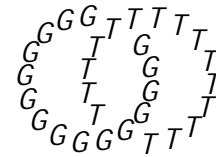


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## Arc Operads and Arc Algebras

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### Abstract

Several topological and homological operads based on families of projectively weighted arcs in bounded surfaces are introduced and studied. The spaces underlying the basic operad are identified with open subsets of a combinatorial compactification due to Penner of a space closely related to Riemann's moduli space. Algebras over these operads are shown to be Batalin{Vilkovisky algebras, where the entire BV structure is realized simplicially. Furthermore, our basic operad contains the cacti operad up to homotopy. New operad structures on the circle are classified and combined with the basic operad to produce geometrically natural extensions of the algebraic structure of BV algebras, which are also computed.

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## Introduction

With the rise of string theory and its companions topological and conformal field theories, there has been a very fruitful interaction between physical ideas and topology. One of its manifestations is the renewed interest and research activity in operads and moduli spaces, which has uncovered many new invariants, structures and many unexpected results.

The present treatise adds to this general understanding. The starting point is Riemann's moduli space, which provides the basic operad underlying conformal field theory. It has been extensively studied and gives rise to Batalin-Vilkovisky structures and gravity algebras. Usually one is also interested in compactifications or partial compactifications of this space which lead to other types of theories, as for instance, the Deligne-Mumford compactification corresponds to cohomological field theories as are present in Gromov-Witten theory and quantum cohomology. There are other types of compactifications however that are equally interesting like the new compactification of Losev and Manin [10] and the combinatorial compactification of Penner [12].

The latter compactification, which we will employ in this paper, is for instance well suited to handle matrix theory and constructive field theory. The combinatorial nature of the construction has the great benefit that all calculations can be made explicit and often have very nice geometric descriptions. The combinatorial structure of this space is described by complexes of embedded weighted arcs on surfaces, upon which we shall comment further below. These complexes themselves are of extreme interest as they provide a very general description of surfaces with extra structure which can be seen as acting via cobordisms. The surfaces devoid of arcs give the usual cobordisms defining topological field theories by Atiyah and Segal. Taking the arc complexes as the basic objects, we construct several operads and suboperads out of them, by specializing the general structure to more restricted ones, thereby controlling the "information carried by the cobordisms".

The most interesting one of these is the cyclic operad  $ARC$  that corresponds to surfaces with weighted arcs such that all boundary components have arcs emanating from them. We will call algebras over the corresponding homology operad Arc algebras. The imposed conditions correspond to open subsets of the combinatorial compactification which contain the operators that yield the Batalin-Vilkovisky structure. Moreover, this structure is very natural and is topologically explicit in a clean and beautiful way. This operad in genus zero with no punctures can also be embedded into an operad in arbitrary genus

with an arbitrary number of punctures with the help of a genus generator and a puncture operator, which ultimately should provide a good control for stabilization.

A number of operads of current interest [1, 6, 16, 11] are governed by a composition which, in effect, depends upon combining families of arcs in surfaces. Our operad composition on *ARC* depends upon an explicit method of combining families of *weighted* arcs in surfaces, that is, each component arc of the family is assigned a positive real number; we next briefly describe this composition. Suppose that  $F^1; F^2$  are surfaces with distinguished boundary components  $@^1 \subset F^1; @^2 \subset F^2$ . Suppose further that each surface comes equipped with a properly embedded family of arcs, and let  $a_1^i; \dots; a_{p_i}^i$  denote the arcs in  $F^i$  which are incident on  $@^i$ , for  $i = 1; 2$ , as illustrated in part I of figure 1. Identify  $@^1$  with  $@^2$  to produce a surface  $F$ . We wish to furthermore combine the arc families in  $F^1; F^2$  to produce a corresponding arc family in  $F$ , and there is evidently no well-defined way to achieve this without making further choices or imposing further conditions on the arc families (such as  $\rho^1 = \rho^2$ ).

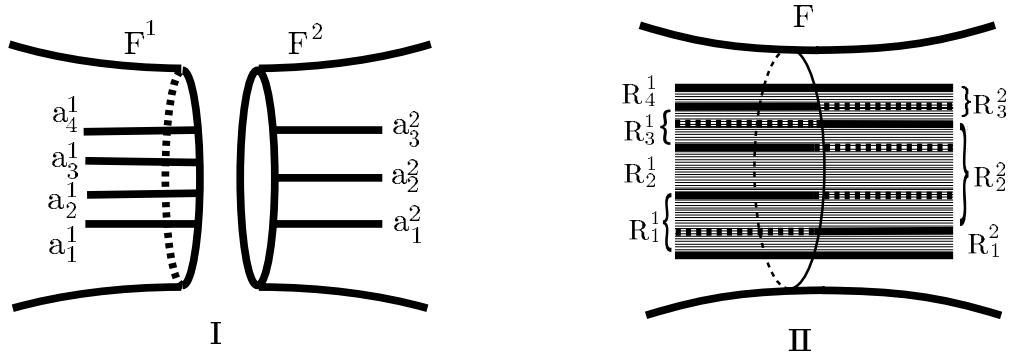


Figure 1: Gluing bands from weighted arcs:  
 I, arc families in two surfaces, II, combining bands.

Our additional data required for gluing is given by an assignment of one real number, a weight, to each arc in each of the arc families. The weight  $w_j^i$  on  $a_j^i$  is interpreted geometrically as the height of a rectangular band  $R_j^i = [0; 1] \times [-w_j^i; w_j^i]$  whose core  $[0; 1] \times \{0\}$  is identified with  $a_j^i$ , for  $i = 1; 2$  and  $j = 1; \dots; p^i$ . We shall assume that  $\sum_{j=1}^{p^1} w_j^1 = \sum_{j=1}^{p^2} w_j^2$  for simplicity, so that the total height of all the bands incident on  $@^1$  agrees with that of  $@^2$ ; in light of this assumption, the bands in  $F^1$  can be sensibly attached along  $@^1$  to the bands in  $F^2$  along  $@^2$  to produce a collection of bands in the surface  $F$  as illustrated in part II of figure 1; notice that the horizontal edges of the

rectangles  $fR_j^1 g_1^{p^1}$  decompose the rectangles  $fR_j^2 g_1^{p^2}$  into sub-rectangles and conversely. The resulting family of sub-bands, in turn, determines a weighted family of arcs in  $F$ , one arc for each sub-band with a weight given by the width of the sub-band; thus, the weighted arc family in  $F$  so produced depends upon the weights in a non-trivial but combinatorially explicit way. This describes the basis of our gluing operation on families of weighted arcs, which is derived from the theory of train tracks and partial measured foliations [14]. In fact, the simplifying assumption that the total heights agree is obviated by considering not weighted families of arcs, but rather weighted families of arcs modulo the natural overall homothetic action of  $\mathbb{R}_{>0}$  {so-called projectively weighted arc families; given projectively weighted arc families, we may de-projectivize in order to arrange that the simplifying assumption is in force (assuming that  $p^1 \neq 0 \neq p^2$ ), perform the construction just described, and finally re-projectivize. We shall prove in section 1 that this construction induces a well-defined operad composition on suitable classes of projectively weighted arcs.

The resulting operad structure provides a useful framework as is evidenced by the fact that there is an embedding of the cactus operad of Voronov [16] into this operad as a suboperad, as we discovered. This shows how to view the Chas-Sullivan [1] string topology, which was the inspiration for our analysis and to which section 2 owes obvious intellectual gratitude, inside the combinatorial model. In particular, we recover in this way a surface description of the cacti which in turn can be viewed as a reduction of the surface structure in a very precise way. In fact, there is a reduction of any surface with arcs to a configuration in the plane, which is not necessarily a cactus and may have a much more complex structure. For the surfaces whose reduction is a cactus in the sense of [16], one retains the natural action on loop spaces by forgetting some of the internal topological structure of the surface but keeping an essential part of the information carried by the arcs. For more general configurations, a similar but more complicated structure is expected.

One virtue of viewing the cacti as a surface instead of as a singular level set is that in this way the branching behavior is nicely depicted while the "singularities of one-dimensional Feynman graphs do not appear" as Witten has pointed out many times. Furthermore, there are natural suboperads governing the Gerstenhaber and the BV structure, where the latter suboperad is generated by the former and the 1-ary operation of  $ARC$ . On the level of homology, these operations just add one class, that of the BV operator. For cacti, the situation is much more complicated and is given by a bi-crossed product [7].

It is conjectured [12] that the full arc complex of a surface with boundary is spherical and thus will not carry much operadic information on the homological

level. The sphericity of the full compactification can again be compared to the Deligne-Mumford situation where the compactification in the genus zero case leaves no odd cohomology and in a Koszul dual way is complementary to the gravity structure of the open moduli space. In general the idea of obtaining suboperads by imposing certain conditions can be seen as parallel to the philosophy of Goncharov-Manin [5]. The Sphericity Conjecture [12] would provide the basis for a calculation of the homology of the operads under consideration here, a task that will be undertaken in [9].

One more virtue of having concrete surfaces is that we can also handle additional structures on the objects of the corresponding cobordism category, viz. the boundaries. We incorporate these ideas in the form of direct and semi-direct products of our operads with operads based on circles. These give geometrically natural extensions of the algebraic structure of BV algebras. This view is also inherent in [7] where the cacti operads are decomposed into bi-crossed products. The operads built on circles which we consider have the geometric meaning of marking additional point in the boundary of a surface. Their algebraic construction, however, is not linked to their particular presentation but rather relies on the algebraic structures of  $S^1$  as a monoid. They are therefore also of independent algebraic interest.

The paper is organized as follows: In section 1 we review the salient features of the combinatorial compactification of moduli spaces and the Sphericity Conjecture. Using this background, we define the operadic products on surfaces with weighted arcs that underlie all of our constructions. In section 2 we uncover the Gerstenhaber and Batalin-Vilkovisky structures of our operad on the level of chains with explicit chain homotopies. These chain homotopies manifestly show the symmetry of these equations. The BV-operator is given by the unique, up to homotopy, cycle of arc families on the cylinder. Section 3 is devoted to the inclusion of the cacti operad into the arc operad both in its original version [1, 16] as well as in its spineless version [7]. This explicit map, called framing, also shows that the spineless cacti govern the Gerstenhaber structure while the Voronov cacti yield BV; this fact can also be read off from the explicit calculations of section 2 for the respective suboperads of  $ARC$ , which are also defined in this section. The difference between the two suboperads are operations corresponding to a Fenchel-Nielsen type deformation of the cylinder, i.e., the 1-ary operation of  $ARC$ . A general construction which forgets the topological structure of the underlying surface and retains a collection of parameterized loops in the plane with incidence/tangency conditions dictated by the arc families is contained in section 4. Using this partial forgetful map we define the action of a suboperad of  $ARC$  on loop spaces of manifolds. For the particular suboperads

corresponding to cacti, this operation is inverse to the framing of section 3. In section 5 we define several direct and semi-direct products of our operad with cyclic and non-cyclic operads built on circles. One of these products yields for instance dGBV algebras. The operads built on circles which we utilize are provided by our analysis of this type of operad in the Appendix. The approach is a classification of all operations that are linear and local in the coordinates of the components to be glued. The results are not contingent on the particular choice of  $S^1$ , but only on certain algebraic properties like being a monoid and are thus of a more general nature.

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## 1 The operad of weighted arc families in surfaces

It is the purpose of this section to define our basic topological operad. The idea of our operad composition on projectively weighted arc families was already described in the Introduction. The technical details of this are somewhat involved, however, and we next briefly survey the material in this section. To begin, we define weighted arc families in surfaces together with several different geometric models of the common underlying combinatorial structure. The collection of projectively weighted arc families in a fixed surface is found to admit the natural structure of a simplicial complex, which descends to a CW decomposition of the quotient of this simplicial complex by the action of the pure mapping class group of the surface. This CW complex had arisen in Penner's earlier work, and we discuss its relationship to Riemann's moduli space in an extended remark. The discussion in the Introduction of bands whose heights are given by weights on an arc family is formalized by Thurston's theory of partial measured foliations, and we next briefly recall the salient details of this theory. The spaces underlying our topological operads are then introduced; in effect, we consider arc families so that there is at least one arc in the family which is incident on any given boundary component. In this setting, we define a collection of abstract measure spaces, one such space for each boundary component of the surface, associated to each appropriate projectively weighted arc family.

We next define the operad composition of these projectively weighted arc families which was sketched in the Introduction and prove that this composition is well-defined. Our basic operads are then defined, and several extensions of the construction are finally discussed.

## 1.1 Weighted arc families

### 1.1.1 Definitions

Let  $F = F_{g,r}^s$  be a fixed oriented topological surface of genus  $g \geq 0$  with  $s \geq 0$  punctures and  $r \geq 1$  boundary components, where  $6g - 7 + 4r + 2s \geq 0$ , so the boundary  $\partial F$  of  $F$  is necessarily non-empty by hypothesis. Fix an enumeration  $\partial_1; \partial_2; \dots; \partial_r$  of the boundary components of  $F$  once and for all. In each boundary component  $\partial_i$  of  $F$ , choose once and for all a closed arc  $W_i \subset \partial_i$ , called a *window*, for each  $i = 1; 2; \dots; r$ .

The *pure mapping class group*  $PMC = PMC(F)$  is the group of isotopy classes of all orientation-preserving homeomorphisms of  $F$  which fix each  $\partial_i - W_i$  pointwise (and fix each  $W_i$  setwise), for each  $i = 1; 2; \dots; r$ .

Define an *essential arc* in  $F$  to be an embedded path  $a$  in  $F$  whose endpoints lie among the windows, where we demand that  $a$  is not isotopic rel endpoints to a path lying in  $\partial F$ . Two arcs are said to be *parallel* if there is an isotopy between them which fixes each  $\partial_i - W_i$  pointwise (and fixes each  $W_i$  setwise) for  $i = 1; 2; \dots; r$ .

An *arc family* in  $F$  is the isotopy class of an unordered collection of disjointly embedded essential arcs in  $F$ , no two of which are parallel. Thus, there is a well-defined action of  $PMC$  on arc families.

### 1.1.2 Several models for arcs

We shall see that collections of arc families in a fixed surface lead to a natural simplicial complex, an open subset of which will form the topological spaces underlying our basic operads. Before turning to this discussion, though, let us briefly analyze the role of the windows in the definitions above and describe several geometric models of the common underlying combinatorics of arc families in bounded surfaces in order to put this role into perspective.

For the first such model, let us choose a distinguished point  $d_i \in \partial_i$ , for  $i = 1; 2; \dots; r$ , and consider the space of all complete finite-area metrics on

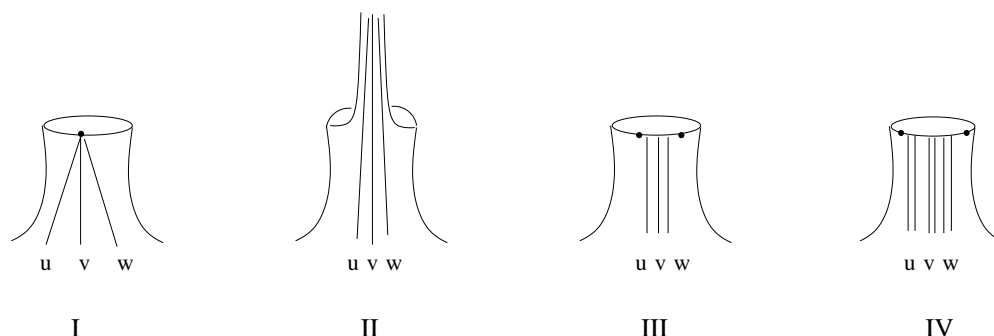


Figure 2: I, arcs running to a point on the boundary, II, arcs running to a point in the interior, III, arcs in a window, IV, bands in a window

$F$  of constant Gauss curvature  $-1$  (so-called "hyperbolic metrics") so that each  $@_i = @_i - fd_i g$  is totally geodesic (so-called "quasi hyperbolic metrics") on  $F$ . To explain this, consider a hyperbolic metric with geodesic boundary on a once-punctured annulus  $A$  and the simple geodesic arc  $a$  in it asymptotic in both directions to the puncture; the induced metric on a component of  $A - a$  gives a model for the quasi hyperbolic structure on  $F = F - fd_i g_i^1$  near  $@_i$ . The first geometric model for an arc family in  $F$  is a set of disjointly embedded geodesics in  $F$ , each component of which is asymptotic in both directions to some distinguished point  $d_i$ ; see part II of figure 2.

In the homotopy class of each  $@_i$ , there is a unique geodesic  $@_i \subset F$ . Excising from  $F - [f@_i g_i^1]$  any component which contains a point of  $@_i$ , we obtain a hyperbolic structure on the surface  $F = F$  with geodesic boundary (where in the special case of an annulus,  $F$  collapses to a circle). Taking  $\setminus F$ , we find a collection of geodesic arcs connecting boundary components (where in the special case of the annulus, we find two points in the circle).

This is our second geometric model for arc families. We may furthermore choose a distinguished point  $p_i \notin @_i$  and a regular neighborhood  $U_i$  of  $p_i$  in  $@_i$ , for  $i = 1; 2; \dots; r$ . Provided  $p_i \notin @_i$ , we may take  $U_i$  sufficiently small that  $U_i \setminus @_i = \emptyset$ , so the arc  $V_i = @_i - U_i$  forms a natural "window" containing  $\setminus @_i$ . There is then an ambient isotopy of  $F$  which shrinks each window  $V_i$  down to a small arc  $W_i \subset @_i$ , under which  $\setminus$  is transported to a family of (non-geodesic) arcs with endpoints in the windows  $W_i$ . In case  $p_i$  does lie in  $@_i$ , then let us simply move  $p_i$  a small amount in the direction of the natural orientation (as a boundary component of  $F$ ) along  $@_i$  and perform the same construction; see part III of figure 2.

This leads to our final geometric model of arc families, namely, the model



defined in 1.1.1 for the purposes of this paper, of arcs in a bounded surface with endpoints in windows. This third model is in the spirit of train tracks and measured foliations (cf. [14]) as we shall see and is most convenient for describing the operadic structure.

