AN APPLICATION OF SUBORDINATION ON HARMONIC FUNCTION

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Received: 28 June, 2006

Accepted: 15 May, 2007

Communicated by: A. Sofo

2000 AMS Sub. Class.: Primary 30C45; Secondary 58E20.

Key words: Harmonic, Univalent, Subordination, Convex, Starlike, Close-to-convex.

Abstract: The purpose of this paper is to obtain sufficient bound estimates for harmonic

functions belonging to the classes $S_H^*[A, B]$, $K_H[A, B]$ defined by subordination, and we give some convolution conditions. Finally, we examine the closure properties of the operator D^n on these classes under the generalized Bernardi

integral operator.



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1. Introduction

A continuous function f=u+iv is a complex-valued harmonic function in a complex domain C if both u and v are real harmonic in C. In any simply connected domain $D \subset C$, we can write $f=h+\overline{g}$, where h and g are analytic in D. We call h the analytic part and g the co-analytic part of f. A necessary and sufficient condition for f to be locally univalent and orientation-preserving in D is that |g'(z)| < |h'(z)| in D [2].

We denote by S_H the family of functions $f=h+\overline{g}$ which are harmonic univalent and orientation-preserving in the open disk $U=\{z:|z|<1\}$ so that $f=h+\overline{g}$ is normalized by $f(0)=h(0)=f_z(0)-1=0$. Therefore, for $f=h+\overline{g}\in S_H$, we can express the analytic functions h and g by the following power series expansion:

(1.1)
$$h(z) = z + \sum_{m=2}^{\infty} a_m z^m, \qquad g(z) = \sum_{m=1}^{\infty} b_m z^m.$$

Note that the family S_H of orientation-preserving, normalized harmonic univalent functions reduces to the class S of normalized analytic univalent functions if the coanalytic part of $f = h + \overline{g}$ is identically zero.

Let K, S^*, C, K_H, S_H^* and C_H denote the respective subclasses of S and S_H where the images of f(u) are convex, starlike and close-to-convex.

A function f(z) is subordinate to F(z) in the disk U if there exists an analytic function w(z) with w(0)=0 and |w(z)|<1 such that f(z)=F(w(z)) for |z|<1. This is written as $f(z)\prec F(z)$.

Let $K[A, B], S^*[A, B]$ denote the subclasses of S defined as follows:

$$S^*[A, B] = \left\{ f \in S, \ \frac{zf'(z)}{f(z)} \prec \frac{1 + Az}{1 + Bz}, \quad -1 \le B < A \le 1 \right\},$$



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$$K[A, B] = \left\{ f \in S, \frac{(zf'(z))'}{f'(z)} \prec \frac{1 + Az}{1 + Bz}, -1 \le B < A \le 1 \right\}.$$

We now introduce the following subclasses of harmonic functions in terms of subordination.

Let $f = h + \overline{g} \in S_H$ such that

(1.2)
$$\varphi(z) = \frac{h(z) - g(z)}{1 - h_1},$$

(1.3)
$$\psi(z) = \frac{h(z) - e^{i\theta}g(z)}{1 - e^{i\theta}h_1}, \quad 0 \le \theta < 2\pi,$$

and let $-1 \le B < A \le 1$, then we can construct the classes $K_H[A, B]$, $S_H^*[A, B]$ using subordination as follows:

$$K_H[A, B] = \left\{ f \in S_H, \frac{(z\psi'(z))'}{\psi'(z)} \prec \frac{1 + Az}{1 + Bz} \right\},$$

$$S_H^*[A, B] = \left\{ f \in S_H, \frac{z\varphi'(z)}{\varphi(z)} \prec \frac{1 + Az}{1 + Bz} \right\}.$$

Let D^n denote the n-th Ruscheweh derivative of a power series $t(z) = z + \sum_{m=2}^{\infty} t_m z^m$ which is given by

$$D^{n}t = \frac{z}{(1-z)^{n+1}} * t(z)$$
$$= z + \sum_{m=2}^{\infty} C(n,m)t_{m}z^{m},$$

where

$$C(n,m) = \frac{(n+1)_{m-1}}{(m-1)!} = \frac{(n+1)(n+2)\cdots(n+m-1)}{(m-1)!}.$$



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In [5], the operator D^n was defined on the class of harmonic functions S_H as follows:

$$D^n f = D^n h + \overline{D^n g}.$$

The purpose of this paper is to obtain sufficient bound estimates for harmonic functions belonging to the classes $S_H^*[A,B]$, $K_H[A,B]$, and we give some convolution conditions. Finally, we examine the closure properties of the operator D^n on the above classes under the generalized Bernardi integral operator.



