

AN UPPER ESTIMATION FOR THE EIGENFREQUENCES  
OF VIBRATING LIAPUNOFF BODIES  
(FIRST BOUNDARY VALUE PROBLEM)

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1. For any bounded (open) domain  $\Omega \subset R^n$  and for  $j = 1, 2, \dots$  we define the  $j$ -th eigenfrequency  $\lambda_j(\Omega)$  of the homogeneous  $\Omega$  shaped and at its boundary  $\partial\Omega$  fixed vibrating body by

$$(1) \quad \Lambda_j(\Omega) = \inf_{L \in M_j} \sup_{f \in L} \left( \int_{\Omega} \|\text{grad } f(x)\|^2 dx / \int_{\Omega} |f(x)|^2 dx \right)^{1/2}$$

where  $M_j$  denotes the collection of the  $j$ -dimensional subspaces of the Soboleff space  $W_0^{1,2}$ .\*

As it is well-known (cf. [1]), if  $\partial\Omega$  is an  $(n-1)$ -dimensional  $C^2$ -submanifold of  $R^n$ , then the eigenvalues of the boundary value problem

$$\Delta f + \Lambda^2 \cdot f = 0, \quad f \in C_0^\infty(\bar{\Omega})$$

are given by (1). On the other hand, it is also shown (e.g. [1, 3]) that all the mappings  $\Omega \mapsto \Lambda_j(\Omega)$  are continuous with respect to the topology on the set of the bounded  $R^n$ -domains defined by the usual Hausdorff distance.

While for all dimensions it is clarified (cf. [2]) that

$$\Lambda_j(\Omega) \geq \Lambda_j \left( \left\{ x \in R^n : \|x\| < \left( \frac{\text{vol}_n \Omega}{\omega_n} \right)^{1/n} \right\} \right) \quad (j = 1, 2, \dots)$$

where  $\text{vol}_n$  denotes the  $n$ -dimensional Hausdorff measure and  $\omega_n \equiv \text{vol}_n \{x \in R^n : \|x\| < 1\}$ , it is not at all known over two dimensions what kind of effective upper estimates can be given for the value of  $\Lambda_j(\Omega)$  depending on some geometric parameters of  $\Omega$ . However, for convex  $\Omega$ -s it was proved (cf. [3]) that the analogous

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\*i.e.  $f \in W_0^{1,2}$  if  $\text{grad } f$  exists in the weak sense and belongs to  $L^2(\Omega)$  and  $\text{supp } f$  is contained in some compact set which does not meet  $\partial\Omega$ .

of the best known two dimensional estimates (see [4]) hold in general (and can not be improved). The purpose of this paper is to extend a theorem of G. PÓLYA [5] concerning convex  $\Omega$ -s to a larger class of geometrical figures (for generalized Liapunoff bodies, defined in the next sections).

**2.** A bounded domain  $\Omega(\subset R^n)$  whose boundary is an  $(n - 1)$ -dimensional  $C^2$ -submanifold in  $R^n$  is called a *Liapunoff body* if the Minkowskian curvature of  $\partial\Omega$  with respect to the outward from  $\Omega$  oriented normal vectors is non-negative at any point of  $\partial\Omega$ . (Remark here that the convexity of  $\Omega$  is equivalent to the non-negativeness of the main curvatures of  $\partial\Omega$  separately).

According to some recent results in geometric measure theory, it is possible to give a generalization of the concept of Minkowskian curvature which applies to the boundary of any open subset  $\Omega \subset R^n$ . This can be carried out as follows:

It is shown in [6, Theorem 5] that by setting

(2)

$$K \equiv \{(x, k) : x \in \partial\Omega, \|k\| = 1, \exists \varrho > 0 \ x + \varrho k \in \Omega \text{ and } \text{dist}(x + \varrho k, \partial\Omega) = \varrho\}$$

(3)

$$h(x, k) \equiv \sup\{\xi > 0 : \text{dist}(x + \varrho k, \partial\Omega) = \varrho, \forall \varrho \in [0, \xi]\} \quad \text{for } (x, k) \in K,$$

one always can find a  $\sigma$ -finite Borel measure  $\mu$  on  $K$  and Borel measurable functions  $a_j : K \rightarrow R (j = 0, \dots, n - 1)$  such that for all  $f \in L^1(\Omega)$  we have

$$(4) \quad \int_{\Omega} f(y) dy = \int_K \int_0^{h(x,k)} f(x + \varrho k) \sum_{j=0}^{n-1} a_j(x, k) \varrho^j d\varrho d\mu(x, k).$$