### 1.1.3 The arc complex

Let us inductively build a simplicial complex  $Arc^d = Arc^d(F)$  as follows. For each singleton arc family in  $F$ , there is a distinct vertex of  $Arc^d(F)$ . Having thus inductively constructed the  $(k-1)$ -skeleton of  $Arc^d$  for  $k \geq 1$ , let us add a  $k$ -simplex  $(\theta)$  to  $Arc^d$  for each arc family  $\theta$  in  $F$  of cardinality  $k+1$ . The simplicial structure on  $(\theta)$  itself is the natural one, where faces correspond to sub-arc families of  $\theta$ , and we may therefore identify the proper faces of  $(\theta)$  with simplices in the  $(k-1)$ -skeleton of  $Arc^d$ . Adjoining  $k$ -simplices in this manner for each such arc family  $\theta$  of cardinality  $k+1$  defines the  $k$ -skeleton of  $Arc^d$ . This completes the inductive definition of the simplicial complex  $Arc^d$ .

$Arc^d$  is thus a simplicial complex, upon which  $PMC = PMC(F)$  acts continuously, and we define the quotient topological space to be  $Arc = Arc(F) = Arc^d(F)/PMC(F)$ . If  $\theta = fa_0; a_1; \dots; a_k g \in Arc^d$ , then the arcs  $a_i$  come in a canonical linear ordering. Namely, the orientation of  $F$  induces an orientation on each window, and traversing the windows in the order  $W_1; W_2; \dots; W_r$  in these orientations, one first encounters an endpoint of the arcs  $a_0; a_1; \dots; a_k$  in some order, which prescribes the claimed linear ordering. Thus, cells in  $Arc^d$  cannot have finite isotropy in  $PMC$ , and the simplicial decomposition of  $Arc^d$  descends to a CW decomposition of  $Arc$  itself.

**1.1.4 Sphericity Conjecture** [12] *Fix any surface  $F = F_{g,r}^S$  with  $6g - 7 + 4r + 2s \geq 0$ . Then  $Arc(F_{g,r}^S)$  is piecewise-linearly homeomorphic to a sphere of dimension  $6g - 7 + 4r + 2s$ .*

Recent work seems to provide a proof of this conjecture at least in the case  $g = 0$  of planar surfaces as will be taken up elsewhere. It is worth emphasizing that the current paper is independent of the Sphericity Conjecture, but this gives a useful perspective on the relationship between Riemann's moduli space and the operads studied here.

**1.1.5 Remark** It is the purpose of this remark to explain the geometry underlying  $Arc(F)$  which was uncovered in [12, 13]. Recall the distinguished

point  $p_i \in \partial_i$  chosen in our second geometric model for arc families. The "moduli space"  $M = M(F)$  of the surface  $F$  with boundary is the collection of all complete finite-area metrics of constant Gauss curvature  $-1$  with geodesic boundary, together with a distinguished point  $p_i$  in each boundary component, modulo push forward by diffeomorphisms. There is a natural action of  $\mathbf{R}_+$  on  $M$  by simultaneously scaling each of the hyperbolic lengths of the geodesic boundary components, and we let  $M/\mathbf{R}_+$  denote the quotient. The main result of [13] is that  $M/\mathbf{R}_+$  is proper homotopy equivalent to the complement of a codimension-two subcomplex  $Arc_1(F)$  of  $Arc(F)$ , where  $Arc_1(F)$  corresponds to arc families so that some component of  $F - \Gamma$  is other than a polygon or once-punctured polygon. It is remarkable to suggest that by adding to a space homotopy equivalent to  $M/\mathbf{R}_+$  a suitable simplicial complex we obtain a sphere. There is much known [12] about the geometry and combinatorics of  $Arc(F)$  and  $Arc_1(F)$ . Furthermore, the Sphericity Conjecture should be useful in calculating the homological operads of the arc operads.

### 1.1.6 Notation

We shall always adopt the notation that if  $\gamma$  is the  $PMC$ -orbit of an arc family in  $F$ , then  $\gamma \in Arc^d$  denotes some chosen arc family representing  $\gamma$ . Furthermore, it will sometimes be convenient to specify the components  $a_0; a_1; \dots; a_k$ , for  $k \geq 0$ , of an arc family  $\gamma$  representing  $\gamma \in Arc$ , and we shall write simply  $\gamma = fa_0; a_1; \dots; a_kg$  in this case. Since the linear ordering on components of  $\gamma \in Arc^d$  is invariant under the action of  $PMC$ , it descends to a well-defined linear ordering on the components underlying the  $PMC$ -orbit  $\gamma$ .

Of course, a  $k$ -dimensional cell in the CW decomposition of  $Arc$  is determined by the  $PMC$ -orbit of some arc family  $\gamma = fa_0; a_1; \dots; a_kg \in Arc^d$ , and (again relying on the  $PMC$ -invariant linear ordering discussed previously) a point in the interior of this cell is determined by the projective class of a corresponding  $(k+1)$ -tuple  $(w_0; w_1; \dots; w_k)$  of positive real numbers. As usual, we shall let  $[w_0 : w_1 : \dots : w_k]$  denote a line coordinate on the projective classes of corresponding non-negative real  $(k+1)$ -tuples, and if  $w_i = 0$ , for  $i$  in a proper subset  $I \subset \{0; 1; \dots; k\}$ , then the point of  $Arc$  corresponding to  $(\gamma; [w_0 : w_1 : \dots : w_k])$  is identified with the point in the cell corresponding to  $fa_j \in \gamma; j \notin I/g$  with projective tuple gotten by deleting all zero entries of  $[w_0 : w_1 : \dots : w_k]$ .

To streamline the notation, if  $\gamma = fa_0; a_1; \dots; a_kg$  is in  $Arc^d$  and if  $(w_0; w_1; \dots; w_k) \in \mathbb{R}_+^{k+1}$  is an assignment of numbers, called *weights*, one weight to each

component of  $\mathcal{A}^\theta$ , then we shall sometimes suppress the weights by letting  $(\theta)$  denote the arc family  $\mathcal{A}^\theta$  with corresponding weights  $w(\theta) = (w_0; w_1; \dots; w_k)$ . In the same manner, the projectivized weights on the components of  $\mathcal{A}^\theta$  will sometimes be suppressed, and we let  $[\theta]$  denote the arc family  $\mathcal{A}^\theta$  with projective weights  $w[\theta] = [w_0 : w_1 : \dots : w_k]$ . These same notations will be used for PMC-classes of arc families as well, so, for instance,  $(\cdot)$  denotes the PMC-orbit with weights  $w(\cdot) \in \mathbb{R}_+^{k+1}$ , and a point in  $Arc$  may be denoted simply  $[\cdot] \in Arc(F)$ , where the projective weight is given by  $w[\cdot]$ .

### 1.2 Partial measured foliations

Another point of view on elements of  $Arc$ , which is useful in the subsequent constructions, is derived from the theory of "train tracks" (cf. [14]) as follows. If  $\theta = fa_0; a_1; \dots; a_kg \in Arc^\theta$  is given weights  $(w_0; w_1; \dots; w_k) \in \mathbb{R}_+^{k+1}$ , then we may regard  $w_i$  as a transverse measure on  $a_i$ , for each  $i = 0; 1; \dots; k$  to determine a "measured train track with stops" and corresponding "partial measured foliation", as considered in [14].

For the convenience of the reader, we next briefly recall the salient and elementary features of this construction. Choose for the purposes of this discussion any complete Riemannian metric  $\rho$  of finite area on  $F$ , suppose that each  $a_i$  is smooth for  $i = 0, \dots, k$ , and consider for each  $a_i$  the "band"  $B_i$  in  $F$  consisting of all points within  $\epsilon$ -distance  $w_i$  of  $a_i$ . If it is necessary, scale the metric  $\rho$  to  $\epsilon\rho$ , for  $\epsilon > 1$ , to guarantee that these bands are embedded, pairwise disjoint in  $F$ , and have their endpoints lying among the windows. The band  $B_i$  about  $a_i$  comes equipped with a foliation by the arcs parallel to  $a_i$  which are a fixed  $\epsilon$ -distance to  $a_i$ , and this foliation comes equipped with a transverse measure inherited from  $w_i$ ; thus,  $B_i$  is regarded as a rectangle of width  $w_i$  and some irrelevant length, for  $i = 0; 1; \dots; k$ . The foliated and transversely measured bands  $B_i$ , for  $i = 0; 1; \dots; k$  combine to give a "partial measured foliation" of  $F$ , that is, a foliation of a closed subset of  $F$  supporting an invariant transverse measure (cf. [14]). The isotopy class in  $F \text{ rel } \partial F$  of this partial measured foliation is independent of the choice of metric  $\rho$ .

Continuing to suppress the choice of metric  $\rho$ , for each  $i = 1; 2; \dots; r$ , consider  $\partial_i \setminus \bigcup_{j=0}^k B_j$ , which is empty if  $\partial_i$  does not meet  $\partial_i$  and is otherwise a collection of closed intervals in  $W_i$  with disjoint interiors. Collapse to a point each component complementary to the interiors of these intervals in  $W_i$  to obtain an interval, which we shall denote  $\partial_i(\theta)$ . Each such interval  $\partial_i(\theta)$  inherits an absolutely continuous measure  $\mu^i$  from the transverse measures on the bands.

**1.2.1 Definition** Given two representatives  $(\ell_1)$  and  $(\ell_2)$  of the same weighted *PMC*-orbit  $(\ell)$ , the respective measure spaces  $(\mathcal{M}_i(\ell_1); \mu_i^1)$  and  $(\mathcal{M}_i(\ell_2); \mu_i^2)$  are canonically identified, which allows us to consider the measure space  $(\mathcal{M}_i(\ell); \mu_i)$  of a weighted *PMC*-orbit  $(\ell)$  itself, which is called the  $i^{\text{th}}$  end of  $(\ell)$ , for each  $i = 1; 2; \dots; r$ .

### 1.3 The spaces underlying the topological operad

#### 1.3.1 Definitions

An arc family  $\ell$  in  $F$  is said to be *exhaustive* if for each boundary component  $\mathcal{M}_i$ , for  $i = 1; 2; \dots; r$ , there is at least one component arc in  $\ell$  with its endpoints in the window  $W_i$ . Likewise, a *PMC*-orbit  $\ell$  of arc families is said to be *exhaustive* if some (that is, any) representing arc family  $\ell$  is so. Define the topological spaces

$$\begin{aligned} \text{Arc}_g^S(n) &= \{ \ell \mid \ell \in \text{Arc}(F_{g,n+1}^S) : \ell \text{ is exhaustive} \}; \\ \mathcal{A}\text{rc}_g^S(n) &= \text{Arc}_g^S(n) \times (S^1)^{n+1}; \end{aligned}$$

which are the spaces that comprise our various families of topological operads. In light of Remark 1.1.5,  $\text{Arc}_g^S(n)$  is identified with an open subspace of  $\text{Arc}(F)$  properly containing a space homotopy equivalent to  $M(F_{g,n+1}^S) = S^1$ , and  $\text{Arc}(F)$  is spherical in at least the planar case.

Enumerating the boundary components of  $F$  as  $\mathcal{M}_0; \mathcal{M}_1; \dots; \mathcal{M}_n$  once and for all (where  $\mathcal{M}_0$  will play a special role in the subsequent discussion) and letting  $S_\rho$  denote the  $\rho^{\text{th}}$  symmetric group, there is a natural  $S_{n+1}$ -action on the labeling of boundary components which restricts to a natural  $S_n$ -action on the boundary components labeled  $1; 2; \dots; n$ . Thus,  $S_n$  and  $S_{n+1}$  act on  $\text{Arc}_g^S(n)$ , and extending by the diagonal action of  $S_{n+1}$  on  $(S^1)^{n+1}$ , the symmetric groups  $S_n$  and  $S_{n+1}$  likewise act on  $\mathcal{A}\text{rc}_g^S(n)$ , where  $S_n$  by definition acts trivially on the first coordinate in  $(S^1)^{n+1}$ .

Continuing with the definitions, if  $[\ell] = (t_0; t_1; \dots; t_n) \in \mathcal{A}\text{rc}_g^S(n)$ , let us choose a corresponding deprojectivization  $(\gamma)$ ,  $x$  some boundary component  $\mathcal{M}_i$ , for  $i = 0; 1; \dots; n$ , and let  $m_i = \mu_i(\mathcal{M}_i(\gamma))$  denote the total measure. There is then a unique orientation-preserving mapping

$$c_i^{(\gamma)} : \mathcal{M}_i(\gamma) \rightarrow S^1 \tag{1}$$

which maps the (class of the) first point of  $\mathcal{M}_i(\gamma)$  in the orientation of the window  $W_i$  to  $0 \in S^1$ , where the measure on the domain is  $\frac{2}{m_i} \mu_i$  and on the range

is the Haar measure on  $S^1$ . Of course, each  $c_i^{(\cdot)}$  is injective on the interior of  $@_i(\cdot)$  while  $(c_i^{(\cdot)})^{-1}(f\mathcal{O}g) = @ @_i(\cdot)$ . Furthermore, if  $(\cdot_1)$  and  $(\cdot_2)$  are two different deprojectivizations of a common projective class, then  $c_i^{(\cdot_2)} \circ (c_i^{(\cdot_1)})^{-1}$  extends continuously to the identity on  $S^1$ .

**1.3.2 Remark** We do not wish to specialize to one or another particular case at this stage, but if  $@F$  comes equipped with an *a priori* absolutely continuous measure (for instance, if  $@F$  comes equipped with a canonical coordinatization, or if  $F$  or  $@F$  comes equipped with a fixed Riemannian metric), then we can identify  $@_i(\cdot)$  with  $@_iF$ , for each  $i = 0; 1; \dots; n$ , in the obvious manner (where, if the *a priori* total measure of  $@_i$  is  $M_i$ , then we alter the Riemannian metric employed in the definition of the bands so that the  $@_i$  total measure  $m_i = @_i(@_i(\cdot))$  agrees with  $M_i$  for each  $i = 0; 1; \dots; n$  and finally collapse the endpoints of  $@_i(\cdot)$  to a single point).

Thus, the idea is that the  $i^{\text{th}}$  end of  $(\cdot)$  gives a model or coordinatization for  $@_i$ , but of course, altering the underlying  $(\cdot)$  in turn alters the coordinatization  $c_i^{(\cdot)}$  of  $@_i$ . The trivial product  $\mathcal{A}rc_g^S(n) = Arc_g^S(n) \times (S^1)^{n+1}$  leads in this way to a family of coordinatizations of  $@F$  which are twisted by  $Arc_g^S(n)$ .

**1.3.3 Pictorial representations of arc families**

As explained before, there are several ways in which to imagine weighted arc families near the boundary. They are illustrated in figure 2. It is also convenient, to view arcs near a boundary component as coalesced into a single wide band by collapsing to a point each interval in the window complementary to the bands; this *interval model* is illustrated in figure 3, part I. It is also sometimes convenient to further take the image under the maps 1 to produce the *circle model* as is depicted in figure 3, part II.

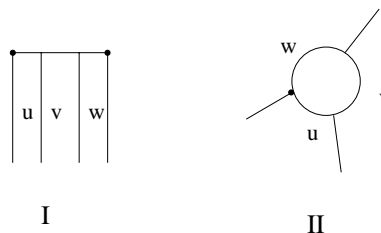


Figure 3: I, bands ending on an interval, II, bands ending on a circle

### 1.4 Gluing arc families

#### 1.4.1 Gluing weighted arc families

Given two weighted arc families  $(\gamma)$  in  $F_{g;m+1}^s$  and  $(\delta)$  in  $F_{h;n+1}^t$  so that  $\gamma_i(\gamma) = \delta_0(\delta)$ , for some  $1 \leq i \leq m$ , we shall next make choices to define a weighted arc family in  $F_{g+h;m+n}^{s+t}$  as follows.

First of all, let  $\alpha_0; \alpha_1; \dots; \alpha_m$  denote the boundary components of  $F_{g;m+1}^s$ , let  $\beta_0; \beta_1; \dots; \beta_n$  denote the boundary components of  $F_{h;n+1}^t$ , and  $x$  some index  $1 \leq i \leq m$ . Each boundary component inherits an orientation in the standard manner from the orientations of the surfaces, and we may choose any orientation-preserving homeomorphisms  $\gamma_i : \alpha_i \rightarrow S^1$  and  $\delta_0 : \beta_0 \rightarrow S^1$  each of which maps the initial point of the respective window to the base-point  $0 \in S^1$ . Gluing together  $\alpha_i$  and  $\beta_0$  by identifying  $x \in S^1$  with  $y \in S^1$  if  $\gamma_i(x) = \delta_0(y)$  produces a space  $X$  homeomorphic to  $F_{g+h;m+n}^{s+t}$ , where the two curves  $\alpha_i$  and  $\beta_0$  are thus identified to a single separating curve in  $X$ . There is no natural choice of homeomorphism of  $X$  with  $F_{g+h;m+n}^{s+t}$ , but there are canonical inclusions  $j : F_{g;m+1}^s \rightarrow X$  and  $k : F_{h;n+1}^t \rightarrow X$ .

We enumerate the boundary components of  $X$  in the order

$$\alpha_0; \alpha_1; \dots; \alpha_{i-1}; \alpha_i; \beta_1; \beta_2; \dots; \beta_n; \alpha_{i+1}; \alpha_{i+2}; \dots; \alpha_m$$

and re-index letting  $\gamma_j$ , for  $j = 0; 1; \dots; m+n-1$ , denote the boundary components of  $X$  in this order. Likewise, first enumerate the punctures of  $F_{g;m+1}^s$  in order and then those of  $F_{h;n+1}^t$  to determine an enumeration of those of  $X$ , if any. Let us choose an orientation-preserving homeomorphism  $H : X \rightarrow F_{g+h;m+n}^{s+t}$  which preserves the labeling of the boundary components as well as those of the punctures, if any.

