

ON THE LOCALLY m -CONVEX ALGEBRA $L_\Gamma(E)$
AND A DIFFERENTIAL-GEOMETRIC INTERPRETATION OF IT

YANNIS TSERTOS

0 – Introduction

The purpose of the present paper is to supply the algebra $L_\Gamma(E)$ of continuous endomorphisms of a given locally convex space E with a suitable locally m -convex topology (Theorem 1.1), a theme already treated by E.A. Michael [4] in a, say, geometric manner by looking at an appropriate local basis of the algebra at issue. We take here, instead, a rather “arithmetic” point of view, by giving a pertinent family $\bar{\Gamma} = \{\bar{p}\}$ of submultiplicative seminorms on $L(E)$, starting from a standard family $\Gamma = \{p\}$ of seminorms for the given locally convex space E . Apart from the obvious practical utility of the latter point of view, one finds a further justification by getting an improvement of Michael’s result, referring to an inverse of the main theorem, as before (cf. Theorem 1.2).

On the other hand, by using this locally m -convex algebra structure of $L(E)$, one can define for complete E the exponential function (see e.g. A. Mallios [2]), which can be, of course, at the basis of the differential-geometric interpretation of $L(E) \equiv L_\Gamma(E)$, alluded to at the heading, as well as, of any locally m -convex algebra, in general (see Remark 4.1).

1 – The algebra $L_\Gamma(E)$

To start with, suppose that E is a \mathbb{C} -vector space and let $p: E \rightarrow \mathbb{R}_+$ be a seminorm on E . So denoting by $L(E)$ the \mathbb{C} -vector space of \mathbb{C} -linear endomor-

phisms of E , one defines the p -bound of an element $T \in L(E)$ by the relation

$$(1.1) \quad \bar{p}(T) := \sup \left\{ \frac{p(T(x))}{p(x)} : p(x) \neq 0 \right\}$$

(whenever this exists). We also call $T \in L(E)$, for which $\bar{p}(T) < +\infty$, p -bounded. Thus, we first have the following lemma, whose proof is standard.

Lemma 1.1. *Keeping the above notation, suppose that $S, T \in L(E)$, with $\bar{p}(S), \bar{p}(T) < +\infty$. Then, one has the following relations:*

$$(2) \quad \begin{aligned} (1) \quad & \bar{p}(Tx) \leq \bar{p}(T) \cdot \bar{p}(x), \quad x \in E, \\ (2) \quad & \bar{p}(\lambda T) = |\lambda| \cdot \bar{p}(T), \quad \lambda \in \mathbb{C}, \quad p \in \Gamma, \\ (3) \quad & \bar{p}(T + S) \leq \bar{p}(T) + \bar{p}(S), \\ (4) \quad & \bar{p}(T \circ S) \leq \bar{p}(T) \bar{p}(S). \end{aligned}$$

That is, \bar{p} yields a submultiplicative seminorm on that part of $L(E)$, for which $\bar{p} < +\infty$, satisfying then (1) as well.

Proof: The only thing we have to vindicate is prop. (1) in the case that, for some $x \in E$, $p(x) = 0$. Then, one proves that $p(Tx) = 0$, as well; indeed, if $p(y) \neq 0$, for some $y \in E$, one has (by considering $z_n := x + \frac{1}{n}y$, $n \in \mathbb{N}$):

$$(1.2) \quad p(Tx) \leq 2 \frac{1}{n} \bar{p}(T) p(y), \quad n \in \mathbb{N},$$

which proves the assertion. ■

Of course, prop. (1) in the previous lemma characterizes the p -continuity of a p -bounded operator $T \in L(E)$. Thus, denoting by

$$(1.3) \quad L(E, p) \equiv L_p(E)$$

the p -bounded elements of $L(E)$, one has, by Lemma 1.1, that

$$(1.4) \quad L_p(E) \text{ is a locally } m\text{-convex algebra,} \\ \text{in fact, a seminormed one.}$$

Hence, one obtains.

Theorem 1.1. *Let $(E, \Gamma \equiv \{p\})$ be a given locally convex space. Then,*

$$(1.5) \quad L(E) \equiv L_\Gamma(E) := \bigcap_{p \in \Gamma} L_p(E) \subseteq L(E)$$

is a unital locally m -convex algebra in the topology defined by the family of submultiplicative seminorms (cf. (1.1))

$$(1.6) \quad \bar{\Gamma} \equiv \{\bar{p}\}_{p \in \Gamma} .$$

In particular, $L_\Gamma(E)$ is (Hausdorff) complete, if E is a complete (Hausdorff) locally convex space.