Here  $d\mu$  and  $a_0, \dots, a_{n-1}$  are necessarily determined only up to the signed measures

$$(5) \quad d\alpha_j \equiv a_j d\mu \quad (j = 0, \dots, n - 1)$$

in the sense that if (4) is satisfied when  $d\mu$  and  $a_0, \dots, a_{n-1}$  are replaced  $dy d\tilde{\mu}$  and  $\tilde{a}_0, \dots, \tilde{a}_{n-1}$ , respectively, then we have

$$\int_E a_j d\mu = \int_E \tilde{a}_j d\tilde{\mu} \quad (j = 0, \dots, n - 1)$$

for all such  $E \subset K$  that  $\int_E a_j d\mu$  or  $\int_E \tilde{a}_j d\tilde{\mu}$  makes sense. Thus, for  $d\mu$  (and hence

also  $\delta\tilde{\mu}$ )-almost every  $(x, k) \in K$ , the polynomials  $\varrho \mapsto \sum_{j=0}^{n-1} a_j(x, k) \varrho^j$  and  $\varrho \mapsto$

$\sum_{j=0}^{n-1} \tilde{a}_j(x, k) \varrho^j$  differ only in a positive constant factor.

We shall call the measure  $d\alpha_j$  defined by (5), which depends only on the geometric parametars of  $\Omega$ , the  *$j$ -th curvature measure of the boundary of  $\Omega$* . This

terminology is motivated by the relation (6) below. The formula (4) can be considered as a generalization of the main theorem in [11].

In the classical case, when  $\partial\Omega$  is  $C^2$ -smooth, we have  $(x, k) \in K$  if and only if  $k$  is the toward  $\Omega$  oriented normal vector (of unit length) of the surface  $\partial\Omega$  at the point  $x \in \partial\Omega$ . Now there is a natural choice for  $d\mu$  and  $a_0, \dots, a_{n-1}$ : We can define  $d\mu$  by

$$\mu(E) = \text{vol}_{n-1}\{x \in \partial\Omega : \exists k (x, k) \in E\}$$

(for the Borel measurable subsets  $E$  of  $K$ ;  $\text{vol}_{n-1}$  denoting the  $(n-1)$ -dimensional Hausdorff measure). Then  $a_0(x, k), \dots, a_{n-1}(x, k)$  are the coefficients of the polynomial

$$(6) \quad \varrho \mapsto \sum_{j=0}^{n-1} a_j(x, k) \varrho^j \equiv \prod_{i=1}^{n-1} (1 - \varrho k_i(x))$$

where  $k_0(x), \dots, k_{n-1}(x)$  denote the main curvatures with respect to the outer normal of  $\partial\Omega$  at the point  $x$ . Thus, in this special case, the curvature measures  $d\alpha_j$  (defined by (5) and (6)) are all absolutely continuous with respect to  $d\alpha_0$  and the Minkowskian curvature  $k_1 + \dots + k_{n-1}$  of  $\partial\Omega$  coincides with  $-\frac{d\alpha_1}{d\alpha_0}$ . Therefore, to save the most properties of the classical case, we define generalized Liapunoff bodies in the following way:

*Definition.* A bounded domain  $\Omega$  in  $R^n$  is said to be a *generalized Liapunoff body* if all its curvature measures  $\alpha_j$  ( $j = 0, \dots, n-1$ ) introduced above are absolutely continuous with respect to  $\alpha_0$  and the function  $-\frac{d\alpha_1}{d\alpha_0}$  (which we shall call now the Minkowskian curvature of  $\partial\Omega$ ) is non-negative.

**THEOREM 1.** *If  $\Omega \in R^n$  is a generalized Liapunoff body then the function  $\varrho \mapsto \text{vol}_{n-1}\partial(\Omega_{-\varrho})$  (where  $\Omega_{-\varrho}$  denotes the inner parallel domain of radius  $\varrho > 0$  of  $\Omega$ , i.e.  $\Omega_{-\varrho} \equiv \{x \in \Omega : \text{dist}(x, \partial\Omega) > \varrho\}$ ) is non-increasing for  $0 < \varrho < \infty$ .*

*Proof.* Let  $\Omega$  denote a generalized Liapunoff body and define  $K$  and  $h$  as in (2) and (3). Choose  $d\mu, a_0, \dots, a_{n-1}$  so that (4) be satisfied. It is proved in [6 Theorem 5, Corollary] that here we necessarily have

$$(7) \quad \sum_{j=0}^{n-1} a_j(x, k) \varrho^j > 0 \text{ whenever } 0 < \varrho < h(x, k) \quad ((x, k) \in K).$$