In order to define the required weighted arc family, consider the partial measured foliations  $G$  in  $F_{g;m+1}^s$  and  $H$  in  $F_{h;n+1}^t$  corresponding respectively to  $(\gamma)$  and  $(\delta)$ . By our assumption that  $\gamma_i(\gamma) = \delta_0(\delta)$ , we may produce a corresponding partial measured foliation  $F$  in  $X$  by identifying the points  $x \in \alpha_i(\gamma)$  and  $y \in \beta_0(\delta)$  if  $c_i^{(\gamma)}(x) = c_0^{(\delta)}(y)$ . The resulting partial measured foliation  $F$  may have simple closed curve leaves which we must simply discard to produce yet another partial measured foliation  $F^\theta$  in  $X$ . The leaves of  $F^\theta$  thus run between boundary components of  $X$  and therefore, as in the previous section, decompose into a collection of bands  $B_i$  of some widths  $w_i$ , for  $i = 1; 2; \dots; l$ . Choose a leaf of  $F^\theta$  in each such band  $B_i$  and associate to it the weight  $w_i$  given by the width of  $B_i$  to determine a weighted arc family

$(\ell)$  in  $X$  which is evidently exhaustive. Let  $(\ell) = H(\ell)$  denote the image in  $F_{g+h;m+n}^{s+t}$  under  $H$  of this weighted arc family.

**1.4.2 Lemma** *The  $PMC(F_{g+h;m+n}^{s+t})$ -orbit of  $(\ell)$  is well-defined as  $(\ell)$  varies over a  $PMC(F_{g;m+1}^s)$ -orbit of weighted arc families in  $F_{g;m+1}^s$  and  $(\ell)$  varies over a  $PMC(F_{h;n+1}^t)$ -orbit of weighted arc families in  $F_{h;n+1}^t$ .*

**Proof** Suppose we are given weighted arc families  $(\ell_2) = (\ell_1)$ , for  $\ell_2 \in PMC(F_{g;m+1}^s)$ , and  $(\ell_2) = (\ell_1)$ , for  $\ell_2 \in PMC(F_{h;n+1}^t)$ , as well as a pair  $H' : X' \rightarrow F_{g+h;m+n}^{s+t}$  of homeomorphisms as above together with the pairs  $j_1; j_2 : F_{g;m+1}^s \rightarrow X'$  and  $k_1; k_2 : F_{h;n+1}^t \rightarrow X'$  of induced inclusions, for  $\ell' = 1; 2$ . Let  $F'; F^\ell$  denote the partial measured foliations and let  $(\ell')$  and  $(\ell)$  denote the corresponding weighted arc families in  $X'$  and  $F_{g+h;m+n}^{s+t}$ , respectively, constructed as above from  $(\ell')$  and  $(\ell)$ , for  $\ell' = 1; 2$ .

Let  $c_\ell = j_\ell(\partial_0) = k_\ell(\partial_1) \subset X'$ , and remove a tubular neighborhood  $U_\ell$  of  $c_\ell$  in  $X'$  to obtain the subsurface  $X^\ell = X' - U_\ell$ , for  $\ell' = 1; 2$ . Isotope  $j_\ell; k_\ell$  off of  $U_\ell$  in the natural way to produce inclusions  $j^\ell : F_{g;m+1}^s \rightarrow X^\ell$  and  $k^\ell : F_{h;n+1}^t \rightarrow X^\ell$  with disjoint images, for  $\ell' = 1; 2$ .

$j_1$  induces a homeomorphism  $\ell_1 : X_1^\ell \rightarrow X_2^\ell$  supported on  $j_1^\ell(F_{g;m+1}^s)$  so that  $j_2^\ell = j_1^\ell$ , and  $k_2$  induces a homeomorphism  $\ell_2 : X_1^\ell \rightarrow X_2^\ell$  supported on  $k_1^\ell(F_{h;n+1}^t)$  so that  $k_2^\ell = k_1^\ell$ . Because of their disjoint supports,  $\ell_1$  and  $\ell_2$  combine to give a homeomorphism  $G^\ell : X_1^\ell \rightarrow X_2^\ell$  so that  $j_2^\ell = G^\ell \circ j_1^\ell$  and  $k_2^\ell = G^\ell \circ k_1^\ell$ . We may extend  $G^\ell$  by any suitable homeomorphism  $U_1 \rightarrow U_2$  to produce a homeomorphism  $G : X_1 \rightarrow X_2$ .

By construction and after a suitable isotopy,  $G$  maps  $F_1 \setminus X_1^\ell$  to  $F_2 \setminus X_2^\ell$ , and there is a power  $n$  of a Dehn twist along  $c_2$  supported on the interior of  $U_2$  so that  $K = G^n$  also maps  $F_1 \setminus U_1$  to  $F_2 \setminus U_2$ .  $K$  thus maps  $F_1^\ell$  to  $F_2^\ell$  and hence  $(\ell_1)$  to  $(\ell_2)$ . It follows that the homeomorphism

$$H_2 \circ K \circ H_1^{-1} : F_{g+h;m+n}^{s+t} \rightarrow F_{g+h;m+n}^{s+t}$$

maps  $(\ell_1)$  to  $(\ell_2)$ , so  $(\ell_1)$  and  $(\ell_2)$  are indeed in the same  $PMC(F_{g+h;m+n}^{s+t})$ -orbit. □

**1.4.3 Remark** It is worth emphasizing again that, owing to the dependence upon weights, the arcs in  $\ell$  are *not* simply determined just from the arcs in  $\ell'$  and  $\ell''$ ; the arcs in  $\ell$  depend upon the weights. It is also worth pointing out that the composition just described is *not* well-defined on projectively weighted arc families but only on pure mapping class orbits of such. In fact, by making choices of standard models for surfaces as well as standard inclusions of

these standard models, one can lift the composition to the level of projectively weighted arc families.

**1.4.4 Remark** We simply discard simple closed curve components which may arise in our construction, and JL Loday has proposed including them here in analogy to [6]. In fact, they naturally give rise to the conjugacy class of an element of the real group ring of the fundamental group of  $F$ .

**1.4.5 Definition** Given  $[ ] \in \text{Arc}_g^s(m)$  and  $[ ] \in \text{Arc}_h^t(n)$  and an index  $1 \leq i \leq m$ , let us choose respective deprojectivizations  $(\theta)$  and  $(\theta')$  and write the weights

$$\begin{aligned} w(\theta) &= (u_0; u_1; \dots; u_m); \\ w(\theta') &= (v_0; v_1; \dots; v_n); \end{aligned}$$

Define

$$\begin{aligned} 0 &= \sum_{fb2 : @b \setminus @_0 \notin g} \prod V_j; \\ i &= \sum_{fa2 : @a \setminus @_i \notin g} \prod U_j; \end{aligned}$$

where in each sum the weights are taken with multiplicity, e.g., if  $a$  has both endpoints at  $@_0$ , then there are two corresponding terms in  $0$ .

Since both arc families are exhaustive,  $\sum_i i \notin 0 \notin 0$ , and we may re-scale

$$\begin{aligned} 0w(\theta) &= ({}_0u_0; {}_0u_1; \dots; {}_0u_m); \\ iw(\theta') &= ({}_iv_0; {}_iv_1; \dots; {}_iv_n); \end{aligned}$$

so that the  $0^{\text{th}}$  entry of  $iw(\theta')$  agrees with the  $i^{\text{th}}$  entry of  ${}_0w(\theta)$ .

Thus, we may apply the composition of 1.4.1 to the re-scaled arc families to produce a corresponding weighted arc family  $(\theta)$  in  $F_{g+h; m+n}^{s+t}$ , whose projective class is denoted  $[ ] \in \text{Arc}_{g+h}^{s+t}(m+n-1)$ . We let

$$[ ] \circ_i [ ] = [ ];$$

in order to define the composition

$$i : \text{Arc}_g^s(m) \times \text{Arc}_h^t(n) \rightarrow \text{Arc}_{g+h}^{s+t}(m+n-1); \text{ for any } i = 1; 2; \dots; m;$$

As in Remark 1.4.3, this composition is *not* a simplicial map but just a topological one.



### 1.4.6 A pictorial representation of the gluing

A graphical representation of the gluing can be found in Figure 4, where we present the gluing in three of the different models. More examples of gluing in the interval and circle models can be found in Figure 15 and throughout the later sections.

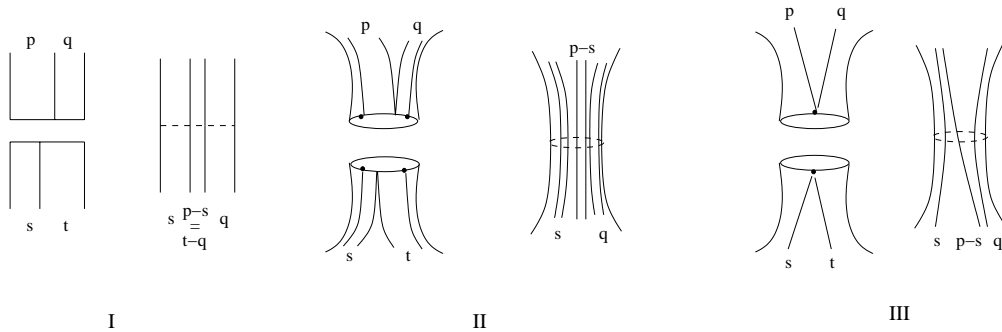


Figure 4: The gluing: I, in the interval picture, II, in the windows with bands picture and III, in the arcs running to a marked point version.

## 1.5 The basic topological operads

**1.5.1 Definition** For each  $n \geq 0$ , let  $ARC_{cp}(n) = Arc_0^c(n)$  (where the "cp" stands for compact planar), and furthermore, define the direct limit  $ARC(n)$  of  $ARC_g^s(n)$  as  $g; s \rightarrow 1$  under the natural inclusions.

**1.5.2 Theorem** The compositions  $\circ_i$  of Definition 1.4.5 imbue the collection of spaces  $ARC_{cp}(n)$  with the structure of a topological operad under the natural  $\mathbb{S}_n$ -action on labels on the boundary components. The operad has a unit  $1 \in ARC_{cp}(1)$  given by the class of an arc in the cylinder meeting both boundary components, and the operad is cyclic for the natural  $\mathbb{S}_{n+1}$ -action.

**Proof** The first statement follows from the standard operadic manipulations. The second statement is immediate from the definition of composition. For the third statement, notice that the composition treats the two surfaces symmetrically, and the axiom for cyclicity again follows from the standard operadic manipulations.  $\square$

In precisely the same way, we have the following theorem.

**1.5.3 Theorem** *The composition  $\circ_i$  of Definition 1.4.5 induces a composition  $\circ_i$  on  $ARC(n)$  which imbues this collection of spaces with the natural structure of a cyclic topological operad with unit.*

**1.5.4 The deprojectivized spaces  $DARC$**

For the following it is convenient to introduce deprojectivized arc families. This amounts to adding a factor  $\mathbb{R}_{>0}$  for the overall scale.

Let  $DARC(n) = ARC(n) \times \mathbb{R}_{>0}$  be the space of weighted arc families; it is clear that  $DARC(n)$  is homotopy equivalent to  $ARC(n)$ .

As the definition 1.4.5 of gluing was obtained by lifting to weighted arc families and then projecting back, we can promote the compositions to the level of the spaces  $DARC(n)$ . This endows the spaces  $DARC(n)$  with a structure of a cyclic operad as well. Moreover, by construction the two operadic structures are compatible. This type of composition can be compared to the composition of loops, where such a rescaling is also inherent. In our case, however, the scaling is performed on both sides which renders the operad cyclic.

In this context, the total weight at a given boundary component given by the sum of the individual weights  $w_t$  of incident arcs makes sense, and thus the map 1 can be naturally viewed as a map to a circle of radius  $\sum_t w_t$ .

**1.6 Notation** We denote the operad on the collection of spaces  $ARC(n)$  by  $ARC$  and the operad on the collection of spaces  $DARC(n)$  by  $DARC$ . By an "Arc algebra", we mean an algebra over the homology operad of  $ARC$ . Likewise,  $ARC_{cp}$  and  $DARC_{cp}$  are comprised of the spaces  $ARC_{cp}(n)$  and  $DARC_{cp}(n)$  respectively, and an "Arc<sub>cp</sub> algebra" is an algebra over the homology of  $ARC_{cp}$

**1.6.1 Remark** In fact, the restriction that the arc families under consideration must be exhaustive can be relaxed in several ways with the identical definition retained for the composition. For instance, if the projectively weighted arc family  $[ \ ] \in Arc_g^s(m)$  fails to meet the  $i^{th}$  boundary component and  $[ \ ] \in Arc_h^t(n)$ , then we can set  $[ \ ]_i$  to be  $[ \ ]$  regarded as an arc family in  $F_{g;m+1}^s \times F_{g+h;m+n}^{s+t}$ , where the boundary components have been re-labeled.

In both formulations, we must require that  $@_0[ \ ] \neq \emptyset$ ; in order that  $[ \ ]_i$  is non-empty, but this asymmetric treatment destroys cyclicity.

Another possibility is to include the empty arc family in the operad as any arc family with all weights zero to preserve cyclicity. The composition then imbues

the deprojectivized  $Arc(F_{g;n+1}^S)$  themselves with the structure of a topological operad, but the corresponding homology operad, in light of the Sphericity Conjecture 1.1.4 would be trivial i.e., the trivial one-dimensional  $\mathbb{S}_n$ -module for each  $n$ .

### 1.6.2 Turning on punctures and genus

When allowing genus or the number of punctures to be different from zero, there are two operators for the topological operad that generate arc families of all genera respectively with any number of punctures from arc families of genus zero with no punctures. These operators are depicted in Figure 5.

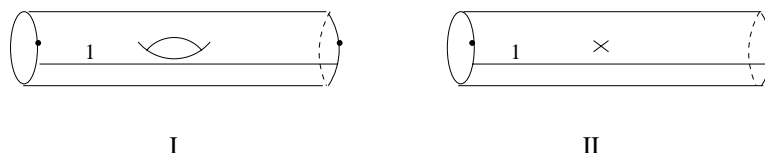


Figure 5: I, the genus generator, II, the puncture operator

For the corresponding linear homology operad, we expect similarities with [10], in which the punctures play the role of the second set of points. This means that the linear operad will act on tensor powers of two linear spaces, one for the boundary components and one for the punctures. In this extension of the operadic framework, there is no gluing on the punctures and the respective linear spaces should be regarded as parameterizing deformations which perturb each operation separately.

### 1.6.3 Other Props and Operads

There are related sub-operads and sub-props of  $Arc(F_{g;n+1}^S)$  other than  $ARC_{cp}$  and  $ARC$  which are of interest. In the general case, one may specify a symmetric  $(n + 1)$ -by- $(n + 1)$  matrix  $A^{(n)}$  as well as an  $(n + 1)$ -vector  $R^{(n)}$  of zeroes and ones over  $\mathbb{Z} = 2\mathbb{Z}$  and consider the subspace of  $Arc(F_{g;n+1}^S)$  where arcs are allowed to run between boundary components  $i$  and  $j$  if and only if  $A_{ij}^{(n)} \neq 0$  and are required to meet boundary component  $k$  if and only if  $R_k^{(n)} \neq 0$ . For instance, the case of interest in this paper corresponds to  $A^{(n)}$  the matrix and  $R^{(n)}$  the vector whose entries are all one. In Remark 1.6.1, we also mentioned the example with  $A^{(n)}$  the matrix consisting entirely of entries one and  $R^{(n)}$  the standard first unit basis vector.

For a class of examples, consider a partition of  $f_0; 1; \dots; ng = I^{(n)} \sqcup O^{(n)}$ , into "inputs" and "outputs", where  $A_{ij}^{(n)} = 1$  if and only if  $fi; jg \in I^{(n)}$  and  $fi; jg \in O^{(n)}$  are each singletons, and  $R^{(n)}$  is the vector whose entries are all one. The corresponding prop is presumably related to the string prop of [1].

There are many other interesting possibilities. For instance, the cacti operad of [16] and the spineless cacti of [7] will be discussed and studied in sections 2 and 3, and other related cactus-like examples are studied in [7]. A further variation is to stratify the space by incidence matrices with non-negative integer entries corresponding to the number of arcs at each boundary component.

## 2 The Gerstenhaber and BV structure of $ARC$

In this section we will show that there is a structure of Batalin-Vilkovisky algebra on Arc algebras and  $Arc_{cp}$  algebras. More precisely, any algebra over the singular chain complex operad  $C(Arc_{cp})$  or  $C(ARC)$  is a BV algebra up to certain chain homotopies. For convenience,  $C(n)$  will denote  $C(Arc_{cp}(n))$  or  $C(ARC(n))$ , since for our calculations, we only require  $Arc_{cp}$ . An element in  $C$  will be also called an arc family. We shall realize the underlying surfaces  $F_{0;n+1}^0$  in the plane with the boundary 0 being the outside circle. The conventions we use for the drawings is that all circles are oriented counterclockwise. Furthermore if there is only one marked point on the circle, it is the beginning of the window. If there are two marked points on the boundary, the window is the smaller arc between the two.

Via gluing, any arc family in  $C(n)$  gives rise to an  $n$ -ary operation on arc families in  $C$ . Here one has to be careful with the parameterizations. To be completely explicit we will always include them if we use a particular family as an operation.

As mentioned in the Introduction, many of the calculations of this section are inspired by [1].

### 2.1 A reminder on some algebraic structures

In this section, we would like to recall some basic definitions of algebras and their relations which we will employ in the following. The proofs in this subsection are omitted, since they are straightforward computations. They can be found in [3, 4].

**2.1.1 Definition** (Gerstenhaber) A pre-Lie algebra is a  $\mathbb{Z}=2\mathbb{Z}$  graded vector space  $V$  together with a bilinear operation that satisfies

$$(x \cdot y) \cdot z - x \cdot (y \cdot z) = (-1)^{|y||z|}((x \cdot z) \cdot y - x \cdot (z \cdot y))$$

Here  $|x|$  denotes the  $\mathbb{Z}=2\mathbb{Z}$  degree of  $x$ .

**2.1.2 Definition** An odd Lie algebra is a  $\mathbb{Z}=2\mathbb{Z}$  graded vector space  $V$  together with a bilinear operation  $f; g$  which satisfies

$$(1) \quad fa; bg = (-1)^{(ja+1)(jb+1)} fb; ag$$

$$(2) \quad fa; fb; cgg = ffa; bg; cg + (-1)^{(ja+1)(jb+1)} fb; fa; cgg$$

**2.1.3 Remark** Pre-Lie algebras of the above type are sometimes also called right symmetric algebras. There is also the notion of a left symmetric algebra, which satisfies

$$(x \cdot y) \cdot z - x \cdot (y \cdot z) = (y \cdot x) \cdot z - y \cdot (x \cdot z)$$

Given a left symmetric algebra its opposite algebra is right symmetric and vice-versa. Here the multiplication for the opposite algebra  $A^{opp}$  is  $a \cdot^{opp} b = b \cdot a$ . Hence it is a matter of taste, which algebra type one regards. To match with string topology, one has to use left symmetric algebras, while to match with the Hochschild cochains, one will have to use right symmetric algebras.