Proof: The first part of the assertion is obviously true, according to (1.4). On the other hand, if E is Hausdorff and $\bar{p}(T) = 0$, for some $T \in L(E)$, for every $p \in \Gamma$, then by (1.1), $p(Tx) = 0$, for any $p \in \Gamma$ and $x \in E$, so that by hypothesis $Tx = 0$, hence, $L_\Gamma(E)$ is Hausdorff too. Now, suppose that

$$(1.7) \quad (T_\delta)_{\delta \in I} \subseteq L_\Gamma(E) \equiv L(E)$$

is a Cauchy net; viz. for every $\varepsilon > 0$ and $p \in \Gamma$ (cf. (1.6)), there exists $\delta_0(\varepsilon, p) \equiv \delta_0 \in I$, such that

$$(1.8) \quad \bar{p}(T_\delta - T_{\delta'}) \leq \varepsilon, \quad \text{with } \delta, \delta' \geq \delta_0 .$$

Thus, for every $x \in E$, one has

$$(1.9) \quad p(T_\delta x - T_{\delta'} x) = p((T_\delta - T_{\delta'}) x) \leq \bar{p}(T_\delta - T_{\delta'}) \cdot p(x) \leq \varepsilon p(x)$$

(cf. also (1.1) and Lemma 1.1), that is, $(T_\delta x) \subseteq E$ is a Cauchy net, so that, by the hypothesis for E ,

$$(1.10) \quad T(x) \equiv \lim_{\delta} T_\delta x \in E .$$

We prove that the operator T , as given by (1.10), is p -bounded, for every $p \in \Gamma$, viz. $T \in L_\Gamma(E)$ such that $T = \lim_{\delta} T_\delta$.

Indeed, given $p \in \Gamma$, one has

$$(1.11) \quad \begin{aligned} p(T_{\delta_0} x - Tx) &= p(T_{\delta_0} x - \lim_{\delta} T_\delta x) = \lim_{\delta} (p(T_{\delta_0} x - T_\delta x)) \equiv \\ &\equiv \lim_{\delta} (p(T_{\delta_0} - T_\delta)x) \leq \lim_{\delta} (\bar{p}(T_{\delta_0} - T_\delta) \cdot p(x)) = p(x) \cdot \lim_{\delta} \bar{p}(T_{\delta_0} - T_\delta) \leq \varepsilon \cdot p(x) , \end{aligned}$$

for any $\delta \geq \delta_0(\varepsilon, p)$, as in (1.8). Thus, due to the arbitrariness of $x \in E$ in (1.11), by (1.1),

$$(1.12) \quad \bar{p}(T_{\delta_0} - T) \leq \varepsilon ,$$

hence, $T_{\delta_0} - T \in L_\Gamma(E)$, while the same relation (1.12) proves that $T = \lim_\delta T_\delta$, as desired, and this terminates the proof. (The relation (1.12) remains true if, in place of δ_0 , we put any $\delta' \geq \delta_0$). ■

Thus, suppose now that E is a (complete) locally convex space so that, according to Theorem 1.1, $L_\Gamma(E) \equiv L(E)$ is a (complete) locally m -convex algebra. So it admits the so-called *Arens–Michael decomposition* (cf., for instance, A. Mallios [1, p. 88, Theorem 3.1]), that is one has

$$(1.13) \quad L(E) = \varprojlim L(E)_p ,$$

such that one has

$$(1.14) \quad L(E)_p := L(\widehat{E}) / \ker \bar{p} ,$$

for every $p \in \Gamma$ (see (1.1), (1.6)). On the other hand, the complete locally convex space E is of the form

$$(1.15) \quad E = \varprojlim E_p ,$$

where

$$(1.16) \quad E_p := E / \ker p ,$$

for every $p \in \Gamma$. Thus, the latter space being, by definition, a *Banach space*, one can further consider the *Banach algebra*

$$(1.17) \quad L(E_p), \quad p \in \Gamma .$$

In this context, we further remark that one has

$$(1.18) \quad L(E)_p \underset{\phi_p}{\subseteq} L(E_p), \quad p \in \Gamma ,$$

within a *Banach algebra isomorphism* (into). Indeed, the said isomorphism (being also a *topological* one for the respective uncompleted spaces (cf. (1.14) and (1.16))) is given by the relation

$$(1.19) \quad \tilde{u}_p(x + \ker p) := u(x) + \ker p ,$$

for any $u \in L(E)$ and $p \in \Gamma$ (see also Lemma 1.1).