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2. Preliminary Results

Cluni and Sheil-Small [2] proved the following results:

Lemma 2.1. If h, g are analytic in U with |h'(0)| > |g'(0)| and $h + \epsilon g$ is close-to-convex for each $\epsilon, |\epsilon| = 1$, then $f = h + \overline{g}$ is harmonic close-to-convex.

Lemma 2.2. If $f = h + \overline{g}$ is locally univalent in U and $h + \epsilon g$ is convex for some ϵ , $|\epsilon| \leq 1$, then f is univalent close-to-convex.

A domain D is called convex in the direction γ $(0 \le \gamma < \pi)$ if every line parallel to the line through 0 and $e^{i\gamma}$ has a connected intersection with D. Such a domain is close-to-convex. The convex domains are those that are convex in every direction.

We will make use of the following result which may be found in [2]:

Lemma 2.3. A function $f = h + \overline{g}$ is harmonic convex if and only if the analytic functions $h(z) - e^{i\gamma}g(z)$, $0 \le \gamma < 2\pi$, are convex in the direction $\frac{\gamma}{2}$ and f is suitably normalized.

Necessary and sufficient conditions were found in [2, 1] and [4] for functions to be in K_H , S_H^* and C_H . We now give some sufficient conditions for functions in the classes $S_H^*[A, B]$ and $K_H[A, B]$, but first we need the following results:

Lemma 2.4 ([7]). If $q(z) = z + \sum_{m=2}^{\infty} C_m z^m$ is analytic in U, then q maps onto a starlike domain if $\sum_{m=2}^{\infty} m |C_m| \le 1$ and onto convex domains if $\sum_{m=2}^{\infty} m^2 |C_m| \le 1$.

Lemma 2.5 ([4]). *If* $f = h + \overline{g}$ *with*

$$\sum_{m=2}^{\infty} m|a_m| + \sum_{m=1}^{\infty} m|b_m| \le 1,$$

then $f \in C_H$. The result is sharp.



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Lemma 2.6 ([4]). If $f = h + \overline{g}$ with

$$\sum_{m=2}^{\infty} m^2 |a_m| + \sum_{m=1}^{\infty} m^2 |b_m| \le 1,$$

then $f \in K_H$. The result is sharp.

Lemma 2.7 ([6]). A function $f(z) \in S$ is in $S^*[A, B]$ if

$$\sum_{m=2}^{\infty} \{m(1+A) - (1+B)\} |a_m| \le A - B,$$

where $-1 \le B < A \le 1$.

Lemma 2.8 ([6]). A function $f(z) \in S$ is in K[A, B] if

$$\sum_{m=2}^{\infty} m \left\{ m(1+A) - (1+B) \right\} |a_m| \le A - B,$$

where $-1 \le B < A \le 1$.

Lemma 2.9 ([3]). Let h be convex univalent in U with h(0) = 1 and $\text{Re}(\lambda h(z) + \mu) > 0$ $(\lambda, \mu \in \mathbb{C})$. If p is analytic in U with p(0) = 1, then

$$p(z) + \frac{zp'(z)}{\lambda p(z) + \mu} \prec h(z) \qquad (z \in U)$$

implies

$$p(z) \prec h(z) \qquad (z \in U).$$



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3. Main Results

Theorem 3.1. If

$$(3.1) \sum_{m=2}^{\infty} \{m(1+A) - (1+B)\} |a_m| + \sum_{m=1}^{\infty} \{m(1+A) - (1+B)\} |b_m| \le A - B,$$

then $f \in S_H^*[A, B]$. The result is sharp.