**2.1.4 Definition** A Gerstenhaber algebra or an odd Poisson algebra is a  $\mathbb{Z}=2\mathbb{Z}$  graded, graded-commutative associative algebra  $(A; \cdot)$  endowed with an odd Lie algebra structure  $f; g$  which satisfies the compatibility equation

$$fa; b \cdot cg = fa; bg \cdot c + (-1)^{|b|(|a+1|)} b \cdot fa; cg$$

**2.1.5 Proposition** (Gerstenhaber) For any pre-Lie algebra  $V$ , the bracket  $f; g$  defined by

$$fa; bg := a \cdot b - (-1)^{(ja+1)(jb+1)} b \cdot a \tag{2}$$

endows  $V$  with a structure of odd Lie algebra.

**2.1.6 Remark** The same holds true for a right symmetric algebra.

**2.1.7 Definition** A Batalin-Vilkovisky (BV) algebra is an associative supercommutative algebra  $A$  together with an operator  $\delta$  of degree 1 that satisfies

$$\begin{aligned} \delta^2 &= 0 \\ (abc) &= (ab)c + (-1)^{j_a j_b} a(bc) + (-1)^{j_a j_b} a(b c) \\ &\quad - (a)bc - (-1)^{j_a j_b} a(b)c - (-1)^{j_a + j_b} ab(c) \end{aligned}$$

Here supercommutative means as usual  $\mathbb{Z}=2\mathbb{Z}$  graded commutative, i.e.  $ab = (-1)^{j_a j_b} ba$ .

**2.1.8 Proposition** (Getzler) For any BV algebra  $(A; \delta)$  define

$$f a ; b g := (-1)^{j_a j_b} (ab) - (-1)^{j_a j_b} (a)b - a(b) \tag{3}$$

Then  $(A; f ; g)$  is a Gerstenhaber algebra.

**2.1.9 Definition** We call a triple  $(A; f ; g)$  a GBV algebra if  $(A; \delta)$  is a BV algebra and  $f ; g : A \times A \rightarrow A$  satisfies the equation (3). By the Proposition above  $(A; f ; g)$  is a Gerstenhaber algebra.

The purpose of this definition is that in some cases as in the case of the Arc operad it happens that a bracket as well as the BV operator appear naturally. In our case the bracket comes naturally from a pre-Lie structure which one can also view as a  $[1]$  product, while the BV operator appears from a cycle naturally parameterized by  $S^1 = \text{Arc}(F_{0,2}^0 = \text{ARC}_{cp}(1))$ . We use the name GBV algebra to indicate that these structures although having independent origin are indeed compatible. As we will show below, the independent origin can be interpreted as saying that the Gerstenhaber structure is governed by one suboperad and the BV structure by another bigger suboperad which contains the previous one. Moreover the bracket of the BV structure coincides with the bracket of the Gerstenhaber structure already present in the smaller suboperad.

## 2.2 Arc families and their induced operations

The points in  $\text{ARC}_{cp}(1)$  are parameterized by the circle, which is identified with  $[0;1]$ , where 0 is identified to 1. To describe a parameterized family of weighted arcs, we shall specify weights that depend upon the parameter  $s \in [0;1]$ . Thus, by taking  $s \in [0;1]$  figure 6 describes a cycle  $\in C_1(1)$  that spans  $H_1(\text{ARC}_{cp}(1))$ .

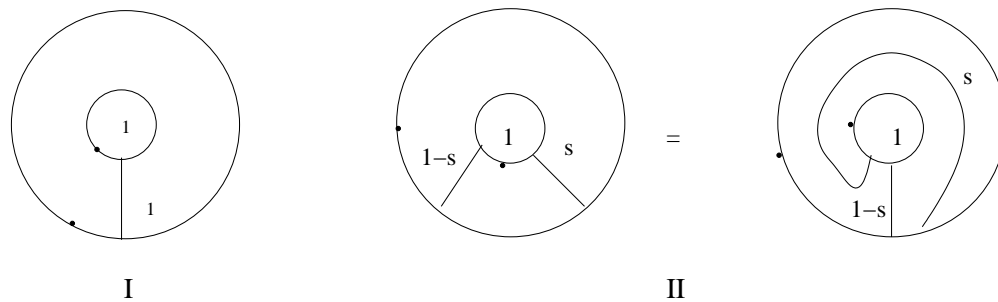


Figure 6: I, the identity and II, the arc family yielding the BV operator

As stated above, there is an operation associated to the family  $\delta$ . For instance, if  $F_1$  is any arc family  $F_1 : k_1 ! ARC_{cp}$ ,  $F_1 \delta$  is the family parameterized by  $1 - k_1 ! ARC_{cp}$  with the map given by the picture by inserting  $F_1$  into the position 1. By definition,

$$F_1 \delta = -2 C_1(1):$$

In  $C(2)$  we have the basic families depicted in figure 7 which in turn yield operations on  $C$ .

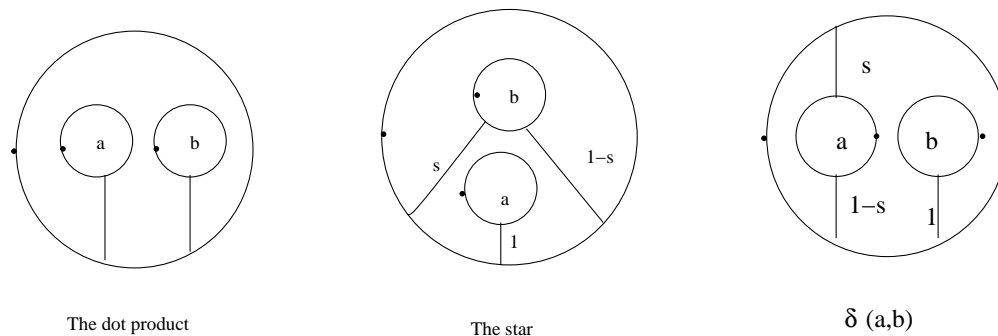


Figure 7: The binary operations

To fix the signs, we fix the parameterizations we will use for the glued families as follows: say the families  $F_1; F_2$  are parameterized by  $F_1 : k_1 ! ARC_{cp}$  and  $F_2 : k_2 ! ARC_{cp}$  and  $I = [0; 1]$ . Then  $F_1 \delta F_2$  is the family parameterized by  $k_1 - k_2 ! ARC_{cp}$  as defined by figure 7 (i.e., the arc family  $F_1$  inserted in boundary  $a$  and the arc family  $F_2$  inserted in boundary  $b$ ).

Interchanging labels 1 and 2 and using  $\delta$  as a chain homotopy as in figure 8 yields the commutativity of  $\delta$  up to chain homotopy

$$d(F_1 \delta F_2) = (-1)^{j_{F_1} j_{F_2}} F_2 \delta F_1 - F_1 \delta F_2 \tag{4}$$

Notice that the product  $\circ$  is associative up to chain homotopy.

Likewise  $F_1 \circ F_2$  is defined to be the operation given by the second family of figure 7 with  $s \in I = [0; 1]$  parameterized over  $k_1 \in I \times k_2 \in \text{ARC}_{cp}$ .

By interchanging the labels, we can produce a cycle  $fF_1 \circ F_2 g$  as shown in figure 8 where now the whole family is parameterized by  $k_1 \in I \times k_2 \in \text{ARC}_{cp}$ .

$$fF_1 \circ F_2 g := F_1 \circ F_2 - (-1)^{(jF_1 j+1)(jF_2 j+1)} F_2 \circ F_1:$$

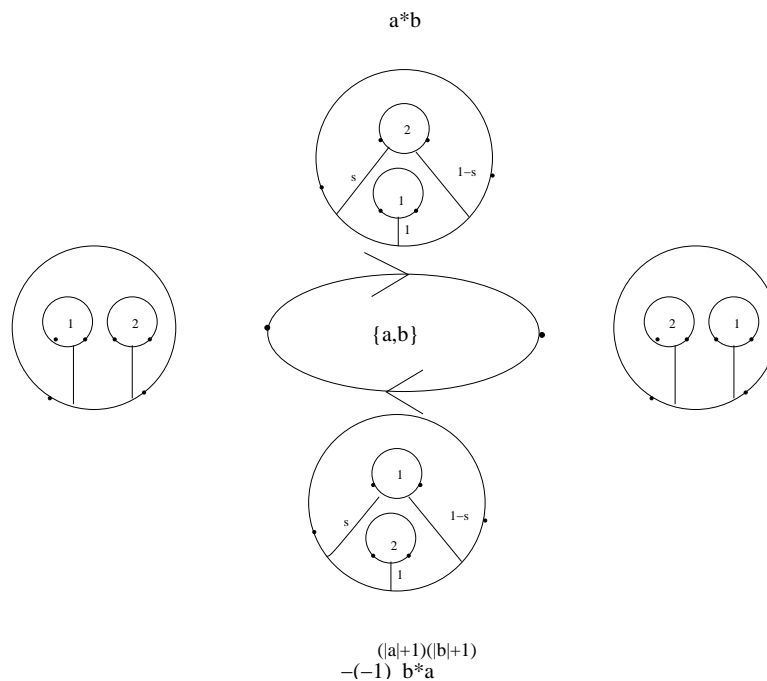


Figure 8: The definition of the Gerstenhaber bracket

**2.2.1 Definition** We have defined the following elements in  $C$  :

and  $\circ = - \circ$  in  $C_1(1)$ ;

in  $C_0(2)$ , which is commutative and associative up to a boundary.

and  $f-; -g$  in  $C_1(2)$  with  $d(\circ) = \circ - \circ$  and  $f-; -g = - \circ$ .

Note that  $\circ$  ; and  $f-; -g$  are cycles, whereas  $\circ$  is not.

**2.2.2 Remark** We would like to point out that the symbol  $\circ$  in the standard super notation of odd Lie brackets  $f a \circ b g$ , which is assigned to have an intrinsic degree of 1, corresponds geometrically in our situation to the one-dimensional interval  $I$ .



### 2.3 The BV operator

The operation corresponding to the arc family is easily seen to square to zero in homology. It is therefore a differential and a natural candidate for a derivation or a higher order differential operator. It is easily checked that it is *not a derivation*, but it *is a BV operator*, as we shall demonstrate.

It is convenient to introduce the family of operations on arc families which are defined by Figure 9, where the families are parameterized over  $k_{a_1} \dots k_{a_n}$ .

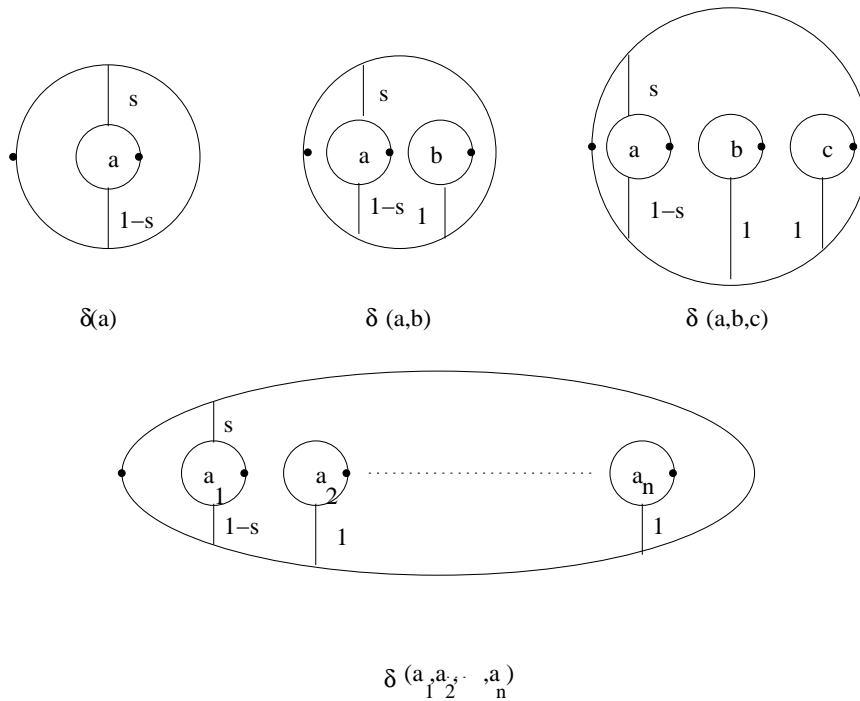


Figure 9: The definition of the  $n$ -ary operations

We notice the following relations which are the *raison d'être* for this definition:

$$\begin{aligned}
 (ab) &= (a; b) + (-1)^{j_a j_b} (b; a) \\
 (abc) &= (a; b; c) + (-1)^{j_a(j_b+j_c)} (b; c; a) \\
 &\quad + (-1)^{j_c(j_a+j_b)} (c; a; b)
 \end{aligned}
 \tag{5}$$

$$(a_1 a_2 \dots a_n) = \sum_{i=0}^{n-1} (-1)^{j_{a_i}(j_{a_1}+\dots+j_{a_n})} (a_{c^i(1)}; \dots; a_{c^i(n)})
 \tag{6}$$

where  $c$  is the cyclic permutation  $(1; \dots; n)$  and  $(c^j; a)$  is the standard super-sign of the permutation. The homotopy here is just a reparameterization of the variable  $s \in I$ .

There is a further relation immediate from the definition which shows that the only "new" operation is  $(a; b)$

$$(a_1; a_2; \dots; a_n) = (a_1; a_2 a_3 \dots a_n) \tag{7}$$

where we use a homotopy to scale all weights of the bands not hitting the boundary 1 to the value 1.

**2.3.1 Lemma**

$$(a; b; c) = (-1)^{jaj+1} jbj b (a; c) + (a; b) c - (a) bc \tag{8}$$

**Proof** The proof is contained in figure 10. Let  $a : k_a \rightarrow \text{ARC}_{cp}$ ,  $b : k_b \rightarrow \text{ARC}_{cp}$  and  $c : k_c \rightarrow \text{ARC}_{cp}$ , be arc families then the two parameter family filling the square is parameterized over  $I \times I \times k_a \times k_b \times k_c$ . This family gives us the desired chain homotopy.  $\square$

**2.3.2 Proposition** *The operator  $(a; b; c)$  satisfies the relation of a BV operator up to chain homotopy.*

$$\begin{aligned} (abc) &= (ab)c + (-1)^{jaj} a (bc) + (-1)^{jsajjbj} b (ac) - (a) bc \\ &\quad - (-1)^{jaj} a (b)c - (-1)^{jaj+jbj} ab (c) \end{aligned} \tag{9}$$

*Thus, any Arc algebra and any  $\text{ARC}_{cp}$  algebra is a BV algebra.*

**Proof** The proof follows algebraically from Lemma 2.3.1 and equation (5). We can also make the chain homotopy explicit. This has the advantage of illustrating the symmetric nature of this relation in  $C$  directly.

Given arc families  $a : k_a \rightarrow \text{ARC}_{cp}$ ,  $b : k_b \rightarrow \text{ARC}_{cp}$  and  $c : k_c \rightarrow \text{ARC}_{cp}$ , we define the two parameter family defined by the figure 11 where the families in the rectangles are the depicted two parameter families parameterized over  $I \times I \times k_a \times k_b \times k_c$  and the triangle is not filled, but rather its boundary is the operation  $(abc)$ .