In sum, one has the relation (cf. also (1.13) and (1.18))

$$(1.20) \quad L(E) = \varprojlim L(E)_p = \varprojlim \phi_p(L(E)_p) \underset{\subseteq}{\subseteq} \prod_{p \in \Gamma} L(E_p) .$$

For convenience and for later applications, we still note that if

$$(1.21) \quad u \in L(E) ,$$

then, by virtue of (1.20), one has

$$(1.22) \quad u = \varprojlim u_p ,$$

where we set (cf. (1.14))

$$(1.23) \quad u_p = \phi_p(u + \ker \bar{p}) , \quad p \in \Gamma .$$

Scholium 1.1. Concerning the above topologization of the algebra $L_\Gamma(E)$, which is already contained in the author's thesis [6], it was actually conceived independently of E . Michael's treatment [4] of the same notion, the latter author having given a "geometric" aspect of the same topology, in terms, namely, of neighborhoods of zero. The analytic terminology adopted herewith, as in (1.1), had among other things the advantage of giving a genuine inverse of Theorem 1.1 improving, thus, the analogous result of Michael (ibid. p. 19, Proposition 4.4). See also *Theorem 1.2* below.

As already said, we have the following inverse of Theorem 1.1. Namely, one gets at the next.

Theorem 1.2. *Let $(A, \Gamma = (p))$ be a locally m -convex algebra. Then, there exists a topological algebraic isomorphism of A into an algebra of the form $L_\Gamma(E)$, for a locally convex space E .*

Proof: By considering the *unitization* of A , $A^+ \equiv A \oplus \mathbb{C}$, we first prove our assertion for A^+ . Thus, taking now $E = A^+$, we further look at the (canonical) left representation of A^+ , $x \mapsto \ell(x) \equiv \ell_x$, $x \in A^+$, such that (cf. (1.1))

$$(1.24) \quad \bar{p}(\ell_x) = p(x) , \quad x \in A^+ .$$

Hence, $\ell_x \in L(A^+) \equiv L(E)$ (see also (1.5)). On the other hand, one has, of course, the relation

$$(1.25) \quad A \subseteq \varinjlim A^+ \subseteq \varinjlim L(A^+) ,$$

within *topological algebra isomorphisms*, and this completes the proof. ■

2 – The exponential function

We have already established in the previous section that $L(E)$ is a *locally m -convex algebra*, for any given locally convex space E , in the topology defined by (1.1), which, moreover, is *complete*, whenever E is so.

In this context, it is enough to suppose, for the applications we have in mind, that E is just *σ -complete*. Thus, applying an analogous argument as before (cf. Theorem 1.1), one proves that $L(E)$ is a *σ -complete locally m -convex algebra*. Hence, one defines in $L(E)$ *the exponential function*, by the relation

$$(2.1) \quad \exp u := \sum_{n=0}^{\infty} \frac{1}{n!} \cdot u^n ,$$

for every $u \in L(E)$. (In this regard, see also A.Mallios [3: p.196; (8.5)]).

On the other hand, by considering the locally convex space $(E, \Gamma \equiv \{p\})$, as before, each one of the respective *Banach algebras* $L(E_p)$, $p \in \Gamma$ (see (1.17)) has a corresponding *exponential function* \exp_p , given by

$$(2.2) \quad \exp_p(u_p) := \sum_{n=0}^{\infty} \frac{1}{n!} \cdot u_p^n ,$$

which also, for simplicity and by a slight abuse of notation, we denote occasionally, just by $\exp(u_p)$, for $u_p \in L(E_p)$. Thus, our next objective is to show that one has (supposing always that E is *σ -complete*)

$$(2.3) \quad \exp = \varprojlim \exp_p .$$

Indeed, by the very definitions, one proves first that $(\exp_p)_{p \in \Gamma}$, as given by (2.2), is a *projective system of maps*, which thus guarantees the existence of the second member of (2.3). Furthermore, the later map is actually \exp , as this is given by (2.1), according to the relation

$$(2.4) \quad \exp_p \circ \rho_p = \rho_p \circ \exp_p ,$$

where $\rho_p: L(E) \rightarrow L(E)_p$, $p \in \Gamma$, stands for the canonical “projection”, derived from the corresponding *Arens–Michael decomposition of $L(E)$* .

