

# Convex combinations of unitaries in $JB^*$ -algebras

Akhlaq A. Siddiqui

ABSTRACT. We continue our recent efforts to exploit the notion of a unitary isotope to study convex combinations of unitaries in an arbitrary  $JB^*$ -algebra. Exact analogues of  $C^*$ -algebraic results, due to R. V. Kadison, C. L. Olsen and G. K. Pedersen, are proved for general  $JB^*$ -algebras. We show that if a contraction in a  $JB^*$ -algebra is a convex combination of  $n$  unitaries, then it is also a mean of  $n$  unitaries. This generalizes a well known theorem of Kadison and Pedersen. Our methods also provide alternative proofs of other results for  $C^*$ -algebras.

## CONTENTS

1. Introduction	127
2. Convex combinations of unitaries	130
3. Distance from a positive element to the unitaries	132
4. Means of unitaries	134
References	135

## 1. Introduction

In [17], B. Russo and H. A. Dye proved that the closed unit ball of any  $C^*$ -algebra is the closed convex hull of the set of its unitary elements. They also raised the question: Which operators lie in the purely algebraic convex hull of the unitaries of a  $C^*$ -algebra? Subsequently, in 1984, L. T. Gardner [5] obtained an elementary proof of the Russo–Dye theorem by proving that every element of norm less than a half in a  $C^*$ -algebra is a convex combination of unitaries. This result stimulated a number of mathematicians, including R. V. Kadison, G. K. Pedersen, C. L. Olsen and M. Rørdam and others, to study convex combinations of unitaries in  $C^*$ -algebras and related unitary approximation theorems (see [7, 13, 14, 16]).

It is well known that  $JB^*$ -algebras are an important generalization of  $C^*$ -algebras (see [24]). Hence, it is important to understand which results from  $C^*$ -algebra theory extend to  $JB^*$ -algebras. There already is a substantial

---

Received January 31, 2010.

2000 *Mathematics Subject Classification.* 17C65, 46K70, 46L05, 46L45, 46L70.

*Key words and phrases.*  $C^*$ -algebra;  $JB^*$ -algebra; invertible element; positive elements; unitary element; unitary isotope.

literature in this area. See, in particular, [25, 20, 19, 21, 22] for a sample and further references.

In this paper, we build upon our earlier results from [20, 19, 22] to investigate convex combinations of unitaries in an arbitrary  $JB^*$ -algebra. We present some interesting generalizations of certain  $C^*$ -algebra results due to Kadison, Olsen and Pedersen [7, 14]. Our approach also provides alternative proofs to certain known results for  $C^*$ -algebras. We prove, in particular, that the distance from any positive element to the set  $\mathcal{U}(\mathcal{J})$  of unitaries in a  $JB^*$ -algebra  $\mathcal{J}$  is attained at the unit element of  $\mathcal{J}$ . We also prove that if  $x \in (\mathcal{J})_1$  (closed unit ball of  $\mathcal{J}$ ) with  $\text{dist}(x, \mathcal{U}(\mathcal{J})) \leq 2\alpha$  and  $\alpha < \frac{1}{2}$  then  $x \in \alpha\mathcal{U}(\mathcal{J}) + (1 - \alpha)\mathcal{U}(\mathcal{J})$ . The proof requires a nontrivial application of some of our results involving the Stone–Weierstrass Theorem and the continuous functional calculus. In the last section, we obtain the inclusion

$$\alpha\mathcal{U}(\mathcal{J}) + (1 - \alpha)\mathcal{U}(\mathcal{J}) \subseteq \beta\mathcal{U}(\mathcal{J}) + (1 - \beta)\mathcal{U}(\mathcal{J})$$

for any  $0 \leq \alpha \leq \beta \leq \frac{1}{2}$ ; this would lead us to  $JB^*$ -algebra analogues of additional  $C^*$ -algebra results. In the sequel, we shall show that if a contraction in a  $JB^*$ -algebra is a convex combination of  $n$  unitaries, then it is also a mean of  $n$  unitaries. This generalizes known results for  $C^*$ -algebras due to Kadison and Pedersen [7].

The concepts and notation that we shall use throughout the sequel are consistent with our previous papers [19, 20, 21, 22].

We begin by recalling (from [6]) the concept of a homotope of a Jordan algebra. Let  $\mathcal{J}$  be a Jordan algebra and  $x \in \mathcal{J}$ . The  $x$ -homotope,  $\mathcal{J}_{[x]}$  of  $\mathcal{J}$  is the Jordan algebra that consists of the same elements and linear structure as  $\mathcal{J}$ , but with the new product “ $\cdot_x$ ” defined by the equation:

$$a \cdot_x b = \{axb\}, \quad a, b \in \mathcal{J}_{[x]}.$$

Here, and throughout,  $\{pqr\}$  denotes the Jordan triple product of  $p, q, r$  defined in the Jordan algebra  $\mathcal{J}$  by the formula:

$$\{pqr\} = (p \circ q) \circ r - (p \circ r) \circ q + (q \circ r) \circ p,$$

where  $\circ$  stands for the original Jordan product in  $\mathcal{J}$ .