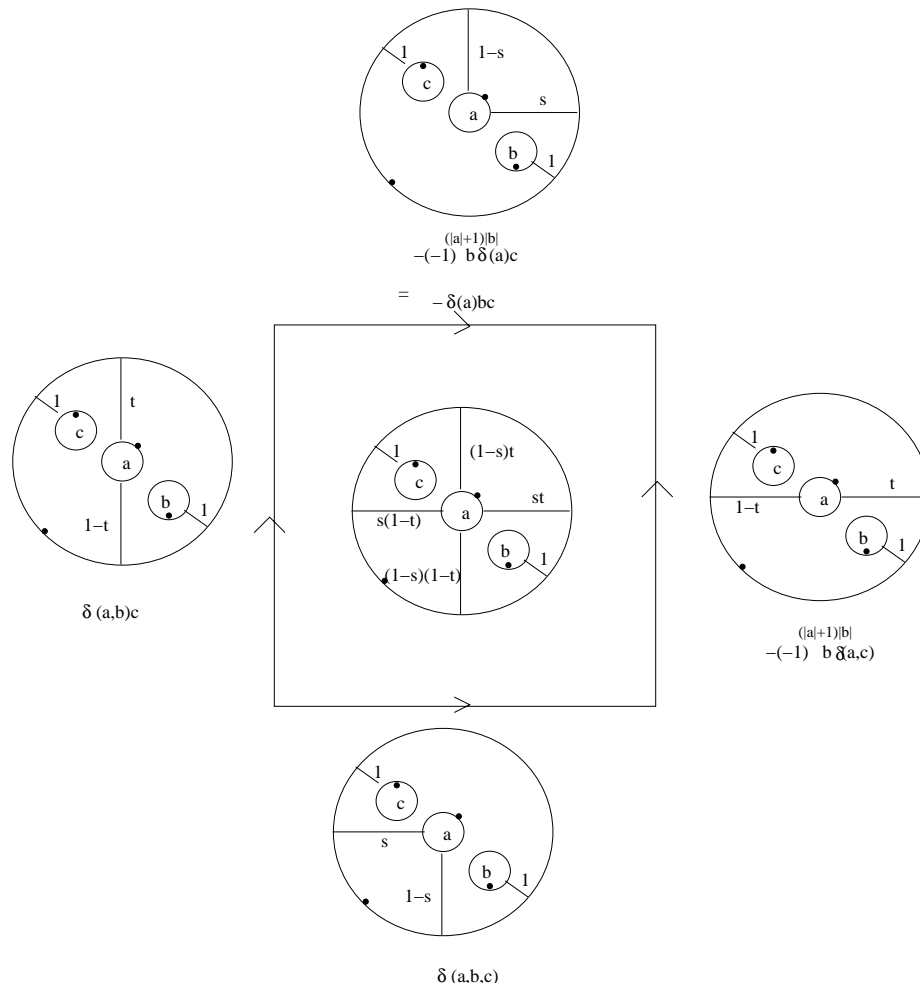


Figure 10: The basic chain homotopy responsible for BV

From the diagram we get the chain homotopy consisting of three, and respectively twelve, terms.

$$\begin{aligned}
 (abc) \quad & (a; b; c) + (-1)^{jaj(jbj+jc)} (b; c; a) + (-1)^{jcj(jaj+jb)} (c; b; a) \\
 & (-1)^{(jaj+1)jbj} b (a; c) + (a; b)c - (a)bc + (-1)^{jaj} a (b; c) \\
 & + (-1)^{jajbj} (b; a)c - (-1)^{jaj} a (b)c + (-1)^{(jaj+jb)jc} a (b; c) \\
 & + (-1)^{bj(jaj+1)+jajcj} b (c; a)c - (-1)^{jaj+jbj} ab (c) \\
 & (ab)c + (-1)^{jaj} a (bc) + (-1)^{ja+1jbj} b (ac) - (a)bc \\
 & - (-1)^{jaj} a (b)c - (-1)^{jaj+jbj} ab (c)
 \end{aligned} \tag{10}$$

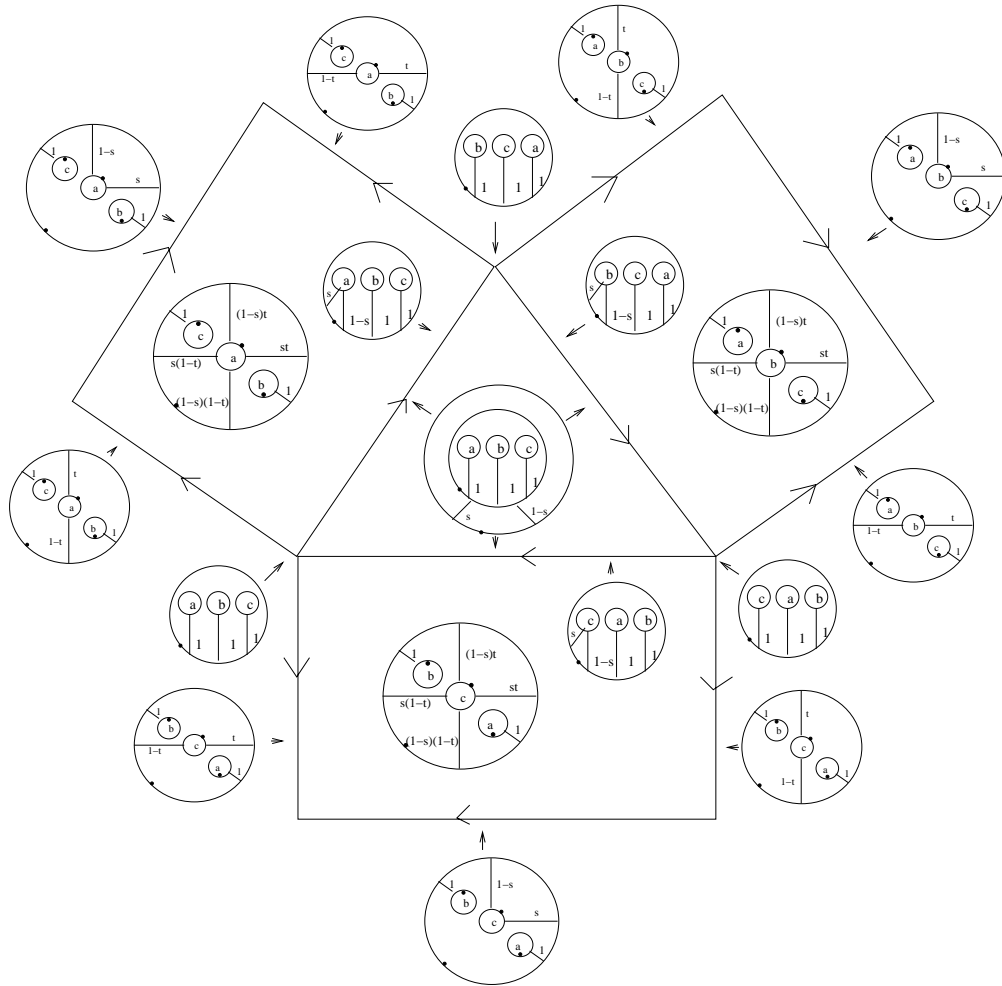


Figure 11: The homotopy BV equation

### 2.4 Gerstenhaber Structure

We have already defined the operation whose odd commutator is given by the BV operator.

**2.4.1 Theorem** *The Gerstenhaber bracket induced by is given by the operation*

$$fa; bg = a b - (-1)^{(ja^{j+1})(jb^{j+1})} b a$$

*In other words ARC is a GBV{Algebra up to homotopy.*

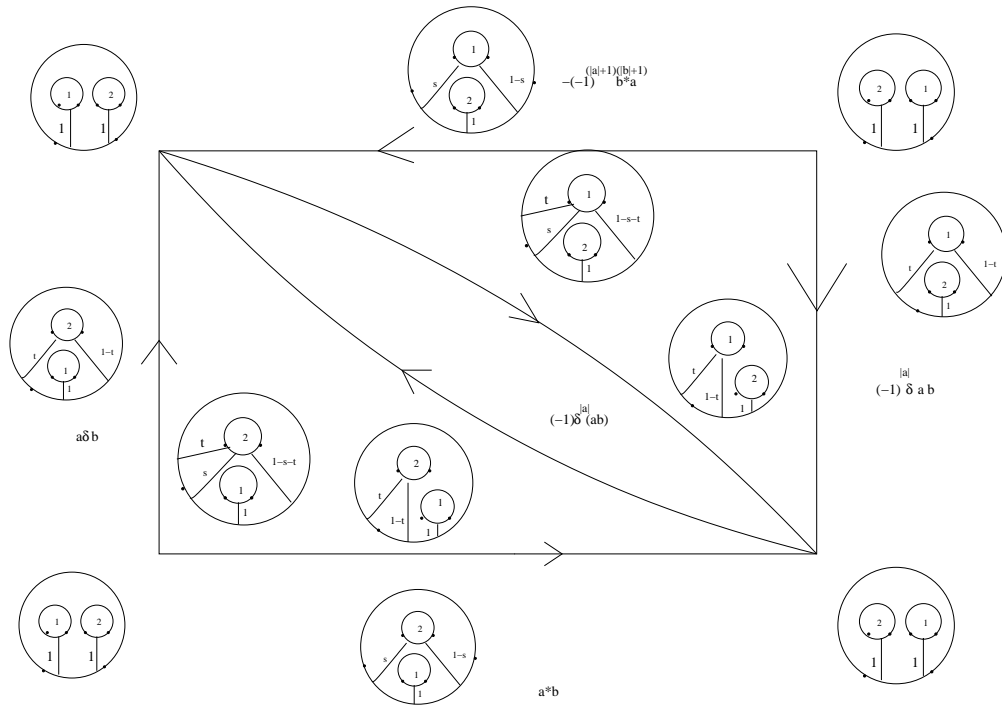


Figure 12: The odd commutator realization of the bracket

**Proof** We consider the arc family depicted in Figure 12, where the two parameter arc families are parameterized via  $k_1 \in T \subset k_2 \in \text{ARC}_{cp}$ , where  $T$  is the triangle  $T := f(s; t) \in [0; 1] \times [0; 1] : s + t = 1$  with induced orientation, and where the middle loop is  $(-1)^{jF_1j} (F_1 \cup F_2)$  suitably reparameterized. Inserting  $F_1$  in the boundary 1, and  $F_2$  in boundary 2, and passing to homology, we can read off the relation:

$$(-1)^{jF_1j} (F_1 \cup F_2) = (-1)^{(jF_1j+1)(jF_2j+1)} F_1 \cup F_2 + (-1)^{jF_1j} (F_1) \cup F_2 - F_1 \cup (F_2) + F_1 \cup (F_2)$$

or with  $\delta = -$  :

$$fF_1; F_2g = (-1)^{jF_1j} (F_1) \cup F_2 - (-1)^{jF_1j} (F_1) \cup F_2 - F_1 \cup (F_2)$$

**2.4.2 Remark** Algebraically, the Jacobi identity and the derivation property of the bracket follow from the BV relation. For the less algebraically inclined we can again make everything topologically explicit. This also has the virtue of showing how different weights contribute to topologically distinct gluings.

This treatment also shows that we can restrict ourselves to the case of linear (Chinese) trees of section 3 or to cacti without spines (cf. section 5 and [7]) and therefore have a Gerstenhaber structure on this level.

**2.4.3 The associator**

It is instructive to do the calculation in the arc family picture with the operadic notation. For the gluing  $\gamma_1$  we obtain the elements in  $C_2(2)$  presented in figure 13 to which we apply the homotopy of changing the weight on the boundary 3 from 2 to 1 while keeping everything else fixed. We call this normalization.

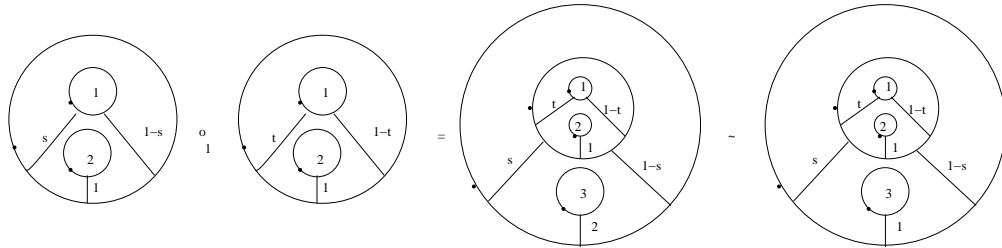


Figure 13: The first iterated gluing of

Unraveling the definitions for the normalized version yields figure 14, where in the different cases the gluing of the bands is shown in figure 15.

The gluing  $\gamma_2$  in arc families is simpler and yields the gluing depicted in figure 16 to which we apply a normalizing homotopy  $\gamma_1$  by changing the weights on the bands emanating from boundary 1 from the pair  $(2s; 2(1-s))$  to  $(s; (1-s))$  using pointwise the homotopy  $(\frac{1+t}{2}2s; \frac{1+t}{2}(1-s))$  for  $t \in [0; 1]$ :

Combining figures 14 and 16 while keeping in mind the parameterizations we can read off the pre-Lie relation:

$$F_1(F_2, F_3) - (F_1, F_2)F_3 = (-1)^{(j_{F_1}j+1)(j_{F_2}j+1)}(F_2(F_1, F_3) - (F_2, F_1)F_3) \quad (11)$$

which shows that the associator is symmetric in the first two variables and thus following Gerstenhaber [3] we obtain:

**2.4.4 Corollary** *The bracket  $f; g$  satisfies the odd Jacobi identity.*

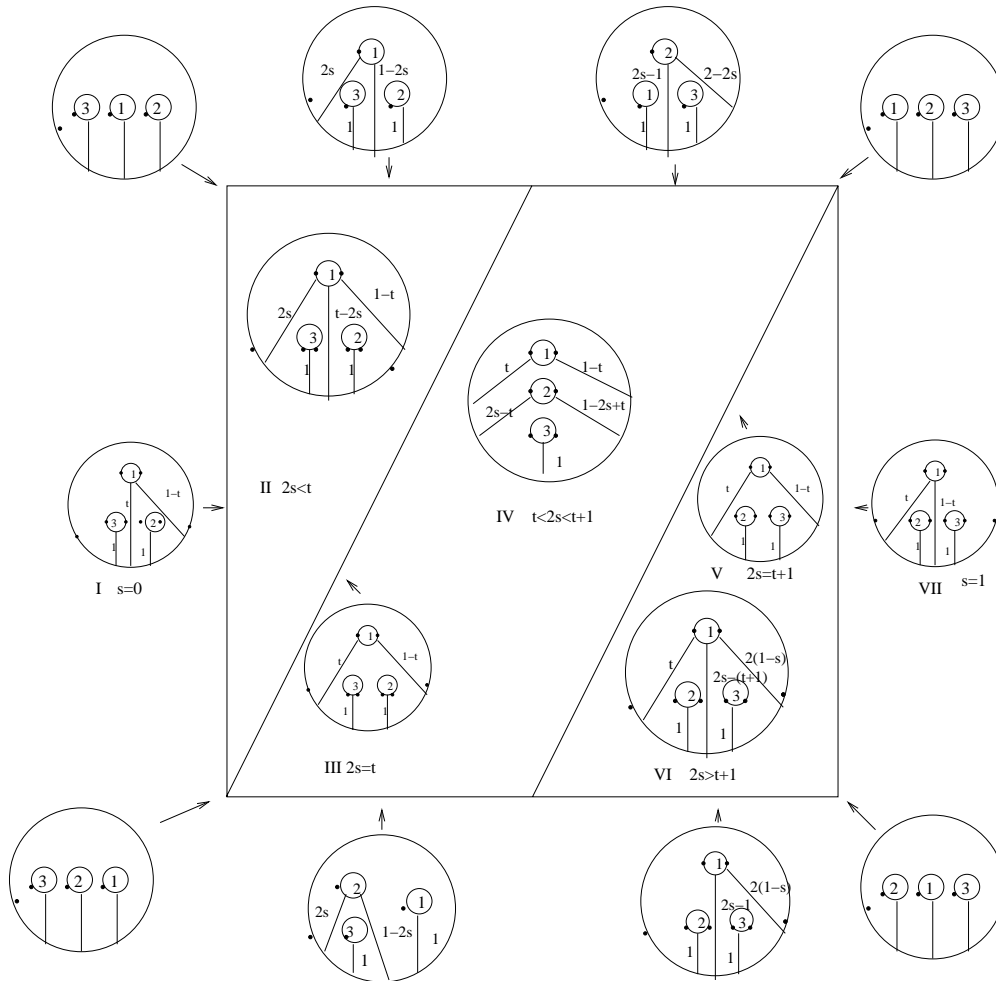


Figure 14: The glued family after normalization

### 2.4.5 The Gerstenhaber structure

The derivation property of the bracket follows from the compatibility equations which are proved by the relations represented by the two diagrams 17 and 18. The first case is just a calculation in the arc family picture. In the second case the arc family picture also makes it very easy to write down the family inducing the chain homotopy explicitly. We fix arc families  $a; b; c$  parameterized over  $k_a; k_b; k_c$  respectively.

First notice that the family parameterized by  $k_a \cup k_b \cup k_c$ , which is depicted

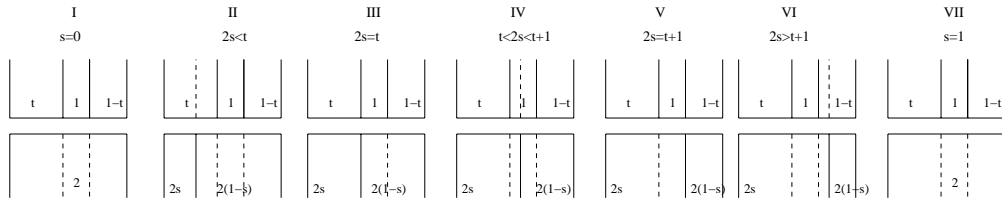


Figure 15: The different cases of gluing the bands

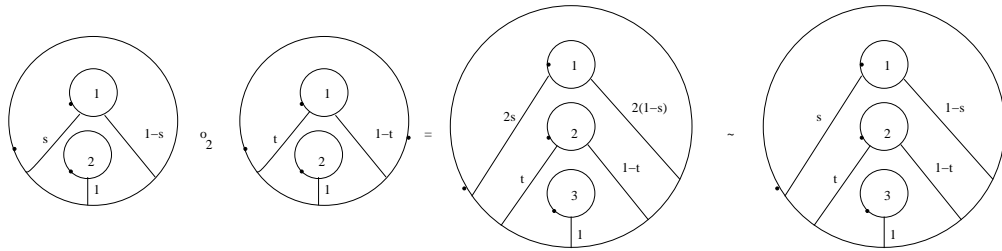


Figure 16: The other iteration of

in figure 17, illustrates that

$$a(bc) = (a-b)c + (-1)^{bj(j+1)} b(a-c)$$

Second, the special two parameter family shown in figure 18 { where the two

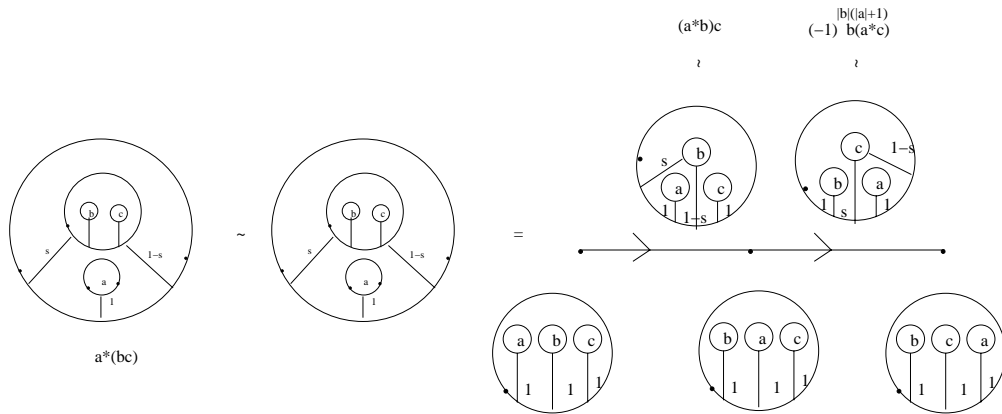


Figure 17: The first compatibility equation

parameter arc family realizing the chain homotopy is parameterized over  $K_a$   $K_b$   $T$   $K_c$  with  $T := f(s; t) \in [0; 1] \times [0; 1] : s + t = 1$  gives the chain



homotopy

$$(ab) \cdot c = a(b \cdot c) + (-1)^{bj(jc+1)} (a \cdot c)b$$

In both cases, we used normalizing homotopies as before.