Remark that (7) is not a simple corollary of (4) and the positiveness of the operation  $f \mapsto \int_{\Omega} f(y) dy$  because these facts ensure only  $\sum_{j=0}^{n-1} a_j(x, k) \varrho^j \geq 0$  for  $0 < \varrho \leq h(x, k)$ . It is easy to see from (2) and (3) that  $\Omega_{-\varrho} = \{x + \xi k : (x, k) \in K \text{ and } \varrho < \xi \leq h(x, k)\}$  and hence

$$(8) \quad \mathbf{1}_{\Omega_{-\varrho}}(x + \xi k) = \mathbf{1}_{[\varrho, h(x, k)]}(\xi) \text{ for } (x, k) \in K \text{ and } \xi \in [0, h(x, k)].$$

From (4) and (8) we obtain

$$(9) \quad \text{vol}_n \Omega_{-\varrho} \int_K \int_{\varrho}^{\infty} \varphi_{x,k}(\xi) d\xi d\mu(x,k)$$

where  $\varphi_{x,k}(\xi) = \sum_{j=0}^{n-1} a_j(x,k) \xi^j \cdot \mathbf{1}_{[0,k(x,k)]}(\xi)$ .

Recall that for  $\mu$ -almost every  $(x,k) \in K$ , the polynomial  $P_{x,k} : \xi \mapsto \sum_{j=0}^{n-1} a_j(x,k) \xi^j$  has only real roots (cf. [6, Theorem 5]) and that from the definition of Liapunoff bodies and (7) we have  $P_{x,k}(0) > 0$  and  $P'_{x,k}(0) = a_1(x,k) = \frac{d\alpha_1}{d\alpha_0} \Big|_{(x,k)} \leq 0$  (for  $\mu$ -almost every  $(x,k) \in K$ ).

Since, in general, a polynomial  $P : R \mapsto R$  having only real roots and such that  $P(0) > 0$  and  $P'(0) \leq 0$  is constant or has positive root and  $P$  decreases on  $[0, \min\{\xi > 0 : P(\xi) = 0\}]$  (cf. [10, Lemma]) it follows from (7) and the definition of  $P_{x,k}$  that the functions  $\varphi_{x,k}$  are monotone decreasing on the whole  $[0, \infty)$  for  $\mu$ -almost all  $(x,k) \in K$ . Therefore, from (9) we deduce that the function

$$\varrho \mapsto -\frac{1}{2} \left( \frac{d^+}{d\xi} \Big|_{\varrho} + \frac{d^-}{d\xi} \Big|_{\varrho} \right) \text{vol}_n \Omega_{-\xi}$$

is well-defined for all  $\varrho > 0$  and it is decreasing.

However, it is shown in [7] that the  $(n-1)$ -dimensional Minkowski content of  $\partial(\Omega_{-\varrho})$  equals to  $-\frac{1}{2} \left( \frac{d^+}{d\xi} \Big|_{\varrho} + \frac{d^-}{d\xi} \Big|_{\varrho} \right) \text{vol}_n \Omega_{-\xi}$ . Since the boundary of any bounded parallel set is easily an  $(n-1)$ -rectifiable subset of  $R^n$  (for definitions see [8]), a well-known theorem of M. KNESER (cf. [8]) implies that  $\text{vol}_{n-1} \partial \Omega_{-\varrho} = (n-1)$ -Minkovski content  $(\partial(\Omega_{-\varrho})) = \frac{1}{2} \left( \frac{d^+}{d\xi} \Big|_{\varrho} + \frac{d^-}{d\xi} \Big|_{\varrho} \right) \text{vol}_n \Omega_{-\xi}$ . This completes the proof.

**3.** The following geometric estimation is given in [10] for the eigenfrequencies  $\Lambda_j(\Omega)$ :

**THEOREM 2.** *Let  $\Omega$  be such a bounded in  $R^n$  that  $\sup_{\varrho > 0} \text{vol}_{n-1} \partial(\Omega_{-\varrho}) < \infty$ . Then, by setting  $l(\Omega) \equiv \text{vol}_n \Omega / \sup_{\varrho > 0} \text{vol}_{n-1} \partial(\Omega_{-\varrho})$ , we have*

$$\Lambda_1(\Omega)^2 \leq \frac{\pi}{2} \cdot l(\Omega)^{-1}$$

The ideas of the proof of Theorem 2 are essentially based upon those of the article [5].

Thus Theorem 1 directly yields our chief observation

THEOREM 3. *If  $\Omega$  is a generalized Liapunoff body in  $R^n$  then*

$$(10) \quad \Lambda_1(\Omega)^2 \leq \frac{\pi}{2} \cdot \frac{\lim_{\varrho \downarrow 0} \text{vol}_{n-1} \partial(\Omega_{-\varrho})}{\text{vol}_n \Omega}$$

*In particular, if  $\partial\Omega$  is a  $C^2$ -smooth hypersurface, then*

$$\Lambda_1(\Omega)^2 \leq \frac{\pi}{2} \cdot \frac{\text{vol}_{n-1} \partial\Omega}{\text{vol}_n \Omega}$$