An element  $x$  of a Jordan algebra  $\mathcal{J}$ , with unit  $e$ , is said to be *invertible* if there exists  $x^{-1} \in \mathcal{J}$ , called the *inverse* of  $x$ , such that  $x \circ x^{-1} = e$  and  $x^2 \circ x^{-1} = x$ . The set of all invertible elements of unital Jordan  $\mathcal{J}$  will be denoted by  $\mathcal{J}_{\text{inv}}$ . It is easy to see that any invertible element  $x$  acts as the unit of the  $x^{-1}$ -homotope  $\mathcal{J}_{[x^{-1}]}$  of  $\mathcal{J}$ . For an invertible element  $x$  of a unital Jordan algebra  $\mathcal{J}$ , the  $x^{-1}$ -homotope  $\mathcal{J}_{[x^{-1}]}$  of  $\mathcal{J}$  is called the  $x$ -isotope of  $\mathcal{J}$ , and is denoted by  $\mathcal{J}^{[x]}$ . Any two isotopes of an associative algebra are *isomorphic* to each other (see [6, p. 56]). Thus in the associative case, *isotopy* basically just changes the unit element and does not produce new structures. However, it may be convenient to change isotopes when performing certain calculations; such an example is given in [12, p. 617]. But for a general Jordan algebra, the process of forming isotopes may produce essentially

different Jordan algebras (for examples, see [12, 10].) Fortunately, the set of invertible elements in a unital Jordan algebra remains invariant on passage to isotopes [20, Lemma 4.2].

A Jordan algebra  $\mathcal{J}$  with product  $\circ$  is called a *Banach Jordan algebra* if there is a norm  $\|\cdot\|$  on  $\mathcal{J}$  such that  $(\mathcal{J}, \|\cdot\|)$  is a Banach space and

$$\|a \circ b\| \leq \|a\| \|b\|.$$

If, in addition,  $\mathcal{J}$  has unit  $e$  with  $\|e\| = 1$  then  $\mathcal{J}$  is called a *unital Banach Jordan algebra*. Many elementary properties of Banach Jordan algebras are similar to those of Banach algebras and their proofs are fairly routine [2, 4, 18, 23]. Throughout the sequel, we will only be considering unital Banach Jordan algebras. The norm closure of the Jordan subalgebra  $J(x_1, \dots, x_r)$ , generated by  $x_1, \dots, x_r$  of Banach Jordan algebra  $\mathcal{J}$ , will be denoted by  $\mathcal{J}(x_1, \dots, x_r)$ . Let  $\mathcal{J}$  be a complex unital Banach Jordan algebra and let  $x \in \mathcal{J}$ . As usual, the spectrum  $\sigma_{\mathcal{J}}(x)$  of  $x$  in  $\mathcal{J}$  is defined by

$$\sigma_{\mathcal{J}}(x) = \{\lambda \in \mathbb{C} : x - \lambda e \text{ is not invertible in } \mathcal{J}\}.$$

In this note, we are interested in a special class of Banach Jordan algebras, called  $JB^*$ -algebras ; these include all  $C^*$ -algebras as a proper subclass (see [24, 26]). A complex Banach Jordan algebra  $\mathcal{J}$  with involution  $*$  (cf. [8, 9]) is called a  *$JB^*$ -algebra* if  $\|\{xx^*x\}\| = \|x\|^3$  for all  $x \in \mathcal{J}$ . It is easily seen that  $\|x^*\| = \|x\|$  for all elements  $x$  of a  $JB^*$ -algebra (see [26], for instance).

There is a more tractable subclass of these algebras: Let  $\mathcal{H}$  be any complex Hilbert space and let  $\mathcal{B}(\mathcal{H})$  denote the full algebra of bounded linear operators on  $\mathcal{H}$ . Then, any closed self-adjoint complex Jordan subalgebra of  $\mathcal{B}(\mathcal{H})$  is called a  $JC^*$ -algebra. A  $JB^*$ -algebra is also called a  $JC^*$ -algebra if it is isometrically  $*$ -isomorphic to a  $JC^*$ -algebra. It is easily seen that every  $JC^*$ -algebra is a  $JB^*$ -algebra; the converse generally is not true (cf. [2]).

An element  $x$  of a  $JB^*$ -algebra  $\mathcal{J}$  is said to be *self-adjoint* if  $x^* = x$ . A self-adjoint element  $x$  of  $\mathcal{J}$  is said to be *positive* (in  $\mathcal{J}$ ) if its spectrum  $\sigma_{\mathcal{J}}(x)$  is contained in the set of nonnegative real numbers. An element  $u \in \mathcal{J}$  is called *unitary* if  $u^* = u^{-1}$ .

If  $u \in \mathcal{U}(\mathcal{J})$  (the set of unitary elements in  $\mathcal{J}$ ), then the isotope  $\mathcal{J}^{[u]}$  is called a *unitary isotope* of  $\mathcal{J}$ . It is well known (see [10, 3, 20]) that *any unitary isotope  $\mathcal{J}^{[u]}$  is a  $JB^*$ -algebra with  $u$  as its unit with respect to the original norm and the involution  $*_u$  defined by*

$$x^{*_u} = \{ux^*u\}.$$

Notice that for nonunitary  $x \in \mathcal{J}_{\text{inv}}$ , the isotope  $\mathcal{J}^{[x]}$  of the  $JB^*$ -algebra  $\mathcal{J}$  may not be a  $JB^*$ -algebra with the “ $*_u$ ” as involution.