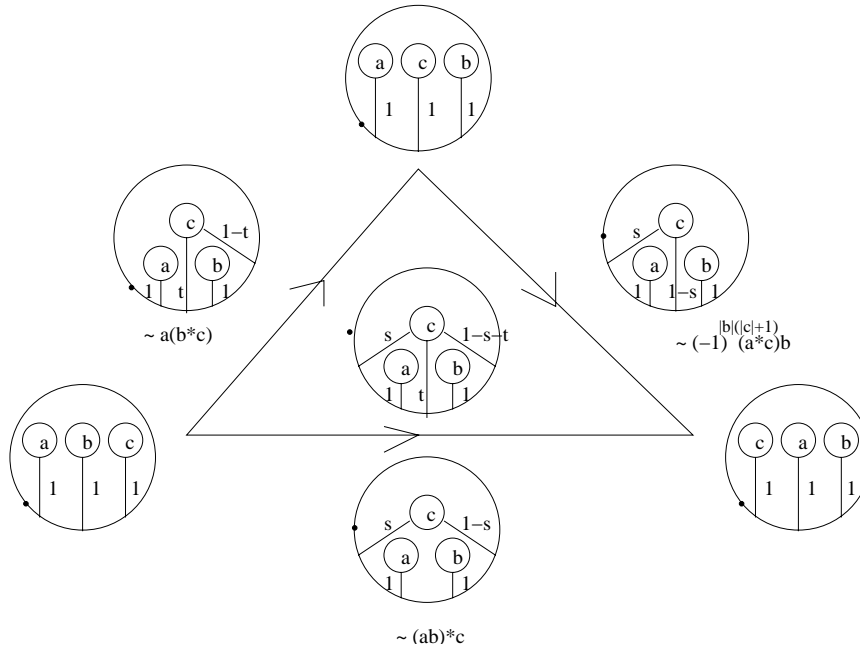


Figure 18: The second compatibility equation

These two equations imply that

$$f_{a;b} \cdot c - f_{a;b \cdot c} = (-1)^{bj(jc+1)} b \cdot f_{a;c} - f_{a \cdot c; b}; \tag{12}$$

and we obtain:

**2.4.6 Proposition** *The bracket  $f ; g$  is a Gerstenhaber bracket up to chain homotopy on  $C(ARC_{cp})$  or  $C(ARC)$  for the product  $\cdot$ .*

Summing up, we obtain:

**2.5 Theorem** *There is a BV structure on  $C(ARC_{cp})$  or  $C(ARC)$  up to explicit chain boundaries. The induced Gerstenhaber bracket is also given by such explicit boundaries. This bracket is compatible with a product (associative and commutative up to explicit boundaries) given by a point in  $C_0(ARC_{cp}(2))$ .*

**2.6 Corollary** *All  $Arc_{cp}$  and Arc algebras are Batalin-Vilkovisky algebras. The Gerstenhaber structure induced by the BV operator coincides with the bracket induced by the pre-Lie product . Hence all  $Arc_{cp}$  and Arc algebras are GBV algebras.*

### 3 Cacti as a suboperad of $ARC$

In the last section (section 2), we exhibited a GBV structure up to chain homotopy on  $C$  ( $ARC$ ). Inspecting the arc families realizing the relevant homotopies, we observe that the Gerstenhaber structure is already present in a suboperad which we shall discuss here. This suboperad, called "linear trees", corresponds to the "spineless cacti" of [7]. Furthermore for the BV operator, we only need to add the one more operation , so that the BV structure is realized on the suboperad generated by spineless cacti and  $ARC_{cp}(1)$ . Lastly the suboperad generated by spineless cacti is contained in the suboperad generated by cacti and the two Gerstenhaber structures agree, i.e. the one coming from the BV operator and the previously defined bracket.

We will furthermore show that this operad is the image of an embedding (up to homotopy) of Voronov cacti [16] into  $ARC$ .

By the results of [1, 16], algebras over the cacti operad have a BV structure. The map of operads we construct will thus induce the structure of BV algebras for algebras over the homology of  $ARC$ . This induced structure is indeed the same as that defined in section 2 as can be seen from the embedding and our explicit realization of all relevant operations.

#### 3.1 Suboperads

**3.1.1 Definition** The *trees suboperad* is defined for arc families in surfaces with  $g = s = 0$  in the notation of 1.6.3 by the allowed incidence matrix  $A^{(n)}$ , whose non-zero entries are  $a_{0i} = 1 = a_{i0}$ , for  $i = 1; \dots; n$ , and required incidence relations  $R^{(n)}$ , whose entries are all equal to one.

This is a suboperad of  $ARC_{cp}$ , and a representation of it as a collection of labeled trees can be found in [7].

Dropping the requirement that  $g = s = 0$ , we obtain a suboperad of  $ARC$  called the *rooted graphs* or *Chinese trees* suboperad.

**3.1.2 Remark** We have already observed that there is a linear and  $|$  by forgetting the starting point  $|$  a cyclic order on the set of arcs incident on each boundary component. In the (Chinese) trees suboperad all bands must hit the 0{th component, which induces a linear and a cyclic order on all of the bands. Furthermore the cyclic order is "respected" for trees, in the sense that the bands meeting the  $i$ {th component form a cyclic subchain in the cyclic order of all bands, for Chinese trees, this is an extra condition. And again all Chinese trees which satisfy this condition form a suboperad which we call the *cyclic Chinese trees*. The linear order is, however, not even respected for trees, as can easily be seen in  $ARC_{cp}(1)$ .

**3.1.3 Linearity Condition** We say that an element of the (cyclic Chinese) trees suboperad satisfies the Linearity Condition if the linear orders match, i.e., the bands hitting each boundary component in their linear order are a subchain of all the bands in their linear order derived from the 0{th boundary.

It is easy to check that this condition is stable under composition.

We call the suboperad of elements satisfying the Linearity Condition of the (cyclic Chinese) trees operad the *(cyclic Chinese) linear trees operad*.

**3.1.4 Proposition** *The suboperad generated by (cyclic Chinese) linear trees and  $ARC_{cp}(1)$  inside  $ARC$  coincides with (cyclic Chinese) trees.*

**Proof** Given a (Chinese) tree we can make it linear by gluing on twists from  $ARC(1)$  at the various boundary components as these twists have the effect of moving the marked point of the boundary around the boundary. Since the cyclic order is already respected, such twists may be applied to arrange that the linear orders agree. Since (Chinese) linear trees and  $ARC(1)$  lie inside the (Chinese) trees operad the reverse inclusion is obvious.  $\square$

## 3.2 Cacti

There are several species of cacti, which are defined in [7], to which we refer the reader for details. By *cacti*, we mean Voronov cacti as defined in [16], i.e., as connected, planar tree-like configurations of parameterized loops, together with a marked point on the configuration. This point, called "global zero", defines an outside circle or perimeter by taking it to be the starting point and then going around all loops in a counterclockwise fashion by jumping onto the next loop (in the induced cyclic order) at the intersection points. The *spineless*

variety of cacti is obtained by postulating that the local zeros defined by the parameterizations of the loops coincide with the first intersection point of the perimeter with a loop (sometimes called a "lobe") of the cactus.

The gluing  $\gamma_i$  of two cacti  $C_1$  and  $C_2$  is done by first scaling in such a way that the length of  $i$ th lobe of  $C_1$  matches the length of the outside circle of  $C_2$  and then inserting the cactus into the  $i$ th lobe by using the parameterization as gluing data.

### 3.2.1 Scaling of a cactus

Cacti and spineless cacti both come with a universal scaling operation of  $\mathbb{R}_{>0}$  which simultaneously scales all radii by the same factor  $\in \mathbb{R}_{>0}$ . This action is a free action and the gluing descends to the quotient by this action.

### 3.2.2 Left, Right and Symmetric Cacti

For the operadic gluings one has three basic possibilities to scale in order to make the size of the outer loop of the cactus that is to be inserted match the size of the lobe into which the insertion should be made.

- (1) Scale down the cactus which is to be inserted. This is the original version { we call it the right scaling version.
- (2) Scale up the cactus into which will be inserted. We call it the left scaling version.
- (3) Scale both cacti. The one which is to be inserted by the size of the lobe into which it will be inserted and the cactus into which the insertion is going to be taking place by the size of the outer loop of the cactus which will be inserted. We call this it the symmetric scaling version.

All of these versions are of course homotopy equivalent and in the quotient operad of *cacti* by overall scalings, the projective cacti  $cacti = \mathbb{R}_{>0}$  they all descend to the same glueing.

### 3.2.3 Framing of a spineless cactus

We will give a map of spineless cacti into *ARC* called a framing. First notice that a spineless cactus can be decomposed by the initial point and the intersection points into a sequence of arcs following the natural orientation given

by the data. These arcs are labeled by their lengths as parts of parameterized unit circles. To frame a given spineless cactus, draw a pointed circle around it and run an arc from each arc of the cactus to the outside circle respecting the linear order, starting with the initial arc of the cactus as the first arc emanating from the outside circle in its orientation. Label each such arc by the parameter associated to the arc of the cactus.

We can think of attaching wide bands to the arc of the cactus. The widths of the bands are just the lengths of the arcs to which they are attached. Using these bands we identify the outside circle with the circumference of the cactus. Notice that this "outside" circle appears in the gluing formalism for cacti (see figures 19 to 22).

**3.2.4 Proposition** *The operation of framing gives a map of operads of spineless cacti into  $ARC_{cp}$   $ARC$  whose image lies in the linear trees suboperad. Moreover we have a commutative square*

$$\begin{array}{ccc}
 \text{spineless cacti} & \xrightarrow{\text{frame}} & DARC \\
 \downarrow \cong & & \downarrow \cong \\
 \text{spineless cacti} = \mathbb{R}_{>0} & \xrightarrow{\text{frame}} & ARC
 \end{array}$$

where *frame* is defined by taking any lift of a spineless cactus/ $\mathbb{R}_{>0}$  to spineless cacti. The map *frame* becomes an operadic map, if one uses the symmetric glueing for cacti.

**Proof** It is obvious that the image of the framing of spineless cacti lies inside the linear trees suboperad. It is also clear that two cacti which differ by a scaling of the type 3.2.1 get mapped to the same arc family, so that the framing factors through spineless cacti modulo  $\mathbb{R}_{>0}$ . The gluings for cacti and arc families are equivariant with respect to framing, as is obvious from the point of view of identifying the outside circle with the circumference of a cactus. By considering weights rather than projective weights on the arcs of a framing of a cactus, we can lift the framing of a cactus to *DARC*. □

To illustrate this map we will provide several examples.

### 3.2.5 The basic operations of spineless cacti and their images

The operations: id, dot and  $\cup$  are pictured in figure 19 as well as their images. This accounts for all 1 and 2 boundary cacti up to an overall normalization.

The generic cacti and their images are presented in figures 20 and 21. All degenerate configurations are contained in the examples of figure 21.

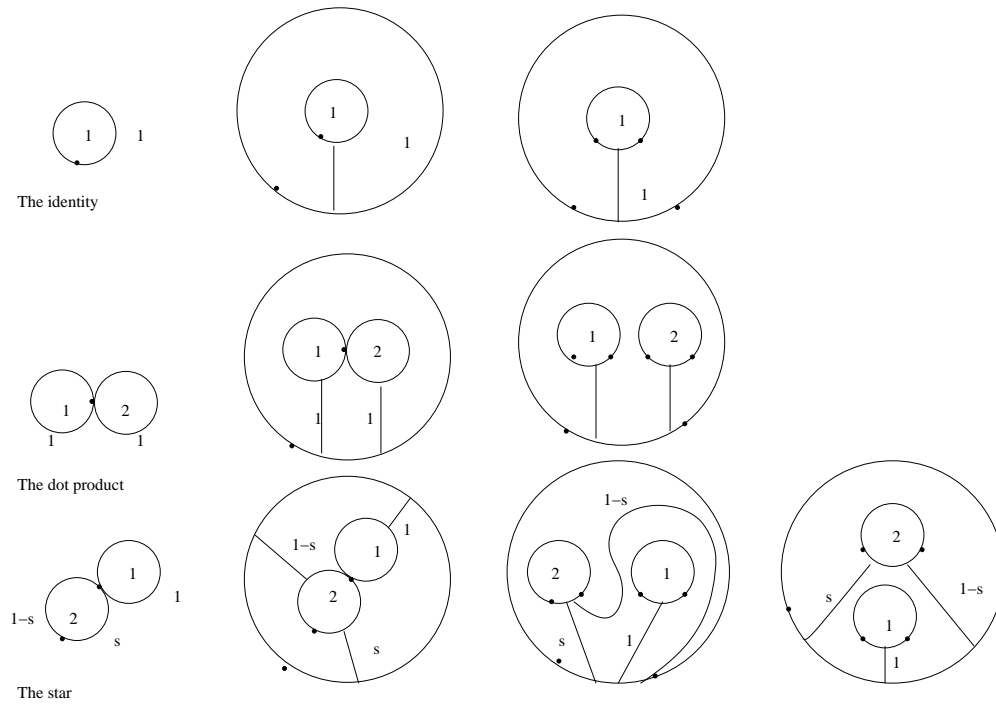


Figure 19: The generators of spineless cacti up to normalization

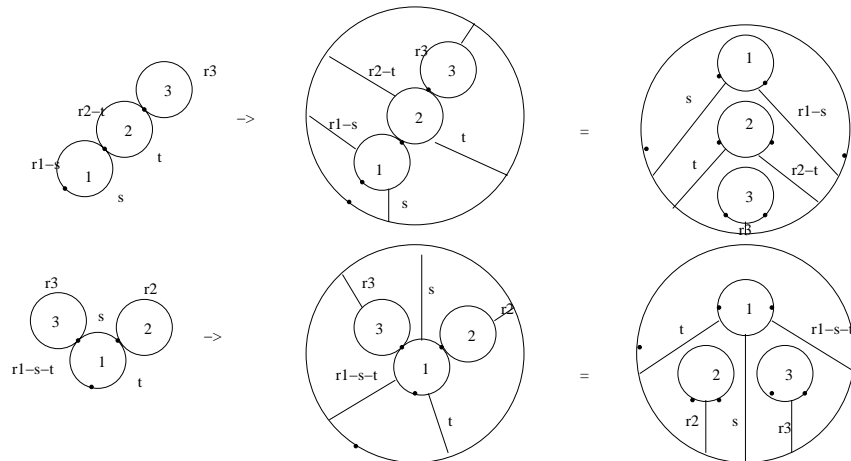


Figure 20: The generic three boundary spineless cacti

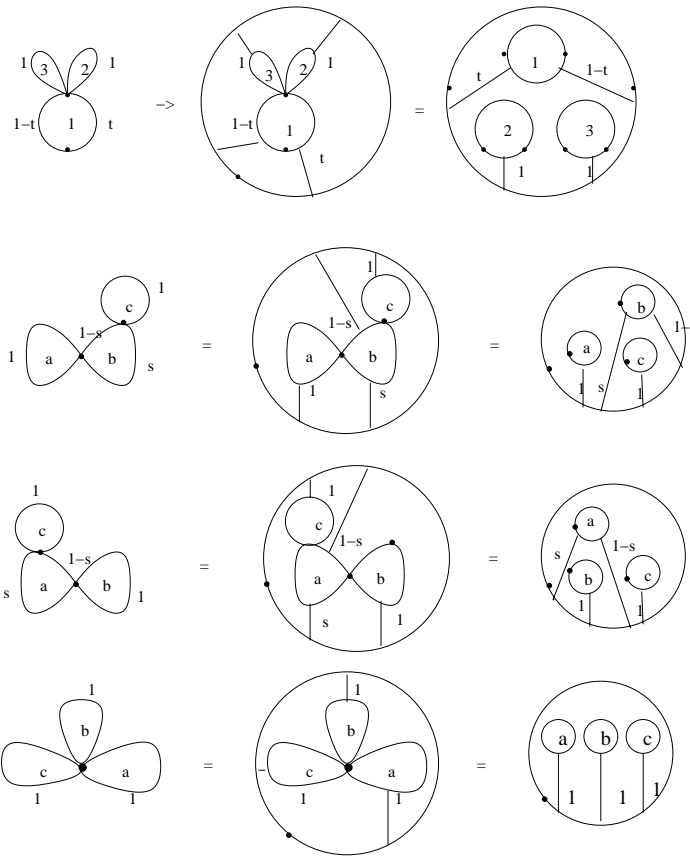


Figure 21: Special three boundary spineless cacti and their images

**3.2.6 Example** As a bene  $t$ , an example of a framing of a four boundary cactus is included in Figure 22.

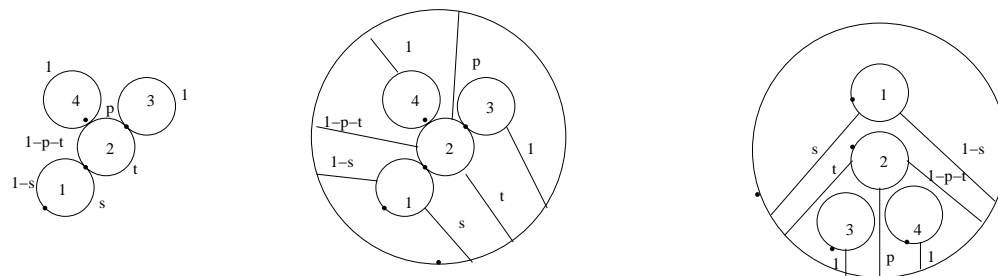


Figure 22: A four boundary spineless cactus

### 3.3 Framing of a cactus

We wish to conclude this section with the framing procedure for cacti. It is essentially the same as for spineless cacti. We decompose the cactus into the arcs of its perimeter, where the break point of a cactus with spines are the intersection points, the global zero and the local zeros. One then runs an arc from each arc to an outside pointed circle which is to be drawn around the cactus configuration. The arcs should be embedded starting in a counterclockwise fashion around the perimeter of the circle. The marked points on the inside boundaries correspond to the local zeros of the inside circles viz. lobes of the cactus.

Two examples of this procedure are provided in figure 23.