3 – Differentiable curves

Suppose we have a *locally convex space* $(E, \Gamma \equiv (p))$ and let

$$(3.1) \quad \alpha: I \rightarrow E$$

be a *curve* in E , where I is an (open) neighborhood of 0 in \mathbb{R} .

Now, we say that α is *differentiable at* $t_0 \in I$, whenever the following limit exists (in E)

$$(3.2) \quad \dot{\alpha}(t_0) \equiv \lim_{t \rightarrow t_0} \frac{1}{t - t_0} (\alpha(t) - \alpha(t_0)) .$$

We call (3.2) the *derivative of* α *at* t_0 , while we say that α is *differentiable in* I , if this happens for every $t \in I$, as before, in which case we denote by $\dot{\alpha}: I \rightarrow E$ the corresponding *derivative of* α . Of course, as follows from the very definitions, every *differentiable curve* α , as above, is *continuous*.

In this context, the following is already an easy consequence of the very definitions.

Lemma 3.1. *Given a locally convex space $(E, \Gamma \equiv (p))$, a curve $\alpha: I \rightarrow E$ is differentiable if, and only if, this is the case for every curve (cf. (1.16))*

$$(3.3) \quad \alpha_p \equiv \pi_p \circ \alpha: I \rightarrow E_p, \quad p \in \Gamma ,$$

where $\pi_p: E \rightarrow E_p$ stands for the canonical projection map. ■

As a consequence of the proof of Lemma 3.1, one sees that (cf. (3.3))

$$(3.4) \quad \dot{\alpha} = (\dot{\alpha}_p \equiv \pi_p \circ \dot{\alpha})_{p \in \Gamma} .$$

Thus, we are now in the position to give our main result of this section, that runs as follows.

Theorem 3.1. *Let $(E, \Gamma \equiv (p))$ be a σ -complete locally convex space and $L_\Gamma(E)$ the corresponding σ -complete locally m -convex algebra, as given by (1.5). Then, for every $u \in L_\Gamma(E)$, one finds a solution (curve α , cf. (3.1)) of the differential equation*

$$(3.5) \quad \dot{\alpha} = u \alpha$$

(where one gets $\dot{\alpha}(t) = u \alpha(t) \equiv u(\alpha(t))$, $t \in I$). This solution is unique, under the requirement that $\alpha(0) = x \in E$.

Proof: Based on the Arens–Michael decomposition of the locally m -convex algebra $L_\Gamma(E)$ (cf. (1.20)), the problem is reduced to the analogous one for the corresponding (for each $p \in \Gamma$) factor Banach algebra $L(E)_p \xrightarrow{\phi_p} L(E_p)$

(cf. (1.18)); now the solution is obtained in a standard way, through the *exponential function* (see, for instance, J. Nieto [5: p.29, (I)]). Then, one obtains (see also (3.1))

$$(3.6) \quad \alpha(t) = (\exp tu)(x), \quad t \in I,$$

where, of course, $\alpha(0) = x \in E$. (In this regard, one applies the continuity of the (canonical) projection maps in (1.15), along with (3.2) and the fact that $\dot{\alpha}(t) \in E \subseteq \varinjlim E_p$ (see (3.4)). The uniqueness is now easily reduced (coordinate-wise) to the factors of the respective Arens–Michael decomposition, where one can then follow J. Nieto [5]. ■

Scholium 3.1. Following an analogous argument, as before, one can further extend, within the present context, several other relevant results of J. Nieto [5]. Thus, we can also discuss solutions of the equation

$$(3.7) \quad \dot{\alpha}(t) = u(t) \cdot \alpha(t), \quad t \in I,$$

(cf. also (3.6)). Such type of results are given in [6].

4 – A differential-geometric interpretation of $L(E)$

Suppose we have again a σ -complete locally convex space E , so that $L(E)$ (see (1.5)) is a σ -complete locally m -convex algebra. As the heading indicates, our objective in the sequel is to express the algebra $L(E)$ as the *orbit space* of suitable group actions, according to standard differential-geometric arguments.