Like invertible elements, the set of unitary elements in the (unital)  $JB^*$ -algebra  $\mathcal{J}$  is invariant on passage to unitary isotopes of  $\mathcal{J}$  [20, Theorem 4.6] and every invertible element  $x$  of a  $JB^*$ -algebra  $\mathcal{J}$  is positive in the unitary isotope  $\mathcal{J}^{[u]}$  of  $\mathcal{J}$ , where  $u \in \mathcal{U}(\mathcal{J})$  is given by the usual polar decomposition  $x = u|x|$  of  $x$  considered as an operator in the algebra  $\mathcal{B}(\mathcal{H})$  of bounded linear

operators on a suitable Hilbert space  $\mathcal{H}$  [20, Theorem 4.12]. This is one of the principal results we proved in [20]. The somewhat involved proof uses the Stone–Weierstrass theorem and the standard functional calculus. In this note, we shall make free use of this fact as one of our main tools.

## 2. Convex combinations of unitaries

We continue using our earlier results on unitary isotopes to study convex combinations of unitaries in an arbitrary  $JB^*$ -algebra. The following definition is inspired by [7]:

**Definition 2.1.** Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in \mathcal{J}$ . We define two numbers  $u_c(x)$  and  $u_m(x)$  by

$$u_c(x) = \min \left\{ n : x = \sum_{j=1}^n \alpha_j u_j \text{ with } u_j \in \mathcal{U}(\mathcal{J}), \alpha_j \geq 0, \sum_{j=1}^n \alpha_j = 1 \right\},$$

$$u_m(x) = \min \left\{ n : x = \frac{1}{n} \sum_{j=1}^n u_j, u_j \in \mathcal{U}(\mathcal{J}) \right\}.$$

If  $x$  has no decomposition as a convex combination of elements of  $\mathcal{U}(\mathcal{J})$ , we define  $u_c(x)$  to be  $\infty$ .

**Remark 2.2.** From this definition, it is clear that  $u_c(x) \leq u_m(x)$  and  $u_c(x) = u_m(x) = \infty$  whenever  $\|x\| > 1$ . In [22, Theorem 2.3], the author proved that for general  $JB^*$ -algebra  $\mathcal{J}$  there exist  $u_i \in \mathcal{U}(\mathcal{J})$ ,  $i = 1, 2, \dots, n$  satisfying  $x = \frac{1}{n} \sum_{i=1}^n u_i$  whenever  $\|x\| < 1 - 2n^{-1}$  with  $n \geq 3$  (for the special case of  $C^*$ -algebra, see [7, Theorem 2.1]). Thus,  $u_m(x) < \infty$  whenever  $\|x\| < 1$ .

The following result is clear from [15, 4.3.10] and also from the facts given in [8, exercises 4.6.16 and 4.6.31]. The same facts are used in [7, Lemma 6 and Corollary 11].

**Lemma 2.3.** *Let  $x$  be a self-adjoint element of a  $C^*$ -algebra  $\mathcal{A}$ . If  $\lambda \in \sigma_{\mathcal{A}}(x)$ , then there exists a pure state  $\rho$  of  $\mathcal{A}$  such that  $\rho(x) = \lambda$  and  $\rho(yx) = \rho(y)\rho(x)$  for all  $y \in \mathcal{A}$ .  $\square$*

The next lemma extends [19, Lemma 4.1] and [7, Lemma 6]. Notice that the authors used [7, Lemma 6] as a principal tool in their paper:

**Lemma 2.4.** *Let  $x$  be any self-adjoint element of a unital  $JB^*$ -algebra  $\mathcal{J}$  and  $\alpha \in [0, \frac{1}{2}]$ . Define  $I_\alpha$  to be the set  $[-1, 1] \setminus (-(1 - 2\alpha), (1 - 2\alpha))$ . Then  $\sigma_{\mathcal{J}}(x) \subseteq I_\alpha$  if and only if  $x = \alpha u_1 + (1 - \alpha)u_2$  for some  $u_1, u_2 \in \mathcal{U}(\mathcal{J})$ .*

**Proof.** Assume  $x = \alpha u_1 + (1 - \alpha)u_2$  with  $u_1, u_2 \in \mathcal{U}(\mathcal{J})$ . Then  $u_i^* = u_i^{-1}$  for  $i = 1, 2$  and hence, by [20, Corollary 2.5], the  $JB^*$ -subalgebra  $\mathcal{J}(e, u_1, u_2, u_1^{-1}, u_2^{-1})$  of  $\mathcal{J}$  generated by the identity element  $e, u_1, u_2$  and

their inverses is a  $JC^*$ -algebra. Let  $\mathcal{J}(e, u_1, u_2, u_1^{-1}, u_2^{-1})$  be embedded into  $\mathcal{B}(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$ . Clearly,  $x \in \mathcal{J}(e, u_1, u_2, u_1^{-1}, u_2^{-1})$ .

Suppose that  $\lambda \in \sigma_{\mathcal{J}}(x)$ . Since  $x$  is self-adjoint, [20, Lemma 2.1(v)] gives

$$\sigma_{\mathcal{J}(e, u_1, u_2, u_1^{-1}, u_2^{-1})}(x) = \sigma_{\mathcal{B}(\mathcal{H})}(x),$$

so that  $\lambda \in \sigma_{\mathcal{B}(\mathcal{H})}(x)$ . Hence, by Lemma 2.3, there exists a pure state  $\rho$  of  $\mathcal{B}(\mathcal{H})$  such that  $\rho(x) = \lambda$  and  $\rho(yx) = \rho(y)\rho(x)$  for every  $y \in \mathcal{B}(\mathcal{H})$ . In particular,  $\rho(u_2^*x) = \rho(u_2^*)\rho(x)$ . Since  $\rho$  is a pure state,  $\rho$  has norm 1,

$$\begin{aligned} 1 - 2\alpha &= (1 - \alpha) - \alpha \\ &\leq |(1 - \alpha)\rho(e)| - |\alpha\rho(u_2^*u_1)| \\ &\leq |\rho(\alpha u_2^*u_1 + (1 - \alpha)e)| \\ &= |\rho(u_2^*(\alpha u_1 + (1 - \alpha)u_2))| \\ &= |\rho(u_2^*x)| \text{ (by our hypothesis)} \\ &= |\rho(u_2^*)\rho(x)| = |\rho(u_2^*)| |\rho(x)| \leq |\lambda|. \end{aligned}$$