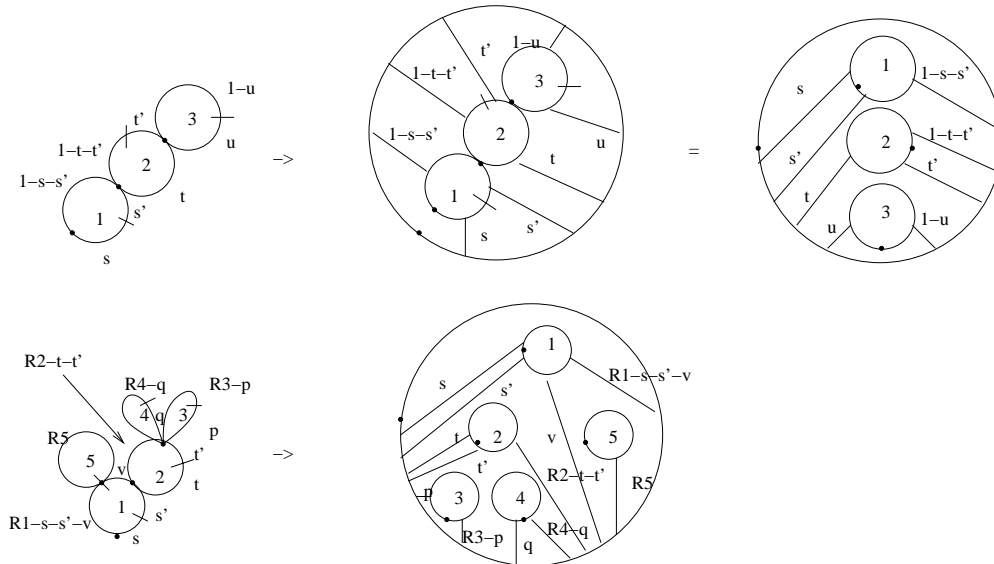


Figure 23: Framings of cacti

Analogously to Proposition 3.2.4 we have:

**3.3.1 Proposition** *The operation of framing gives a map of operads of cacti into  $ARC_{cp}$  ARC whose image lies in the trees suboperad. Moreover we have a commutative square*

$$\begin{array}{ccc}
 \text{cacti} & \xrightarrow{\text{frame}} & \text{DARC} \\
 \begin{matrix} ? \\ ? \\ y \end{matrix} & & \begin{matrix} ? \\ ? \\ y \end{matrix} \\
 \text{cacti}=\mathbb{R}_{>0} & \xrightarrow{\text{frame}} & \text{ARC}
 \end{array}$$



where  $\text{frame}$  is defined by taking any lift of a cactus/ $\mathbb{R}_{>0}$  to cacti and we again regard symmetric glueing for cacti.

**3.4 Remark** In the previous section, the arc families we employed to provide the homotopies to exhibit the GBV structure had another common characteristic: their total weights at all boundary components except at the 0{th boundary component were all equal (to one). This property is not stable under gluing though. However, one can define a quasi-operad of normalized cacti [7], where a quasi-operad is an operad which is not necessarily associative. Spineless cacti are however homotopy associative as an operad and moreover there is a chain decomposition for spineless cacti whose cellular chains give an honest operad under the induced compositions [7]. Furthermore this cellular operad gives a solution to Deligne's conjecture on the Hochschild cohomology of an associative algebra [8]. Under framing normalized spineless cacti are a quasi-suboperad up to homotopy, i.e., the glueings are not equivariant, but are equivariant up to homotopy. In fact in [7] it is shown that (spineless) cacti are the direct product of normalized (spineless) cacti and a scaling operad built on  $\mathbb{R}_{>0}$ , and moreover all structures such as the Gerstenhaber and BV can be defined on the level of normalized cacti.

## 4 The Loop of an arc family

Given a surface with arcs we can forget some of the structure and in this way either produce a collection of loops or one loop which is given by using the arcs as an equivalence relation. Using these two maps we obtain an operation of the Chinese trees suboperad of  $ARC$  on the loop space of any manifold on the homological level.

We expect that this action can be enlarged to all of  $ARC$ , but for this we would need a generalization of the results of Cohen and Jones [2], which we intend to study elsewhere.

### 4.0.1 The boundary circles

Given an exhaustive weighted arc family  $(\ )$  in the surface  $F$ , we can consider the measure-preserving maps

$$\epsilon_i^{(\ )} : @_i(\ ) \rightarrow S_{m_i}^1 \quad (13)$$

where  $S_r^1$  is a circle of radius  $r$  and  $m_i = \sum_{\alpha \in \mathcal{A}_i} \alpha$  is the total weight of the arc family at the  $i$ {th} boundary. Combining these maps, we obtain

$$\epsilon : \mathcal{A} \rightarrow \prod_{i=1}^n S_{m_i}^1 \tag{14}$$

Choosing a measure on  $\mathcal{A}F$  as in section 1 to identify  $\mathcal{A}(\ )$  with  $\mathcal{A}F$ , we naturally obtain a map

$$circ : \mathcal{A}F \rightarrow \prod_{i=1}^n S_{m_i}^1 \tag{15}$$

Notice that the image of the initial points of the bands give well-defined base-points  $0 \in S_{m_i}^1$  for each  $i$ .

### 4.1 The equivalence relations induced by arcs

On the set  $\mathcal{A}(\ )$  there is a natural reflexive and symmetric relation given by  $p \sim_{fol} q$  if  $p$  and  $q$  are on the same leaf of the partial measured foliation.

**4.2 Definition** Let  $\sim$  be the equivalence relation on  $\prod_{i=1}^n S_{m_i}^1$  generated by  $\sim_{fol}$ . In other words  $p \sim q$  if there are leaves  $l_j$ , for  $j = 1, \dots, m$ , so that  $p \in \epsilon(\mathcal{A}(l_1))$ ;  $q \in \epsilon(\mathcal{A}(l_n))$  and  $\epsilon(\mathcal{A}(l_j)) \cap \epsilon(\mathcal{A}(l_{j+1})) \neq \emptyset$ .

**4.2.1 Remark** It is clear that neither the image of  $circ \mid \mathcal{A}(\ )$  which will denote by  $circ(\mathcal{A}(\ ))$  nor the relation depends upon the choice of measure on  $\mathcal{A}F$ .

**4.3 Definition** Given a deprojectivized arc family  $(\ ) \in DARC$ , we define  $Loop(\mathcal{A}(\ )) = circ(\mathcal{A}(\ ))$  and denote the projection map  $\pi : circ(\mathcal{A}(\ )) \rightarrow Loop(\mathcal{A}(\ ))$ .

Furthermore, we define two maps taking values in the monoidal category of pointed spaces:

$$int(\mathcal{A}(\ )) = \prod_{i=1}^n (\epsilon_i(\mathcal{A}(\ )) ; (i)) \tag{16}$$

$$ext(\mathcal{A}(\ )) = ((\epsilon_0(\mathcal{A}(\ )) ; (0)) \tag{17}$$

and call them the internal and external loops of  $(\ )$  in  $Loop(\mathcal{A}(\ ))$ . We denote the space with induced topology given by the collection of images  $Loop(\mathcal{A}(\ ))$  of all  $(\ ) \in DARC(n)$  by  $Loop(n)$ .

Notice that there are  $n + 1$  marked points on  $Loop(\mathcal{A}(\ ))$  for  $(\ ) \in DARC(n)$

Examples of loops of an arc family are depicted in figures 24{26. In figure 26 I the image of the boundary 1 runs along the outside circle and then around the inside circle. The same holds for the boundary 3 in figure 26 II. In both 26 I and II, the outside circle and its basepoint are in bold.

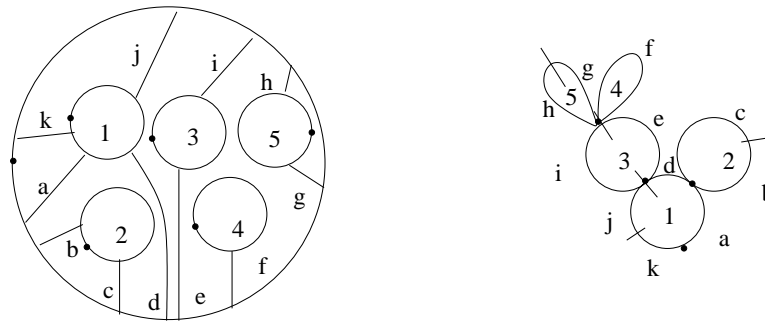


Figure 24: An arc family whose loop is a cactus

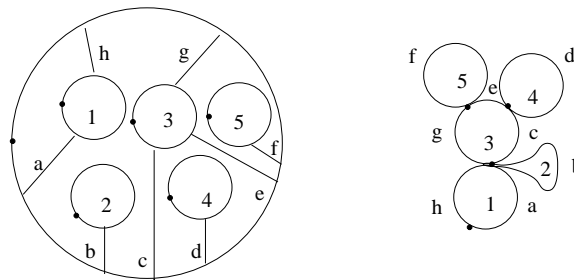


Figure 25: An arc family whose loop is a cactus without spines

**4.3.1 Remark** There are two types of intersection points for pairs of loops. The first are those coming from the interiors of the bands; these points are double points and occur along entire intervals. The second type of multiple point arises from the boundaries of the bands via the transitive closure; they can have any multiplicity but are isolated.

#### 4.4 From loops to arcs

If the underlying surface of an arc family satisfies  $g = s = 0$ , then its Loop together with the parameterizations uniquely determines the arc family. In other words, the map *frame* is a section of *Loop*.

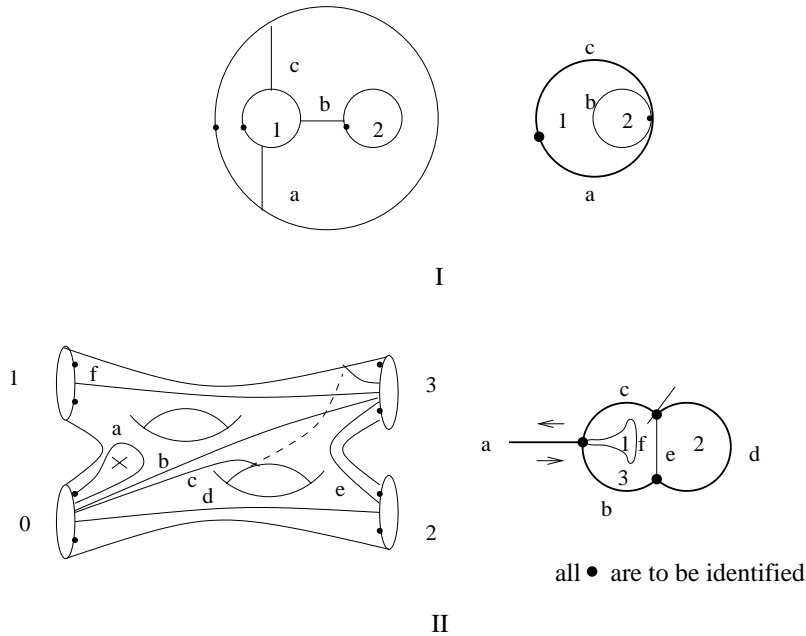


Figure 26: Loops of arc families not yielding cacti: I, genus 0 case, II, genus 2 with one puncture

**4.4.1 Definition** A *con guration of circles* is the image of a surjection  $\rho : \prod_{i=1}^n S^1 \rightarrow L$  of metric spaces such that each point of  $L$  lies in the image of at least two components and the intersections of the images of more than two components are isolated. Let  $Config(n)$  be the space of all such con gurations of  $n + 1$  circles with the natural topology. We call a con guration of circles *planar*, if  $L$  can be embedded in the plane with the natural orientation for all images  $S^1_i : i \neq 0$  coinciding with the induced orientation and the opposite orientation for  $S^1_0$ . We call the space of planar con gurations of  $n + 1$  circles  $Config_p(n)$ .

**4.4.2 Proposition** The map  $Loop : DAR C(n) \rightarrow Config_p(n)$  is surjective.

**Proof** To describe a right inverse, let  $(\rho := \prod_{i=1}^n S^1_i \rightarrow L) \in Config_p(n)$ , let  $\iota$  be an embedding of  $L$  and  $F_{0;n+1}^0$  in the plane, let  $\iota$  be an identification of each boundary component with  $S^1$ , and identify the disjoint union of these boundary components regarded as labeled circles with the source of  $\rho$ . On  $L$ , remove the images of the basepoints. For each component of dimension one in the intersection of two of the components of the map  $\rho$ , draw an arc between the

respective boundaries on  $F_{0;n+1}^0$  of width given by the length of that component. These arcs are to be embedded in the linear order dictated by the various parameterizations and incidence conditions in the plane. If it happens in this way that an arc arises that is parallel to the boundary, then we insert a puncture in the region between the arc and the respective boundary. Since the higher order intersections are isolated they do not contribute. It is easily checked that this yields a right inverse of Loop.  $\square$

**4.4.3 Proposition and Definition** *The deprojectivized arc families such that  $j_{\epsilon(@_0)}(\ ) = Loop(\ )$  constitute a suboperad of ARC. We call this suboperad LOOP.*

**4.4.4 Proposition** *If  $(\ ) \in LOOP$  then  $Loop(\ )$  is a cactus. Furthermore, the operad LOOP is identical to the operad of Chinese trees.*

**Proof** If  $\epsilon(@_0)(\ ) \in Loop(\ )$ , then there are no arcs running between two boundary components if neither is  $@_0$ . Furthermore, since  $j_{@_0}(F) \in \epsilon(@_0)(\ )$ , there is no arc running from  $@_0$  to  $@_0$ .  $\square$

Collecting the results above, we have shown:

**4.5 Theorem** *The framing of a cactus is a section of Loop and is thus an embedding. This embedding identifies (normalized and/or spineless) cacti as (normalized and/or linear) trees.*

$$\begin{array}{ccc}
 & \text{Loop} & \\
 & \text{-----} & \\
 \text{(spineless) cacti} & \xrightarrow[\text{frame}]{\text{---} \! /} & \text{DARC} \\
 \begin{array}{c} ? \\ ? \\ \dot{y} \end{array} & & \begin{array}{c} ? \\ ? \\ \dot{y} \end{array} \\
 \text{(spineless) cacti} = \mathbb{R}_{>0} & \xrightarrow[\text{frame}]{\text{---} \! /} & \text{ARC} \\
 & \text{-----} & \\
 & \text{Loop} & 
 \end{array}$$

where Loop is defined by choosing any lift and the glueing for cacti is the symmetric glueing.

## 4.6 Comments on an action on the loop space

Given a manifold  $M$  we can consider its loop space  $LM$ . Using the configuration we have maps

$$\text{Arc}(n) \xrightarrow{\text{Loop-id}} \text{Config}(n) \xrightarrow{LM^n} \xrightarrow{i} L^{\text{Config}(n)} M \xrightarrow{e} LM \quad (18)$$

where  $L^{\text{Config}(n)} M$  are continuous maps of the images  $L$  of the configurations into  $M$ , i.e., such a map takes a configuration  $p : \coprod_i S_{m_i}^1 \rightarrow L$  and produces a continuous  $f : L \rightarrow M$ ; the maps  $i; e$  are given by  $i(f) = (p : \coprod_i S_{m_i}^1 \rightarrow L; f(p(S_{m_1}^1), \dots, f(p(S_{m_n}^1)))$  and  $e(f) = f(p(S_{m_0}^1))$ .

One would like to follow the Pontrjagin-Thom construction of [2, 16] so that the maps  $i; e$  in turn would induce maps on the level of homology

$$\begin{aligned} \backslash H(\text{ARC}(n)) & \xrightarrow{H(LM^n)} \backslash H(\text{DARC}(n)) \xrightarrow{H(LM^n)^{L\text{op}}} \\ & \xrightarrow{H(\text{Config}(n))} \xrightarrow{H(LM^n)^{\sharp}} \xrightarrow{H(L^{\text{Config}(n)} M)} \xrightarrow{H(LM)} \end{aligned} \quad (19)$$

where  $\sharp$  is the "Umkehr" map, but the map  $\sharp$  is only well defined on the subspace of cacti.

If we restrict ourselves to this subspace we obtain from the above following [1, 16, 2]:

**4.6.1 Proposition** *The homology of the loop space of a manifold is an algebra over the suboperad of cyclic Chinese trees.*

### 4.6.2 Remarks

- (1) It is clear that one desideratum is the extension of this result to all of  $\text{ARC}$ .
- (2) The first example of an operation of composing loops which are not cacti would be given by the *Loop* of the pair of pants with three arcs as depicted in figure 27. This kind of composition first appeared in the considerations of closed string field theory.
- (3) If the image of *Loop* is not connected, then the information is partially lost. This can be remedied however by using a prop version of our operad.
- (4) Factoring the operation through *Loop* has the effect that the internal topological structure is forgotten; thus, the torus with two boundary components has the same effect as the cylinder for instance.

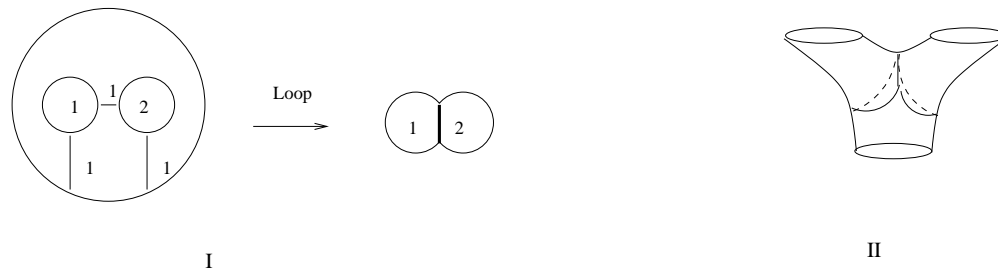


Figure 27: I, the Loop of a symmetric pair of pants, II, a closed string field theory picture of a pair of pants

### 5 Twisted Arc Operads

Recall from Remark 1.3.2 that given an arc family  $[ \ ]$ , we may identify each of the ends of the bands with  $S^1$ , or if given some additional data also with a boundary component of  $F$ . We will use this geometric fact in this section to geometrically combine the circle operads defined in the Appendix with the Arc operad, in the sense that we can identify the boundary of a surface with  $S^1$  via the maps 1. This leads to several direct and semi{direct (in the sense of [7]) products.