*Remark.* One can prove that for any generalized Liapunoff body  $\Omega \subset R^n$  we have on the right hand side of (10)

$$\lim_{\varrho \downarrow 0} \text{vol}_{n-1} \partial(\Omega_{-\varrho}) = \int_{\partial\Omega} \text{cardinality } \{k : (x, k) \in K\} d\text{vol}_{n-1}(x).$$

*Proof.* By [6, Lemma 9] we can fix disjoint Borel subsets  $B_1, B_2, \dots$  of  $K$  and open sets  $\Omega^{(1)}, \Omega^{(2)}, \dots \subset R^n$  with positive reach (for def. see [6] or [11]) such that by setting  $\varrho_m \stackrel{\text{def}}{=} \inf \left\{ \frac{1}{2} \text{reach } \Omega^{(m)}, h(x, k) : (x, k) \in B_m \right\}$  and  $K_m \stackrel{\text{def}}{=} \{(x, k) : x \in \partial\Omega^{(m)}, \|k\| = 1, \exists \varrho > 0 \ x + \varrho k \in \Omega^{(m)}, \text{dist}(x, \partial\Omega^{(m)}) = \varrho\}$  we have

$$K = \bigcup_{m=1}^{\infty} B_m, \quad \varrho_m > 0 \quad \text{and} \quad B_m \subset K_m \quad (m = 1, 2, \dots).$$

Using [6, Theorem A, B] we can see that for each point  $y \in \partial(\Omega_{\varrho_m}^{(m)})$  there exists a unique pair  $(x_m(y), k_m(y))$  in  $K_m$  with the property  $y = x_m(y) + \varrho_m k_m(y)$  and, by [8, 3.2.3], for any fixed  $\xi \in R$ , the mapping  $T_\xi^m : y \mapsto y + \xi k(y)$  satisfies

$$\int_{T_\xi^m(S)} \text{card}(T_\xi^m)^{-1}(z) \text{vol}_{n-1} z = \int_S [1 + (\xi - \varrho_m) k_1^m(y)] \cdots [1 + (\xi - \varrho_m) k_{n-1}(y)] d\text{vol}_{n-1}(y),$$

where  $k_1^m, \dots, k_{n-1}^m$  are the main curvatures of  $\partial(\Omega_{\varrho_m}^{(m)})$  (cf. [6, Theorem B]) defined  $\text{vol}_{n-1}$  almost everywhere on  $\partial(\Omega_{\varrho_m}^{(m)})$ . Hence in particular,

$$(11) \quad \int_{\{x: \exists k(x, k) \in B_m\}} \text{card}\{k : (x, k) \in B_m\} d\text{vol}_{k-1}(x) = \int_{\{x + \varrho_m k : (x, k) \in B_m\}} [1 - \varrho_m k_1^m(y)] \cdots [1 - \varrho_m k_{n-1}^m(y)] d\text{vol}_{n-1}(y).$$

The proof of the main Theorem in [6] shows (cf. [6, (5'), (5'')]) that the measures  $a_j d\mu$  in formula (5) are given by

$$\sum_{j=0}^{n-1} \varrho^j \int_B a_j d\mu = \int_{\{x + \varrho_m k : (x, k) \in B\}} [1 + (\varrho - \varrho_m) k_1^m(y)] \cdots [1 + (\varrho - \varrho_m) k_{n-1}^m(y)] d\text{vol}_{n-1}(y)$$

for  $B \subset B_m$  and  $\varrho \in R(m = 1, 2, \dots)$ . Thus (11) yields

$$(12) \quad \int_{\partial\Omega} \text{card}\{k : (x, k) \in K\} d\text{vol}_{n-1}(x) = \int_K a_0(x, k) d\mu(x, k).$$

On the other hand, applying the functions  $\varphi_{x,k}((x, k) \in K)$  introduced in formula (9), we see

$$\int_K a_0 d\mu = \int_K \lim_{\varrho \downarrow 0} \varphi_{x,k}(\varrho) d\mu(x, k) = \lim_{\varrho \downarrow 0} \int_K \varphi_{x,k}(\varrho) d\mu(x, k)$$

since the functions  $\varphi_{x,k}$  are monotone decreasing for all fixed  $(x, k) \in K$ . Now, to complete the proof, we need only to remark that, by (9) and by [8, 3.2.34], we have

$$\text{vol}_{n-1} \partial\Omega_{-\varrho} = -\frac{d}{d\varrho} \text{vol}_n \Omega_{-\varrho} = -\frac{d}{d\varrho} \int_k \int_{\varrho}^{\infty} \varphi_{x,k}(\xi) d\xi d\mu(x, k) = \int_K \varphi_{x,k}(\varrho) d\mu(x, k)$$

for almost every  $\varrho \in (0, \infty)$ .

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