But  $|\lambda| \leq \|x\| = \|\alpha u_1 - (1 - \alpha)u_2\| \leq 1$ . Therefore,  $\lambda \in I_\alpha$  by its construction. Thus,  $\sigma_{\mathcal{J}}(x) \subseteq I_\alpha$ .

Conversely, suppose  $\sigma_{\mathcal{J}}(x) \subseteq I_\alpha$ . As  $x$  is self-adjoint, the  $JB^*$ -subalgebra  $\mathcal{J}(e, x)$  of  $\mathcal{J}$  generated by  $x$  and the unit  $e$  is a  $C^*$ -algebra (see [20, Remark 2.6]). So, by [7, Lemma 6],  $x = \alpha u_1 + (1 - \alpha)u_2$  for some unitaries  $u_1$  and  $u_2$  in  $\mathcal{J}(e, x)$  and hence in  $\mathcal{J}$ .  $\square$

**Remark 2.5.** Explicit formulae for the unitaries  $u_1, u_2$  appearing in the converse part of the above proof can be given as follows:

*Case (i).* If  $\alpha = 0$ , then  $x$  is a symmetry (a self-adjoint unitary) and so  $u_1 = ie$  and  $u_2 = x$  work. (Of course, any unitary can be taken for  $u_1$ , in this case).

*Case (ii).* If  $\alpha = \frac{1}{2}$  then  $u_1 = x + i(e - x^2)^{\frac{1}{2}}$  and  $u_2 = x - i(e - x^2)^{\frac{1}{2}}$  (seen the proof of [19, Lemma 2.11]).

*Case (iii).* If  $0 < \alpha < \frac{1}{2}$  then  $x$  is invertible (as  $0 \notin \sigma_{\mathcal{J}}(x)$  in this case) and so with  $a = \frac{1}{2}\alpha^{-1}(x - (1 - 2\alpha)x^{-1})$ ,  $b = \frac{1}{2}(1 - \alpha)^{-1}(x + (1 - 2\alpha)x^{-1})$  and  $c = (1 - \alpha)^{-1}(e - a \circ a)^{\frac{1}{2}} = \alpha^{-1}(e - b \circ b)^{\frac{1}{2}}$ , we can take

$$u_1 = a + i(1 - \alpha)c \text{ and } u_2 = b - i\alpha c.$$

For this, we observe that

$$\begin{aligned} \alpha u_1 + (1 - \alpha)u_2 &= \alpha a + (1 - \alpha)b \\ &= \frac{1}{2}(x - (1 - 2\alpha)x^{-1}) + \frac{1}{2}(x + (1 - 2\alpha)x^{-1}) = x. \end{aligned}$$

Further,

$$\begin{aligned} u_1^* u_1 &= a^2 + (1 - \alpha)^2 c^2 = a^2 + (e - a^2) = e, \\ u_2^* u_2 &= b^2 + \alpha^2 c^2 = b^2 + (e - b^2) = e. \end{aligned}$$

Similarly,  $u_1 u_1^* = e$  and  $u_2 u_2^* = e$ .

Using Lemma 2.4, we generalize [14, Lemma 2.1] to  $JB^*$ -algebras:

**Theorem 2.6.** *Let  $\mathcal{J}$  be a  $JB^*$ -algebra and  $x \in \mathcal{J}$  with  $\|x\| \leq \epsilon < 1$ . Then for each  $u \in \mathcal{U}(\mathcal{J})$  there exist  $u_1, u_2 \in \mathcal{U}(\mathcal{J})$  such that  $u + x = u_1 + \epsilon u_2$ .*

**Proof.** Let  $\mathcal{P} = \mathcal{J}(u, x, x^{*u})$  be the  $JC^*$ -subalgebra of the  $JB^*$ -algebra  $\mathcal{J}^{[u]}$ , generated by its identity  $u, x$  and  $x^{*u}$ . As  $\|x\| < 1$ ,  $u + x$  is invertible by [20, Lemma 2.1(iii)]. So, by [20, Theorem 4.12], there exists a unitary  $v \in \mathcal{P}$  such that  $u + x$  is positive (and invertible) in the isotope  $\mathcal{P}^{[v]}$ . Hence by the *functional calculus of positive elements*  $\inf \sigma_{\mathcal{J}^{[v]}}(u + x) = \|(u + x)^{-1v}\|^{-1}$ . Moreover, by using the geometric series representation  $(u + x)^{-1v} = \sum_{n=0}^{\infty} (-x)^n$  (see [20, Lemma 2.1(iii)]), we get

$$\begin{aligned} \|(u + x)^{-1v}\|^{-1} &= \left\| \sum_{n=0}^{\infty} (-x)^n \right\|^{-1} \\ &\geq \left( \sum_{n=0}^{\infty} \|x^n\| \right)^{-1} \\ &= \left( \frac{1}{1 - \|x\|} \right)^{-1} \\ &= 1 - \|x\| \geq 1 - \epsilon, \quad \text{as } \|x\| \leq \epsilon. \end{aligned}$$

Of course,  $\sup \sigma_{\mathcal{J}^{[v]}}(u + x) \leq 1 + \epsilon$ . So,  $\sigma_{\mathcal{J}^{[v]}}(u + x) \subseteq [1 - \epsilon, 1 + \epsilon]$ . Hence,  $\sigma_{\mathcal{J}^{[v]}}(y) \subseteq [\frac{1-\epsilon}{1+\epsilon}, 1]$  with  $y = (1 + \epsilon)^{-1}(u + x)$ .