Proof. From the definition of $S_H^*[A, B]$, we need only to prove that $\varphi(z) \in S^*[A, B]$, where $\varphi(z)$ is given by (1.2) such that

$$\phi(z) = z + \sum_{m=2}^{\infty} \left(\frac{a_m - b_m}{1 - b_1} \right) z^m.$$

Using Lemma 2.7, we have

$$\sum_{m=2}^{\infty} \frac{\{m(1+A) - (1+B)\}}{A-B} \left| \frac{a_m - b_m}{1 - b_1} \right| \le \sum_{m=2}^{\infty} \frac{\{m(1+A) - (1+B)\}}{A-B} \left(\frac{|a_m| + |b_m|}{1 - |b_1|} \right) \le 1$$

if and only if (3.1) holds and hence we have the result.

The harmonic function

$$f(z) = z + \sum_{m=2}^{\infty} \frac{1}{(A-B)\{m(1+A) - (1+B)\}} x_m z^m$$



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$$+\sum_{m=1}^{\infty} \frac{1}{(A-B)\{m(1+A)-(1+B)\}} \overline{y}_m \overline{z}^m$$

$$\left(\text{where } \sum_{m=2}^{\infty} |x_m| + \sum_{m=1}^{\infty} |y_m| = A - B - 1\right)$$

shows that the coefficient bound given by (3.1) is sharp.

Corollary 3.2. If A = 1, B = -1, then we have the coefficient bound given in [1] with a different approach.

Theorem 3.3. If $f = h + \overline{q}$ with

$$\sum_{m=2}^{\infty} \{m(1+A) - (1+B)\} |a_m| C(n,m) + \sum_{m=1}^{\infty} \{m(1+A) - (1+B)\} |b_m| C(n,m) \le A - B,$$

then $D^n f = H + \overline{G} \in S_H^*[A, B]$. The function

$$f(z) = z + \frac{(1+\delta)(A-B)}{\{m(1+A) - (1+B)\}C(n,m)}\overline{z}^m, \quad \delta > 0$$

shows that the result is sharp.

Corollary 3.4. If A = 1, B = -1, then we have the coefficient bound given in Theorem 3.1, $\alpha = 0$ [5] with a different approach.



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Theorem 3.5. If

(3.2)
$$\sum_{m=2}^{\infty} m\{m(1+A)-(1+B)\}|a_m| + \sum_{m=1}^{\infty} m\{m(1+A)-(1+B)\}|b_m| \le A-B,$$

then $f \in K_H[A, B]$. The result is sharp.

Proof. From the definition of the class $K_H[A, B]$ and the coefficient bound of K[A, B] given in Lemma 2.8, we have the result. The function

$$f(z) = z + \frac{(1+\delta)(A-B)}{m\{m(1+A) - (1+B)\}} \overline{z}^m, \qquad \delta > 0$$

shows that the upper bound in (3.2) cannot be improved.

Theorem 3.6. If $f = h + \overline{g}$ with

$$\sum_{m=2}^{\infty} m\{m(1+A) - (1+B)\}C(n,m)|a_m|$$

$$+ \sum_{m=1}^{\infty} m\{m(1+A) - (1+B)\}C(n,m)|b_m| \le A - B,$$

then $D^n f \in K_H[A, B]$. The function

$$f = z + \frac{(1+\delta)(A-B)}{m\{m(1+A) - (1+B)\}C(n,m)}\overline{z}^m, \quad \delta > 0$$

shows that the result is sharp.

Corollary 3.7. If n = 0, A = 1, B = -1, we have Theorem 3 in [4] and if A = 1, B = -1, we have Theorem 2 in [5].



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In the next two theorems, we give necessary and sufficient convolution conditions for functions in $S_H^*[A, B]$ and $K_H[A, B]$.