So we denote by

$$(4.1) \quad GL(E) \equiv L(E)^* \subseteq L(E)$$

the *group of invertible elements of $L(E)$* , viz. the set of topological vector space automorphisms of the locally convex space E , the same set being, in view of the sort of the topology of $L(E)$, a *topological group*. Now, one can consider three types of *action* of $GL(E)$; that is, first one has

$$(4.2) \quad GL(E) \times GL(E) \rightarrow GL(E),$$

such that

$$(4.3) \quad (u, v) \mapsto v \circ u \equiv v u = r_u(v),$$

for any u, v in $GL(E)$, while (4.3) satisfies, of course, the usual axioms for a *group action*. We thus obtain a *topological group action* of $GL(E)$ on itself (by *right* “translations”; similarly, one defines a “*left action*”). Furthermore, one defines the following *topological* (viz. *continuous*) *actions* of $GL(E)$

$$(4.4) \quad GL(E) \times L(E) \rightarrow L(E) ,$$

such that

$$(4.5) \quad (\alpha, u) \mapsto \alpha \circ u \equiv \alpha \cdot u ,$$

for any $\alpha \in GL(E)$ and $u \in L(E)$, and finally

$$(4.6) \quad GL(E) \times E \rightarrow E ,$$

where we define

$$(4.7) \quad (\alpha, x) \mapsto \alpha(x) ,$$

for any $\alpha \in GL(E)$ and $x \in E$. (It is clear that (4.4) is *continuous*, being a particular case of (4.2), while the *continuity* of (4.7) follows easily from Lemma 1.1, prop.(1)).

Now given an element $u \in L(E)$, one obtains the *curve* in $GL(E) \subseteq L(E)$,

$$(4.8) \quad \alpha_u: \mathbb{R} \rightarrow GL(E) \quad : \quad t \mapsto \alpha_u(t) := \exp(tu) ,$$

such that, by considering it in $L(E)$, its derivative is given by

$$(4.9) \quad \dot{\alpha}_u(t) = u \cdot \exp(tu) = u \cdot \alpha_u(t) ,$$

with $t \in \mathbb{R}$, or even (formally)

$$(4.10) \quad \dot{\alpha}_u = u \cdot \alpha_u .$$

Remark 4.1. The above argument concerning the algebra $L(E)$, (cf. Theorem 3.1), can be formulated, more generally, for any σ -complete locally m -convex algebra E and its *group of invertible elements* E^* .

Thus, based on the preceding Remark 4.1 and the above, we can give the following differential-geometric interpretation of the last argument. That is, one has the next.

Proposition 4.1. *Suppose we have a unital σ -complete locally m -convex algebra E , with E^* its group of units. Moreover, let*

$$(4.11) \quad \text{Hom}^\infty(\mathbb{R}, E^*)$$

be the set of differentiable curves in E^ , that are also morphisms of the groups concerned. Then one has*

$$(4.12) \quad E = \text{Hom}^\infty(\mathbb{R}, E^*) \equiv T(E^*, 1) ,$$

within a bijection (the last item in (4.12) denoting the “tangent space” of E^ at $1 \in E^*$).*

Proof: Given $x \in E$, consider the differentiable curve

$$(4.13) \quad \alpha_x: \mathbb{R} \rightarrow E^* \quad : \quad t \mapsto \alpha_x(t) := \exp(tx)$$

(see also, for instance, (4.9)), which is also a morphism of the groups concerned. Furthermore, since $\dot{\alpha}_x(0) = x$ (the same rel. (4.9)), one easily proves that *the correspondence $x \mapsto \alpha_x$, as given by (4.13), is one-to-one.* On the other hand, based on the *uniqueness of the solution curves of (3.5)* (see also Remark 4.1, or even (1.25)), one finally proves that *the same correspondence is onto, as well, and the proof is complete. ■*

Concerning the above result, we further remark that *the same set, as in (4.11), can also be viewed as that one of “left invariant vector fields” on E^* .* Thus to every $x \in E$, one associates, through the (canonical) *right regular representation* of E , the operator $r_x \in L_\Gamma(E)$, which can be thought of as *left invariant*, according to the relation,

$$(4.14) \quad r_x \circ \ell_y = \ell_y \circ r_x ,$$

for any $x, y \in E$. (In this context, we finally note that an analogous study to the preceding one can also be given for *non-unital algebras*, by employing an appropriate interpretation of the *exponential function* via the *circle operation*; see thus, for instance, [7], [8]).

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Yannis Tsertos,
Mathematical Institute, University of Athens,
Panepistimiopolis, GR-15784 Athens – GREECE