Taking  $\alpha = \epsilon(1 + \epsilon)^{-1}$  we see that  $\sigma_{\mathcal{J}^{[v]}}(y) \subseteq [1 - 2\alpha, 1]$  (indeed,  $1 - 2\alpha = 1 - 2\epsilon(1 + \epsilon)^{-1} = \frac{1+\epsilon-2\epsilon}{1+\epsilon}$ ). Hence, by Lemma 2.4,  $y = \alpha v_1 + (1 - \alpha)v_2$  for some  $v_1, v_2 \in \mathcal{U}(\mathcal{J}^{[v]})$ . Thus, by [20, Theorem 4.6], the required result follows with  $u_1 = v_2$  and  $u_2 = v_1$ .  $\square$

### 3. Distance from a positive element to the unitaries

Here, we prove that the distance from any positive element to the set of unitaries is attained at the unit element of the  $JB^*$ -algebra. As consequence of this fact and Lemma 2.4, we will obtain a precise analogue of [7, Corollary 11] for general  $JB^*$ -algebras (see Corollary 3.4 below) that also provides an alternative proof of the corresponding result for  $C^*$ -algebras.

**Theorem 3.1.** *Let  $x$  be a positive noninvertible element of the unital  $JB^*$ -algebra  $\mathcal{J}$  with  $\|x\| \leq 1$ . Then  $\text{dist}(x, \mathcal{U}(\mathcal{J})) = \|e - x\|$ .*

**Proof.** Clearly,  $0 \in \sigma_{\mathcal{J}}(x)$  and so  $1 \in \sigma_{\mathcal{J}}(e - x)$ . Also,  $e - x \geq 0$  because  $x \geq 0$  and  $\|x\| \leq 1$ . Therefore,  $1 \in \sigma_{\mathcal{J}}(e - x) \subseteq [0, 1]$ . Hence,  $\gamma_{\mathcal{J}}(e - x) = 1$ . But  $\gamma_{\mathcal{J}}(e - x) = \|e - x\|$  since  $e - x \geq 0$ . Therefore,  $\|e - x\| = 1$ .

Now, let  $\|u - x\| < \|e - x\|$  for some  $u \in \mathcal{U}(\mathcal{J})$ . Then,  $\|u - x\| < 1$ . Therefore, by [20, Theorem 4.4, Lemma 2.1(iii)],  $x$  is invertible in  $\mathcal{J}^{[u]}$ . But by [20, Lemma 4.2(ii)],  $\mathcal{J}_{\text{inv}}^{[u]} = \mathcal{J}_{\text{inv}}$ . Hence,  $x$  is invertible in  $\mathcal{J}$ ; a contradiction. Thus,  $\|e - x\| \leq \|u - x\|, \forall u \in \mathcal{U}(\mathcal{J})$  and so the required result follows.  $\square$

Obviously, the above proof does not work if  $x$  is invertible. However, the same conclusion can be obtained without assuming the noninvertibility of  $x$ :

**Theorem 3.2.** *Let  $x$  be a positive element of unital  $JB^*$ -algebra  $\mathcal{J}$ . Then*

$$\|x - e\| = \text{dist}(x, \mathcal{U}(\mathcal{J})) \leq \|x + e\|.$$

**Proof.** Let  $u \in \mathcal{U}(\mathcal{J})$ . Then the  $JB^*$ -subalgebra  $\mathcal{J}(e, x, u, u^*)$ , generated by  $x, u, u^* = u^{-1}$  and unit  $e$  of  $\mathcal{J}$  is a unital  $JC^*$ -algebra by the Shirshov–Cohn theorem with inverses (cf. [11]). Considering  $\mathcal{J}(e, x, u, u^*)$  a  $JC^*$ -subalgebra of the  $C^*$ -algebra  $\mathcal{B}(\mathcal{H})$ , for some Hilbert space  $\mathcal{H}$ , we see that  $x$  is positive and  $u$  is unitary in  $\mathcal{B}(\mathcal{H})$ . Therefore, by [1, Theorem 3.1],  $\|x - e\| \leq \|x - u\| \leq \|x + e\|$ . Thus, the required result follows.  $\square$

**Corollary 3.3.** *For all  $x \in \mathcal{J}_{\text{inv}}, \|x - u\| = \text{dist}(x, \mathcal{U}(\mathcal{J}))$  where  $u \in \mathcal{U}(\mathcal{J})$  is given by the polar decomposition  $x = u|x|$  of  $x$  considered in a suitable  $\mathcal{B}(\mathcal{H})$ .*

**Proof.** By [20, Theorem 4.12],  $x$  is positive in the isotope  $\mathcal{J}^{[u]}$  with unit  $u$ . Hence,  $\|x - u\| = \text{dist}(x, \mathcal{U}(\mathcal{J}^{[u]})) = \text{dist}(x, \mathcal{U}(\mathcal{J}))$  by above Theorem 3.2 and [20, Theorem 4.6].  $\square$

Now, we are able to obtain the following extension of the above mentioned  $C^*$ -algebra result due to Kadison and Pedersen (namely, [7, Corollary 11]). The proof we give exploits some of our previous results and standard continuous functional calculus.