Theorem 3.8. Let $f = h + \overline{g} \in S_H$. Then $f \in S_H^*[A, B]$ if

$$h(z) * \left(\frac{z + \frac{(\xi - A)}{A - B}z^2}{(1 - z)^2}\right) + \epsilon B \overline{g(z)} \left(\frac{\xi \overline{z} - \frac{(-1 - A\xi)}{A - B}\overline{z}^2}{(1 - \overline{z})^2}\right) \neq 0, \quad |\xi| = 1, \ 0 < |z| < 1.$$

Proof. Let $S(z) = \frac{h(z) - g(z)}{1 - h_1}$, then $S \in S^*[A, B]$ if and only if

$$\frac{zS'}{S} \prec \frac{1 + Az}{1 + Bz}$$

or

$$\frac{zS'(z)}{S(z)} \neq \frac{1 + Ae^{i\theta}}{1 + Be^{i\theta}}, \quad 0 \le \theta < 2\pi, \ z \in U.$$

It follows that

$$\left[zS'(z) - S(z)\frac{1 + Ae^{i\theta}}{1 + Be^{i\theta}}\right] \neq 0.$$

Since $zS'(z) = S(z) * \frac{z}{(1-z)^2}$, the above inequality is equivalent to

(3.3)
$$0 \neq S(z) * \left[\frac{z}{(1-z)^2} - \frac{1 + Ae^{i\theta}}{1 + Be^{i\theta}} \frac{z}{1-z} \right]$$
$$= \frac{1}{\lambda e^{it}} \left\{ S(z) * \left[\frac{z + \frac{(-e^{-i\theta} - A)}{(A-B)} z^2}{(-e^{-i\theta} - B)(1-z)^2} \right] \right\}, \quad 1 - b_1 = \lambda e^{it}$$



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$$= \frac{1}{\lambda e^{it}} \left\{ h(z) * \left(\frac{z + \frac{(-e^{-it} - A)}{A - B} z^2}{(-e^{-i\theta} - B)(1 - z)^2} \right) - g(z) \right.$$

$$\left. * \left(\left\{ \frac{z + (-e^{-i\theta} - A)z^2}{(A - B)(e^{-i\theta}/B)} \right\} \middle/ (1 - z)^2 (-B - e^{i\theta}) \right) \right\}$$

$$= \frac{1}{\lambda} \left\{ h(z) * \left(\frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(1 - z)^2 e^{it}} \right) \right.$$

$$\left. - g(z) * \left(\frac{Be^{i\theta}z + \frac{B(-e^{-i\theta} - A)e^{i\theta}}{A - B} z^2}{e^{it}(-B - e^{i\theta})(1 - z)^2} \right) \right\}.$$

Now, if $z_1 - z_2 \neq 0$ and $|z_1| \neq |z_2|$, then $z_1 - \epsilon \overline{z}_2 \neq 0$, $|\epsilon| = 1$, i.e.,

$$= \frac{1}{\lambda(-B - e^{-i\theta})} \left[h(z) * \left(\frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(1 - z)^2 e^{it}} \right) \right]$$

$$- \epsilon \overline{g(z)} * \left(\frac{Be^{+i\theta}z + \frac{(-1 - Ae^{i\theta})B}{A - B} z^2}{e^{it}(-B - e^{i\theta})(1 - z)^2} \right)$$

$$= \frac{1}{\lambda(-B - e^{-i\theta})} \left[h(z) * \left(\frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(1 - z)^2 e^{it}} \right) \right]$$

$$- \epsilon \overline{g(z)} * \left(\frac{(-B)(-e^{-i\theta}\overline{z} + \frac{B(-1 - Ae^{-i\theta})}{A - B} \overline{z}^2}{(1 - \overline{z})^2 e^{-it}} \right).$$

Since $arg(1-b_1)=t\neq \pi$, we obtain the result and the proof is thus completed. \Box Corollary 3.9. If $A=1,\ B-1$ and $\epsilon=1$, then we have Theorem 2.6 in [1] with a



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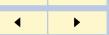
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different approach.

