $z \in U$, $z \neq 0$. Since $|e^{-t} z| \leq e^{-t} < 1$ for all $z \in \bar{U} = \{z \in \mathbb{C} : |z| \leq 1\}$ we conclude that the function $w(z, t)$ is analytic in \bar{U} . Using the maximum modulus principle it follows that for each arbitrary fixed $t > 0$, there exists $\theta = \theta(t) \in \mathbb{R}$ such that

$$(3.7) \quad |w(z, t)| < \max_{|\xi|=1} |w(\xi, t)| = |w(e^{i\theta}, t)|,$$

We denote $u = e^{-t} \cdot e^{i\theta}$. Then $|u| = e^{-t} < 1$ and from (3.5) we get

$$w(e^{i\theta}, t) = \left(\frac{u^2 f'(u)}{f^2(u)} - 1 \right) |u|^{2\alpha} + 2 \frac{1 - |u|^{2\alpha}}{\alpha} \left(\frac{u^2 f'(u)}{f^2(u)} - 1 \right) + \frac{(1 - |u|^{2\alpha})^2}{\alpha^2 |u|^{2\alpha}} \left[\left(\frac{u^2 f'(u)}{f^2(u)} - 1 \right) + (1 - \alpha) \left(\frac{f(u)}{u} - 1 \right) \right].$$

Since $u \in U$, the inequality (3.2) implies that $|w(e^{i\theta}, t)| \leq 1$ and from (3.6) and (3.7) we conclude that $|w(z, t)| < 1$ for all $z \in U$ and $t \geq 0$.

From Theorem 2.1 it results that the function $L(z, t)$ has an analytic and univalent extension to the whole disk U for each $t \in I$, in particular $L(z, 0)$. But $L(z, 0) = F_\alpha(z)$. Therefore the function $F_\alpha(z)$ defined by (3.3) is analytic and univalent in U . \square

If in Theorem 3.1 we take $\alpha = 1$ we obtain the following corollary which is just Theorem 1.1, namely Ozaki-Nunokawa's univalence criterion.

Corollary 3.2. *Let $f \in \mathcal{A}$. If for all $z \in U$, the inequality (3.1) holds true, then the function f is univalent in U .*

Proof. For $\alpha = 1$ we have $F_1(z) = f(z)$ and the inequality (3.2) becomes

$$(3.8) \quad \left| \left(\frac{z^2 f'(z)}{f^2(z)} - 1 \right) \left[|z|^2 + 2(1 - |z|^2) + \frac{(1 - |z|^2)^2}{|z|^2} \right] \right| = \left| \left(\frac{z^2 f'(z)}{f^2(z)} - 1 \right) \cdot \frac{1}{|z|^2} \right| \leq 1.$$

It is easy to check that if the inequality (3.1) is true, then the inequality (3.8) is also true. Indeed, the function g ,

$$g(z) = \frac{z^2 f'(z)}{f^2(z)} - 1$$

is analytic in U , $g(z) = b_2 z^2 + b_3 z^3 + \dots$, which shows that $g(0) = g'(0) = 0$. In view of (1.1) we have $|g(z)| < 1$ and using Schwarz's lemma we get $|g(z)| < |z|^2$. \square

Example 3.1. Let n be a natural number, $n \geq 2$, and the function

$$(3.9) \quad f(z) = \frac{z}{1 - \frac{z^{n+1}}{n}}.$$

Then f is univalent in U and $F_{\frac{n+1}{2}}$ is analytic and univalent in U , where

$$(3.10) \quad F_{\frac{n+1}{2}}(z) = \left[\frac{n+1}{2} \int_0^z u^{\frac{n-1}{2}} f'(u) du \right]^{\frac{2}{n+1}}.$$

Proof. We have

$$(3.11) \quad \frac{z^2 f'(z)}{f^2(z)} - 1 = z^{n+1}$$

and

$$(3.12) \quad \frac{f(z)}{z} - 1 = \frac{z^{n+1}}{n - z^{n+1}}.$$

It is clear that condition (3.1) of Theorem 3.1 is satisfied, and the function f is univalent in U .

Taking into account (3.11) and (3.12), condition (3.2) of Theorem 3.1 becomes

$$\begin{aligned} & \left| |z|^{2(n+1)} + \frac{4}{n+1} |z|^{n+1} (1 - |z|^{n+1}) + \frac{4}{(n+1)^2} (1 - |z|^{n+1})^2 \right. \\ & \quad \left. + \frac{2(1-n)}{(n+1)^2} (1 - |z|^{n+1})^2 \frac{1}{n - |z|^{n+1}} \right| \\ & \leq \frac{1}{(n+1)^2} [(n+1)^2 |z|^{2(n+1)} + 4(n+1)(1 - |z|^{n+1}) + 6(1 - |z|^{n+1})^2] \\ & = \frac{1}{(n+1)^2} [(n^2 - 2n + 3)|z|^{2(n+1)} + (4n - 8)|z|^{n+1} + 6] \leq 1, \end{aligned}$$

because the greatest value of the function

$$g(x) = (n^2 - 2n + 3)x^2 + (4n - 8)x + 6,$$

for $x \in [0, 1]$, $n \geq 2$ is taken for $x = 1$ and is $g(1) = (n+1)^2$. Therefore the function $F_{\frac{n+1}{2}}$ is analytic and univalent in U . \square

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