**Corollary 3.4.** *Let  $\mathcal{J}$  be a  $JB^*$ -algebra with identity element  $e$ . Let  $x \in (\mathcal{J})_1$  be such that  $\text{dist}(x, \mathcal{U}(\mathcal{J})) \leq 2\alpha$  with  $\alpha < \frac{1}{2}$ . Then*

$$x \in \alpha\mathcal{U}(\mathcal{J}) + (1 - \alpha)\mathcal{U}(\mathcal{J}).$$

**Proof.** Let  $\beta$  be any number such that  $\alpha < \beta < \frac{1}{2}$ . Since  $\text{dist}(x, \mathcal{U}(\mathcal{J})) \leq 2\alpha$ , there exists unitary  $u \in \mathcal{U}(\mathcal{J})$  such that  $\|x - u\| < 2\beta < 1$ . So, by [20, Theorem 4.4, Lemma 2.1(iii)],  $x$  is invertible in the unitary isotope  $\mathcal{J}^{[u]}$  and hence  $x \in \mathcal{J}_{\text{inv}}$  by [20, Lemma 4.2(ii)]. Then, by Corollary 3.3,

$$(1) \quad \|x - v\| = \text{dist}(x, \mathcal{U}(\mathcal{J}))$$

where  $v \in \mathcal{U}(\mathcal{J})$  is given by the polar decomposition  $x = v|x|$  in some  $\mathcal{B}(\mathcal{H})$ . So that  $\|x - v\| \leq 2\alpha \leq 2\beta$ . By [20, Theorem 4.12],  $x$  is positive in the isotope

$\mathcal{J}^{[v]}$  in which  $v$  is the unit. Therefore, by the continuous functional calculus,  $\sigma_{\mathcal{J}^{[v]}}(x) \subseteq [1 - 2\beta, 1]$ . Notice that the existence of  $\mathcal{J}^{[v]}$  and the positivity of  $x$  in  $\mathcal{J}^{[v]}$  with (1) depend only on the invertibility of  $x$  in  $\mathcal{J}$ . So that  $\sigma_{\mathcal{J}^{[v]}}(x) \subseteq [1 - 2\alpha, 1]$ . Hence, by Lemma 2.4,  $x = \alpha w_1 + (1 - \alpha)w_2$  for some  $w_1, w_2 \in \mathcal{U}(\mathcal{J}^{[v]})$ . Thus, by [20, Theorem 4.6],  $x \in \alpha\mathcal{U}(\mathcal{J}) + (1 - \alpha)\mathcal{U}(\mathcal{J})$ .  $\square$

#### 4. Means of unitaries

In this section, we extend [7, Corollary 10] to general  $JB^*$ -algebras, which in turn would lead us to the  $JB^*$ -algebra analogues of [7, Corollary 12, Theorem 14] and the conclusion that *every element in the convex hull of  $n$  unitaries in a  $JB^*$ -algebra is the arithmetic mean of  $n$  unitaries* in the same algebra (an exact analogue of [7, Corollary 15]).

We need the following result:

**Lemma 4.1.** *Let  $\mathcal{J}$  be a  $JB^*$ -algebra with unit  $e$  and let  $u \in \mathcal{J}$ . Then  $\mathcal{J}(e, u, u^*)$  is a unital  $C^*$ -algebra.*

**Proof.** By Jacobson's Theorem [6], any Jordan algebra is integrally power associative, provided the inverses involved exist. It follows that  $\mathcal{J}(e, u, u^*)$  is a  $C^*$ -algebra by [20, Lemma 2.1, Corollary 2.5].  $\square$

Next, we prove a  $JB^*$ -algebra analogue of [7, Corollary 10]; observe that [7, Corollary 10] is used in our proof.

**Theorem 4.2.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra. Then for any  $0 \leq \alpha \leq \beta \leq \frac{1}{2}$ ,  $\alpha\mathcal{U}(\mathcal{J}) + (1 - \alpha)\mathcal{U}(\mathcal{J}) \subseteq \beta\mathcal{U}(\mathcal{J}) + (1 - \beta)\mathcal{U}(\mathcal{J})$ .*

**Proof.** Let  $u_1, u_2$  be arbitrary but fixed elements of  $\mathcal{U}(\mathcal{J})$ . By [20, Theorem 4.4], the isotope  $\mathcal{J}^{[u_1]}$  is a  $JB^*$ -algebra with identity element  $u_1$ . By [20, Theorem 4.6],

$$(2) \quad \mathcal{U}(\mathcal{J}) = \mathcal{U}(\mathcal{J}^{[u_1]}).$$

In particular,  $u_2 \in \mathcal{U}(\mathcal{J}^{[u_1]})$ . Let  $\mathcal{J}(u_1, u_2, u_2^{-1u_1})$  denote the norm closed Jordan subalgebra of  $\mathcal{J}^{[u_1]}$ , generated by the unitary  $u_2$ , its inverse  $u_2^{-1u_1}$  and the unit  $u_1$ . By Lemma 4.1,  $\mathcal{J}(u_1, u_2, u_2^{-1u_1})$  is a  $C^*$ -algebra. Moreover, we see that

$$\alpha u_1 + (1 - \alpha)u_2 \in \alpha\mathcal{U}(\mathcal{J}(u_1, u_2, u_2^{-1u_1})) + (1 - \alpha)\mathcal{U}(\mathcal{J}(u_1, u_2, u_2^{-1u_1})).$$