Theorem 3.10. Let $f = h + \overline{q} \in S_H$. Then $f \in K_H[A, B]$ if and only if

$$h(z) * \left[\frac{z + \frac{2\xi - A - B}{A - B} z^2}{(1 - z)^3} \right] + \epsilon \overline{g(z)} * \left[\frac{\xi \overline{z} - \frac{-2 + (A + B)\xi}{A - B} \overline{z}^2}{(1 - \overline{z})^3} \right] \neq 0$$
$$|\epsilon| = 1, \quad |\xi| = 1, \quad 0 < |z| < 1$$

Proof. Let $\psi(z) = \frac{h(z) - e^{i\gamma}g(z)}{1 - e^{i\gamma}h_1}$, $0 \le \gamma < 2\pi$ and $1 - e^{i\gamma}h_1 = \lambda e^{it}$, then from (1.3) and (3.3), $z\psi'(z) \in S_H^*[A, B]$ if and only if

$$z\psi'(z) * \left[\frac{z + \frac{(-e^{-i\theta} - A)}{A - B}z^2}{(-e^{-i\theta} - B)(1 - z)^2} \right] \neq 0$$

i.e.,

$$0 \neq \frac{1}{\lambda e^{it}} \left[zh' * \left\{ \frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(-e^{i\theta} - B)(1 - z)^2} \right\} - \epsilon zg' * \left\{ \frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(-e^{-i\theta} - B)(1 - z)^2} \right\} \right].$$

$$= \frac{1}{\lambda e^{it}} \left[h(z) * \left\{ \frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(1 - z)^2 (-e^{-i\theta} - B)} \right\}' - \epsilon g(z) * \left\{ \frac{z + \frac{(-e^{-i\theta} - A)}{A - B} z^2}{(1 - z)^2 (-e^{-i\theta} - B)} \right\}' \right]$$

$$= \frac{1}{\lambda e^{it}} \left[h(z) * \left(\frac{z + \frac{-2e^{-i\theta} - A - B}{A - B} z^2}{(1 - z)^3 (-e^{-i\theta} - B)} \right) - \epsilon g(z) * \left(\frac{z + \frac{-2e^{-i\theta} - A - B}{A - B} z^2}{(1 - z)^3 (-e^{-i\theta} - B)} \right) \right]$$

$$= \frac{1}{\lambda} \left[h(z) * \left(\frac{z + \frac{-2e^{-i\theta} - A - B}{A - B} z^2} {e^{it} (1 - z)^3 (-e^{-i\theta} - B)} \right) - \epsilon g(z) * \left(\frac{z + \frac{-2e^{-i\theta} - A - B}{A - B} z^2}{(e^{it} (1 - z)^3 (-B - e^{-i\theta}) \frac{e^{-i\theta}}{A}} \right) \right]$$



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$$\begin{split} &=\frac{1}{\lambda}\left[h(z)*\frac{z+\frac{-2e^{-i\theta}-A-B}{A-B}z^{2}}{e^{it}(1-z)^{3}(-e^{-i\theta}-B)}-\epsilon\overline{g(z)}*\left(\frac{\overline{Be^{i\theta}z+\frac{-2B-(A+B)Be^{i\theta}}{A-B}z^{2}}}{e^{it}(1-z)^{3}(-B-e^{i\theta})}\right)\right]\\ &=\frac{1}{\lambda}\left[h(z)*\frac{z+\frac{-2e^{-i\theta}-A-B}{A-B}}{e^{it}(1-z)^{3}(-e^{-i\theta}-B)}-\epsilon\overline{g(z)}*\left(\frac{(-B)(-e^{-i\theta})\overline{z}+\frac{-2B-(A+B)Be^{-i\theta}}{A-B}\overline{z}^{2}}{e^{-it}(-B-e^{-i\theta})(1-\overline{z})^{3}}\right)\right]\\ &=\frac{1}{\lambda}\left[h(z)*\frac{z+\frac{-2e^{-i\theta}-A-B}{A-B}}{e^{-it}(1-z)^{3}(e^{-i\theta}-B)}+\epsilon B\overline{g(z)}*\left(\frac{(-e^{-i\theta})\overline{z}-\frac{-2+(A+B)(-e^{-i\theta})}{A-B}\overline{z}^{2}}{e^{-it}(-B-e^{-i\theta})(1-\overline{z})^{3}}\right)\right], \end{split}$$

and we have the result.