Hence, by [7, Corollary 10], there exist unitaries  $u_3, u_4$  in the  $C^*$ -algebra  $\mathcal{J}(u_1, u_2, u_2^{-1u_1})$  such that

$$(3) \quad \alpha u_1 + (1 - \alpha)u_2 = \beta u_3 + (1 - \beta)u_4.$$

From Equation (2), we deduce  $\mathcal{U}(\mathcal{J}(u_1, u_2, u_2^{-1u_1})) \subseteq \mathcal{U}(\mathcal{J})$ . In particular,  $u_3, u_4 \in \mathcal{U}(\mathcal{J})$ . This together with (3) gives the required result.  $\square$



Now, proceeding on the lines of [7], one can easily obtain the  $JB^*$ -algebra analogues of certain results due to Kadison and Pedersen (namely, [7, Corollary 12, Theorem 14 and its Corollary 15]): the proofs of these results as they appeared in [7] for  $C^*$ -algebras work well in the general case after appropriate translation of the terms for  $JB^*$ -algebras and using Theorem 4.2 in place of [7, Corollary 10]:

**Corollary 4.3.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra. Then, for any nonnegative real numbers  $\alpha_1, \alpha_2$ ,  $\alpha_1\mathcal{U}(\mathcal{J}) + \alpha_2\mathcal{U}(\mathcal{J}) \subseteq \beta_1\mathcal{U}(\mathcal{J}) + \beta_2\mathcal{U}(\mathcal{J})$  provided the point  $(\beta_1, \beta_2)$  lies on the line segment joining  $(\alpha_1, \alpha_2)$  to  $(\alpha_2, \alpha_1)$  in the plane  $\mathbb{R}^2$ .*

**Proof.** The result follows from Theorem 4.2 (see the proof of [7, Corollary 12]).  $\square$

The next result extends Corollary 4.3 from two to any positive integer number of unitaries.

**Theorem 4.4.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and  $(\alpha_1, \dots, \alpha_n) \in \mathfrak{R}^n$  (Euclidean  $n$ -space) be such that each  $\alpha_j \geq 0$ . Let  $(\beta_1, \dots, \beta_n) \in \text{co}K$  (the convex hull of  $K$ ), where*

$$K = \{(\alpha_{\pi(1)}, \dots, \alpha_{\pi(n)}) : \pi \text{ is a permutation on } \{1, \dots, n\}\}.$$

*Then  $\sum_{j=1}^n \alpha_j\mathcal{U}(\mathcal{J}) \subseteq \sum_{j=1}^n \beta_j\mathcal{U}(\mathcal{J})$ .*

**Proof.** The proof is immediate from Corollary 4.3 and [7, Lemma 13] (see the proof of [7, Theorem 14]).  $\square$

We conclude with the following strict analogue of [7, Corollary 15]):

**Corollary 4.5.** *Any convex combination of unitaries in a unital  $JB^*$ -algebra is the mean of same number of unitaries in the algebra. Hence,  $u_m(x) = u_c(x)$ .*

**Proof.** Immediate from Theorem 4.4 (see the proof of [7, Corollary 15]).  $\square$

**Acknowledgement.** Author is indebted to Martin A. Youngson for his help and criticism during this work.

## References

- [1] AIKEN, JOHN G.; ERDOS, JOHN A.; GOLDSTEIN, JEROME A. Unitary approximation of positive operators. *Illinois J. Math.* **24** (1980), no. 1, 61–72. [MR0550652](#) (81a:47026), [Zbl 0404.47014](#).
- [2] ALFSEN, ERIK M.; SHULTZ, FREDERIC W.; STØRMER, ERLING. A Gelfand–Naimark theorem for Jordan algebras. *Adv. in Math.* **28** (1978), 11–56. [MR482210](#) (58:2292), [Zbl 0397.46065](#).
- [3] BRAUN, ROBERT; KAUP, WILHELM; UPMEIER, HARALD. A holomorphic characterization of Jordan  $C^*$ -algebras. *Math. Z.* **161** (1978), 277–290. [MR493373](#) (58:12398), [Zbl 0385.32002](#).
- [4] DEVA PAKKIAM, C. VIOLA. Jordan algebras with continuous inverse. *Math. Jap.* **16** (1971), 115–125. [MR297830](#) (45 #6882), [Zbl 0246.17015](#).

- [5] GARDNER, L. TERRELL. An elementary proof of the Russo–Dye theorem. *Proc. Amer. Math. Soc.* **90** (1984), 181. [MR722439](#) (85f:46107), [Zbl 0528.46043](#).
- [6] JACOBSON, NATHAN. Structure and representations of Jordan algebras. American Mathematical Society Colloquium Publications, 39. *American Mathematical Society, Providence, R.I.*, 1968. x+453 pp. [MR251099](#) (40 #4330), [Zbl 0218.17010](#).
- [7] KADISON, RICHARD V.; PEDERSEN, GERT K. Means and convex combinations of unitary operators. *Math. Scand.* **57** (1985), 249–266. [MR832356](#) (87g:47078), [Zbl 0573.46034](#).
- [8] KADISON, RICHARD V.; RINGROSE, JOHN R. Fundamentals of the theory of operator algebras. I. Elementary theory. Reprint of the 1983 original. Graduate Studies in Mathematics, 15. *American Mathematical Society, Providence, RI*, 1997. xvi+398 pp. ISBN: 0-8218-0819-2. [MR1468229](#) (98f:46001a), [Zbl 0888.46039](#).
- [9] KADISON, RICHARD V.; RINGROSE, JOHN R. Fundamentals of the theory of operator algebras. II. Advanced theory. Corrected reprint of the 1986 original. Graduate Studies in Mathematics, 16. *American Mathematical Society, Providence, RI*, 1997. pp. i–xxii and 399–1074. ISBN: 0-8218-0820-6. [MR1468230](#) (98f:46001b), [Zbl 0991.46031](#).
- [10] KAUP, WILHELM; UPMEIER, HARALD. Jordan algebras and symmetric Siegel domains in Banach spaces. *Math. Z.* **157** (1977), 179–200. [MR492414](#) (58 #11532), [Zbl 0357.32018](#).
- [11] MCCRIMMON, KEVIN. Macdonald’s theorem with inverses. *Pacific J. Math.* **21** (1967), 315–325. [MR0232815](#) (38 #1138), [Zbl 0166.04001](#).
- [12] MCCRIMMON, KEVIN. Jordan algebras and their applications. *Bull. Amer. Math. Soc.* **84** (1978), 612–627. [MR0466235](#) (57 #6115), [Zbl 0421.17010](#).
- [13] OLSEN, CATHERINE L. Unitary approximation. *J. Funct. Anal.* **85** (1989), 392–419. [MR1012211](#) (90g:47019), [Zbl 0684.46049](#).
- [14] OLSEN, CATHERINE L.; PEDERSEN, GERT K. Convex combinations of unitary operators in von Neumann algebras. *J. Funct. Anal.* **66** (1986), 365–380. [MR839107](#) (87f:46107), [Zbl 0597.46061](#).
- [15] PEDERSEN, GERT K.  $C^*$ -algebras and their automorphism groups. London Mathematical Society Monographs, 14. *Academic Press, Inc., London-New York*, 1979. ix+416 pp. ISBN: 0-12-549450-5. [MR0548006](#) (81e:46037), [Zbl 0416.46043](#).
- [16] RØRDAM, MIKAEL. Advances in the theory of unitary rank and regular approximations. *Ann. of Math.* **128** (1988), 153–172. [MR951510](#) (90c:46072), [Zbl 0659.46052](#).
- [17] RUSSO, B.; DYE, H. A. A note on unitary operators in  $C^*$ -algebras. *Duke Math. J.* **33** (1966), 413–416. [MR193530](#) (33 #1750), [Zbl 0171.11503](#).
- [18] SHULTZ, FREDERIC W. On normed Jordan algebras which are Banach dual spaces. *J. Funct. Anal.* **31** (1979), 360–376. [MR531138](#) (80h:46096), [Zbl 0421.46043](#).
- [19] SIDDIQUI, AKHLAQ A. Self-adjointness in unitary isotopes of  $JB^*$ -algebras. *Arch. Math.* **87** (2006), 350–358. [MR2263481](#) (2007g:46082), [Zbl 1142.46020](#).
- [20] SIDDIQUI, AKHLAQ A.  $JB^*$ -algebras of topological stable rank 1. *International Journal of Mathematics and Mathematical Sciences* **2007**, Article ID 37186, 24 pp. doi:10.1155/2007/37186. [MR2306360](#) (2008d:46074), [Zbl 1161.46041](#).
- [21] SIDDIQUI, AKHLAQ A. Average of two extreme points in  $JBW^*$ -triples. *Proc. Japan Acad. Ser. A Math. Sci.* **83** (2007), 176–178. [MR2376600](#) (2009m:46081), [Zbl 05309659](#).
- [22] SIDDIQUI, AKHLAQ A. A proof of the Russo–Dye theorem for  $JB^*$ -algebras. *New York J. Math* **16** (2010), 53–60. [Zbl pre05759884](#).
- [23] UPMEIER, HARALD. Symmetric Banach manifolds and Jordan  $C^*$ -algebras. North-Holland Mathematics Studies, 104. *North-Holland Publishing Co., Amsterdam*, 1985. xii+444 pp. ISBN: 0-444-87651-0. [MR776786](#) (87a:58022), [Zbl 0561.46032](#).
- [24] WRIGHT, J. D. MAITLAND. Jordan  $C^*$ -algebras. *Mich. Math. J.* **24** (1977), 291–302. [MR0487478](#) (58 #7108), [Zbl 0384.46040](#).

- [25] WRIGHT, J. D. MAITLAND; YOUNGSON, M. A. A Russo–Dye theorem for Jordan  $C^*$ -algebras. *Functional analysis: surveys and recent results* (Proc. Conf., Paderborn, 1976), pp. 279–282. North-Holland Math. Studies, 27; Notas de Mat., 63. North-Holland, Amsterdam, 1977. [MR0487472](#) (58#7102), [Zbl 0372.46060](#).
- [26] YOUNGSON, M. A. A Vidav theorem for Banach Jordan algebras. *Math. Proc. Camb. Phil. Soc.* **84** (1978), 263–272. [MR0493372](#) (58 #12397), [Zbl 0392.46038](#).

DEPARTMENT OF MATHEMATICS, COLLEGE OF SCIENCE, KING SAUD UNIVERSITY, P.O. BOX 2455-5, RIYADH-11451, KINGDOM OF SAUDI ARABIA.  
[asiddiqui@ksu.edu.sa](mailto:asiddiqui@ksu.edu.sa)

This paper is available via <http://nyjm.albany.edu/j/2011/17-6.html>.