Corollary 3.11. *If* $A = 1, B = -1, \epsilon = -1$, *then we have Theorem 2.7 of [1].*

Theorem 3.12. If $f = h + \overline{g} \in S_H$ with

(3.4)
$$\sum_{m=2}^{\infty} mC(n,m)|a_m| + \sum_{m=1}^{\infty} mC(n,m)|b_m| \le 1,$$

then $D^n f = H + \overline{G} \in C_H$. The result is sharp.

Proof. The result follows immediately. Using Lemma 2.5, the function

$$f(z) = z + \frac{1+\delta}{mC(n,m)}\overline{z}^m, \quad \delta > 0$$

shows that the upper bound in (3.4) cannot be improved.

Theorem 3.13. If $f = h + \overline{g}$ is locally univalent with $\sum_{m=2}^{\infty} m^2 C(n,m) |a_m| \leq 1$, then $D^n f \in C_H$.

Proof. Take $\epsilon = 0$ in Lemma 2.2 and apply Lemma 2.4.



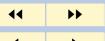
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Corollary 3.14. $D^n f = H + \overline{G} \in C_H$ if $|G'(z)| \leq \frac{1}{2}$ and

$$\sum_{m=2}^{\infty} m^2 C(n,m)|a_m| \le 1.$$

Proof. The function $D^n f$ is locally univalent if |H'(z)| > |G'(z)| for $z \in U$. Since

$$2\sum_{m=2}^{\infty} mC(n,m)|a_m| \le \sum_{m=2}^{\infty} m^2C(n,m)|a_m| \le 1,$$

we have

$$|H'(z)| > 1 - \sum_{m=2}^{\infty} m|a_m|C(n,m)| \ge \frac{1}{2}.$$

Corollary 3.15. If $h(z) \in K$ and w(z) is analytic with |w(z)| < 1, then

$$f(z) = D^n h(z) + \int_0^z w(t) (D^n h(t))' dt \in C_H.$$

Theorem 3.16. Let $f = h + \overline{g} \in S_H$. If $D^{n+1}f \in R$, then $D^nf \in R$, where R can be $S_H^*[A, B]$ or $K_H[A, B]$ or C_H .

Proof. We can prove the result when $R \equiv S_H^*[A,B]$. If $D^{n+1}f \in S_H^*[A,B]$, then $D^{n+1}\left[\frac{h-g}{1-b_1}\right] \in S^*[A,B]$ and $|D^{n+1}h| > |D^{n+1}g|$. Using Lemma 2.9, we have

$$D^n \left[\frac{h - g}{1 - b_1} \right] \in S^*[A, B].$$



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Since

$$|D^{n+1}h| = \left| z \left(\frac{z}{(1-z)^{n+1}} * h \right)' \right| = \left| z \left\{ \frac{1}{z} \frac{z}{(1-z)^{n+1}} * h' \right\} \right|,$$

this implies $|D^n h| > |D^n g|$, or $D^n(h) + \overline{D^n g} \in S_H^*[A, B]$ and we have the result. \square

Theorem 3.17. Let $f = h + \overline{g} \in S_H$ and let $F_c(f) = \frac{1+c}{z^c} \int_0^z t^{c-1} f(t) dt$. If $D^n f \in R$, then $D^n F_c(f) \in R$, where R can be $S_H^*[A, B]$ or $K_H[A, B]$ or C_H .

Proof. If $D^n f \in S_H^*[A, B]$, then $D^n \left(\frac{h-g}{1-b_1} \right) \in S^*[A, B]$. Using Lemma 2.9, we have $D^n F_c(f) \in S^*[A, B]$. That is, $D^n F_c \left(\frac{(h-g)}{1-b_1} \right) \in S^*[A, B]$ or $D^n F_c(h) - D^n F_c(g) \in S^*[A, B]$. Since $|D^n F_c(n)| > |D^n F_c(g)|$, then $D^n F_c(f) \in S_H^*[A, B]$.



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