**5.1 Definition and Proposition** We define the differential *Arc* operad  $dArc$  to be the Cartesian product of *ARC* with the operad  $d$  where we think of the  $S^1$ s of  $d$  as being the boundaries  $1; \dots; n$ . Algebras over this operad are *Arc* algebras together with a differential  $d$  of degree one that is also a derivation and that anti{commutes with  $\cdot$ .

**5.1.1 Remark** By the previous sections and the Appendix, we see that algebras over this operad will have the structure of dGBV algebras. This structure will already be present for algebras over the suboperad of (Chinese) trees and algebras over the suboperad of (linear and/or Chinese) trees will have the structure of differential Gerstenhaber algebras.

**5.2 Definition and Proposition** We define the untwisted  $\$dArc$  operad to be the Cartesian product of *ARC* with the operad  $\$d$  where we think of the  $S^1$ s of  $\$d$  as being the boundaries  $0; \dots; n$ . This operad is cyclic. Algebras over this operad are *Arc* algebras together with two more differentials  $@$  and  $!@$  of degree one that satisfy the relations of Theorem A.3.2. Both  $@$  and  $!@$  anti{commute with  $\cdot$ .

**5.3 Remark** There are no semi-direct products with these operads, at least none that are strictly associative. One can produce, however, by using the standard procedures [15, 11] and the gluing below operads that are associative up to homotopy.

**5.4 Definition** We set  $\mathcal{A}rc_{g;s}(n) := Arc_{g;s}(n) \times (S^1)^{n+1}$ . Recall that the gluing 1.4.1 was achieved by identifying the boundaries of the bands with the help of the identification of each of the two boundaries with  $S^1$  and then identifying these  $S^1$ s. This construction can be twisted by using the natural  $S^1$  action on one or both of the  $S^1$ s before making the identification.

We use this freedom to define twisted gluings depending on one variable  $\alpha \in S^1$  (recall that we use additive notation): Define  $\gamma_i$  to be the gluing resulting from the identifications  $c_i : @_i(\cdot) \xrightarrow{\alpha} S^1$  and  $s : c_0 : @_0(\cdot) \xrightarrow{\alpha} S^1$ , where  $s_t h(\cdot) = \cdot + \alpha$ .

Now we introduce operations

$$\gamma_i : \mathcal{A}rc_{g;s}(n) \times \mathcal{A}rc_{g^0;r}(m) \rightarrow \mathcal{A}rc_{g+g^0;r+s}(m+n-1)$$

as follows: Let  $\gamma = (\gamma_0; \dots; \gamma_n)$  and  $\gamma^0 = (\gamma^0_0; \dots; \gamma^0_m)$

$$(\gamma_i; \gamma) \circ (\gamma^0_j; \gamma^0) := (\gamma_i^{-\alpha}; \gamma; \gamma^0_j; \gamma^0) \tag{20}$$

where  $\gamma_i^{-\alpha} \circ \gamma^0_j$  are the operations of  $d$ .

The geometric meaning of this is that we regard the points in  $S^1$  as points on the boundary of the band and use the point on the boundary component 0 as a possible offset for gluing. Upon gluing we use the point on the boundary component 0 of the family  $\gamma$  to be the beginning of the window instead of the point 0. To implement this on the whole surface, we use the diagonal shift action. Lastly, we use the offset of the  $i$ th boundary of  $\gamma$  to translate all the offsets of  $\gamma^0$ .

**5.4.1 Theorem** The operations  $\gamma_i$  turn the  $\mathbb{S}$ -module  $\mathcal{A}rc_{g;s}$  into an operad.

**Proof** Again the operad structures are evident. This is due to the fact that the twisted gluing of the bands is dependent only on  $\alpha$  which does not play a role in the  $d$  gluing. □

**5.5 Remark** In [7] we also defined bi-crossed products of operads, which provide the right framework for the cacti without spines operad relative to the cacti operad. This structure can be carried over to  $ARC$  by looking at the



action of  $S^1$  moving the marked point of the boundary zero. Taking the cue from [7], we would say that for each boundary connected to the 0-th boundary there is a natural parameterization induced by marking the first point of the first band in the window of the  $i$ -th boundary if it is connected to the 0-th boundary. This identification will induce a bicrossed product of the type [7], which now only acts partially and not through the whole diagonal.

## Appendix: Circle operads, differentials and derivations

In this appendix, we study operads concocted out of Cartesian products of circles with basepoint whose algebras over the respective homology operads will be graded commutative and associative algebras together with several different types of operators, which we used to build several direct and semi-direct products of these operads with the *ARC* operad. In the process we give a classification of all linear and local operads built on  $(S^1)^{n+1}$ .

We will view the circle  $S^1$  as  $\mathbb{R}/\mathbb{Z}$ , so that it has basepoint 0 and has a natural additive angular coordinate  $\theta$ .

### A.1 The Operad $(S^1)^n$

Although this operad seems to be known to the experts (and appears in the semi-direct products of operads with groups [15, 11]), we shall give its complete description here. One interesting point is that its homology operad is that of the operad built on the supervector space  $\mathbb{Z}/2\mathbb{Z}$  as defined in [7].

**A.1.1 Definition** Let  $d$  be the collection of spaces  $d(n) := (S^1)^n$  together with the operations  $\mu_i : d(n) \times d(m) \rightarrow d(n + m - 1)$  defined by

$$\mu_i( (x_1, \dots, x_n), (y_1, \dots, y_m) ) = (x_1, \dots, x_{i-1}, x_i + y_1, \dots, y_m, x_{i+1}, \dots, x_n)$$

and the natural permutation action of  $S_n$ .

**A.1.2 Proposition**  $d$  is a topological operad. The algebras over the homology operad  $H(d)$  are differential graded, associative, commutative algebras.

**Proof** The axioms for an operad are straight-forward to verify. The unit is the class of 0 in  $S^1$ . By the Künneth formula, we have  $H_p(d(n)) = \mathbb{Z}^{\binom{n}{p}}$ . Hence,

$H(d(n))$  is a free  $\mathbb{Z}$ -graded module, and a basis can be indexed by  $(\mathbb{Z}=2\mathbb{Z})^n$ . Using this basis, the  $\partial_k$ 's read:

$$\begin{aligned} \partial_k (i_1, \dots, i_n) &= \partial_k (i_1, \dots, i_m) = \\ &= (1 - \epsilon_k) (i_1, \dots, i_{k-1}, i_1, \dots, i_m, i_{k+1}, \dots, i_n) + \\ &\quad \sum_{l=1}^n \epsilon_k (i_1, \dots, i_{k-1}, i_1, \dots, i_{l-1}, i_k, i_l, \dots, i_m, i_{k+1}, \dots, i_n) \end{aligned}$$

where  $\epsilon_k$  is the standard supersign, and  $[2]$  means modulo 2.

Consider the operad  $P$  generated by the  $\mathbb{S}$ -module  $E = \mathbb{S}_1 \oplus k$ , where  $k$  is the trivial  $\mathbb{S}_2$  representation, together with the relations  $\partial \partial := \partial_1 \partial = 0$ ,  $\partial = \partial_1 \partial + \partial_2 \partial$  and  $\partial_1 = \partial_2$ . We easily check that there is an isomorphism

$$\partial : P \rightarrow H(d)$$

defined by  $\partial(1) = (1)$  and  $\partial(2) = (0; 0)$ . The inverse morphism

$$\partial : H(d) \rightarrow P$$

is given by  $\partial(i_1, \dots, i_n) = \partial^{(n)}(\partial_1, \dots, \partial_n)$ , where  $\partial^{(n)}$  is the  $(n - 1)$ -fold composition of  $\partial$  for  $n \geq 1$ .

As a consequence, an algebra over  $H(d)$  is an algebra over  $P$ , that is, a graded vector space  $A$  together with an operation  $\partial$  of degree 1 and a graded commutative multiplication  $\cdot$  of degree 0 satisfying  $\partial^2 = 0$ ,  $\partial(a \cdot b) = \partial(a) \cdot b + (-1)^{j_a} a \cdot \partial(b)$ , where  $\cdot$  is associative.  $\square$

## A.2 Classification of the operad structures on $(S^1)^{n+1}$

Let  $P(n) = (S^1)^{n+1}$ . This vector space is endowed with an action of the symmetric group  $\mathbb{S}_n$  on its last  $n$  variables. The aim of this section is to give a complete classification of the different kinds of operad compositions in  $P$ , where we demand only that the composition be local and linear. This classification is given in the next proposition, whose straight-forward proof is completed by explicating the various associativity conditions.

**A.2.1 Proposition** *Let  $\partial : P \rightarrow P$  and  $\partial : P \rightarrow P$  be the composition on  $(S^1)^{n+1}$  by*

$$\partial : (S^1)^{n+1} \rightarrow (S^1)^{m+1} \rightarrow (S^1)^{n+m}$$

$$\begin{aligned}
 (0 \dots n)_i (0 \dots m) := & \\
 & (0 + \dots i + \dots 0 \dots i-1 + \dots i + \dots 0 \dots \\
 & 1 + \dots i + \dots 0 \dots m + \dots i + \dots 0 \dots \\
 & \dots i+1 + \dots i + \dots 0 \dots n + \dots i + \dots 0)
 \end{aligned}$$

There is a translation action of  $\mathbb{Z}$  on the parameters, which leaves the operations invariant, so the parameters may be taken in  $\mathbb{R} = \mathbb{Z}$ .

Then this composition endows  $(S^1)^{n+1}$  with a structure of operad only in the following cases:

- $\dots = 0$  and
- (i)  $\dots = \dots = 0$ , or
- (ii)  $\dots = \dots = 1$  and  $\dots = 0$ , or
- (iii)  $\dots = 1$  and  $\dots = 0$ .

**A.2.2 Remark** The first case, which corresponds to the cyclic operad built on  $S^1$  as a space (cf. [7]), will be described in next proposition. The second case gives a cyclic and unitary operad, which will be denoted by  $\mathcal{d}^{\$}$  and is studied in next sub-section. The third case is neither cyclic nor unitary but is of interest in combination with the Arc operad; it will be denoted by  $\mathcal{d}^{\#}$ ,  $\dots = 2\mathbb{R}$ .

Corresponding to case i) of Proposition A.2.1, we have:

**A.2.3 Proposition** Let  $\mathcal{Q}$  be the operad defined by  $\mathcal{Q}(n) = (S^1)^{n+1}$  together with the composition

$$(0 \dots n)_i (0 \dots m) = (0 \dots i-1; 1 \dots m; i+1 \dots n).$$

Then an algebra over the homology operad  $H(\mathcal{Q})$  is a graded commutative and associative algebra  $A$  together with an operator  $\partial : A \rightarrow A$  of degree 0, and two differentials  $\partial^!; \partial : A \rightarrow A$  of degree 1, satisfying the following relations:

$$\begin{aligned}
 \partial^2 &= \partial; & \partial^! \partial &= \partial^!; & \partial \partial^! &= \partial; \\
 \partial \partial^! &= 0; & \partial^! \partial^! &= 0; & \partial^! \partial \partial^! &= 0; \\
 \partial(ab) &= \partial(a)\partial(b) = \partial(a)\partial(b) = \partial(ab):
 \end{aligned}$$

**Proof** The proof (which is left to the reader) consists of describing the operad  $H(\mathcal{Q})$  by generators and relations as in the proof of Proposition A.3.1.  $\square$

### A.3 The Cyclic Operad $(S^1)^{n+1}$

As seen in Proposition A.2.1, there is only one cyclic operad built on  $(S^1)^{n+1}$  which is moreover unitary. This cyclic operad is denoted by  $\mathcal{D}$ , and the unit in  $\mathcal{D}(1)$  is given by  $(0;0) \in (S^1)^2$ .

Recall that the composition is given by

$$\begin{aligned} \mathcal{D}(n) \circ \mathcal{D}(m) &= \mathcal{D}(n+m-1) \\ (\theta_1, \dots, \theta_n) \circ (\theta'_1, \dots, \theta'_m) &:= \\ &(\theta_1 + \theta'_1, \dots, \theta_{i-1} + \theta'_{i-1}, \theta_i \circ \theta'_1 + \theta'_i \circ \theta_1 + \dots + \theta'_m \circ \theta_i, \theta_{i+1} + \theta'_{i+1}, \dots, \theta_n + \theta'_n) \end{aligned}$$

so cyclicity is clear from the symmetric nature of the operation. Nevertheless, we will write out the cyclicity calculation once explicitly. Denoting by  $\sigma_n$  the action of the long cycle  $(0 \dots n) \in \mathbb{S}_{n+1}$ , we have

$$\begin{aligned} [(\theta_1, \dots, \theta_n) \circ (\theta'_1, \dots, \theta'_m)] &= \\ &(\theta_1 + \theta'_1, \dots, \theta_{n-1} + \theta'_{n-1}, \theta_n \circ \theta'_1 + \theta'_n \circ \theta_1 + \dots + \theta'_m \circ \theta_n) = \\ &(\theta'_m \circ \theta_n, \theta'_1 \circ \theta_1 + \dots + \theta'_m \circ \theta_{n-1} + \theta_n) \end{aligned}$$

and

$$\begin{aligned} (\theta'_1, \dots, \theta'_m) \circ (\theta_1, \dots, \theta_n) &= (\theta'_m \circ \theta_n, \theta'_1 \circ \theta_1 + \dots + \theta'_m \circ \theta_{n-1} + \theta_n) \\ &= (\theta'_m \circ \theta_n, \theta'_1 \circ \theta_1 + \dots + \theta'_m \circ \theta_{n-1} + \theta_n) \end{aligned}$$

as required. □

The homology analogue is given in Proposition A.3.1. Theorem A.3.2 gives the description of the operad  $H(\mathcal{D})$  in terms of generators and relations, whereas Corollary A.3.3 gives the description of algebras over the operad  $H(\mathcal{D})$ .

**A.3.1 Proposition** *The homology of  $\mathcal{D}$  is a cyclic operad. It is given by  $H_p(\mathcal{D}(n)) = \mathbb{Z}^{\binom{n+1}{p}}$ . A basis of the free  $\mathbb{Z}$ -graded module  $H_p(\mathcal{D}(n))$  is given by a sequence  $(\theta_1, \dots, \theta_n) \in (\mathbb{Z} = 2\mathbb{Z})^{n+1}$ , with  $\sum_{i=1}^n \theta_i = p$ . Denote by  $e_k, 0 \leq k \leq n+m-1$  the canonical basis of  $(\mathbb{Z} = 2\mathbb{Z})^{n+m}$ . Then the composition*

$$k : H_p(\mathcal{D}(n)) \circ H_q(\mathcal{D}(m)) \rightarrow H_{p+q}(\mathcal{D}(n+m-1))$$

is given by

$$\begin{aligned}
 & (0 \dots n)_k (0 \dots m) = (1 - k)(1 - 0) + \\
 & (1 - k) \sum_{l=0}^{k-1} (l+0)[2](+0e_l) + \\
 & (1 - k) \sum_{l=k+1}^n (l+0)[2](+0e_{l+m-1}) + \\
 & k(1 - 0) \sum_{p=1}^n (k+p)[2](+ke_{k+p-1}) + \\
 & k \sum_{p=1}^n \sum_{l=0}^{k-1} (l+0)(k+p)[2](+0e_l + ke_{k+p-1}) + \\
 & k \sum_{p=1}^n \sum_{l=k+1}^n (l+0)(k+p)[2](+0e_{l+m-1} + ke_{k+p-1})
 \end{aligned}$$

where  $(i_1 \dots i_n) := (0 \dots k-1; 1 \dots m; k+1 \dots n)$ .

The next result gives a description of the homology operad  $H^{\$}(d)(n)$  in terms of generators and relations.

**A.3.2 Theorem** Let  $E$  be the following graded  $\mathbb{S}$ -module:  $E_1$  is generated by  $@$  and  $!$  in degree 1,  $E_2$  is generated by  $+$  in degree 0, where the action of the cycle  $(12) \in \mathbb{S}_2$  is given by  $(12)@ = !$  and  $E_n = 0$  for  $n > 2$ . Let  $F(E)$  be the free graded operad generated by  $E$ . Let  $R$  be the sub  $\mathbb{S}$ -module of  $F(E)$  generated by the elements

$$\begin{aligned}
 & @ \ 1 \ @; \ ! \ 1 \ @; \\
 & @ \ 1 \ @ + \ @ \ 1 \ @; \\
 & @ \ 1 \ - \ ! \ 1 \ @ - \ ! \ 2 \ @; \\
 & \ 1 \ @ - \ @ \ 1 \ - \ ! \ 2 \ @; \\
 & \ 1 \ - \ ! \ 2 \ :
 \end{aligned}$$

Then there is an isomorphism between the operads

$$F(E) = \langle R \rangle \cong H^{\$}(d)$$

**Proof** Let  $\phi : E \rightarrow H^{\$}(d)$  be the  $\mathbb{S}$ -module morphism given by  $\phi(@) = (1;0); \phi(!) = (0;1)$  and  $\phi(+)= (0;0;0)$ , which induces a morphism  $\psi :$

$F(E) \rightarrow H^{\$}(d)$ . To define a morphism

$$\rho : F(E) = \langle R \rangle \rightarrow H^{\$}(d);$$

we have to prove that  $\rho(R) = 0$ . This is a straight-forward computation; as an example, we compute

$$\begin{aligned} & (\rho_1 \circ \rho - \rho \circ \rho_1 - \rho_2 \circ \rho) \\ &= (0;0;0) \rho_1(1;0) - (1;0) \rho_1(0;0;0) - (0;0;0) \rho_2(0;1) \\ &= (1;0;0) + (0;0;1) - (1;0;0) - (0;0;1) = 0; \end{aligned}$$

The next step of the proof is to define an inverse

$$\rho^{\$} : H^{\$}(d) \rightarrow F(E) = \langle R \rangle$$

to  $\rho$ . Denote by  $\rho^{(n)}$  or  $\rho^{(n)}$  the  $(n-1)$ -fold composition of  $\rho$  which is independent of the manner of composition since  $\rho$  is associative, by definition of  $R$ . The following statements are immediate by induction on  $n$

$$\rho_1 \circ \rho^{(n)} = \prod_{i=1}^n \rho_i \circ \rho \tag{A-1}$$

$$\rho_i \circ \rho^{(n)} = \rho_1 \circ \rho^{(n)} + \prod_{j \neq i} \rho_j \circ \rho \tag{A-2}$$

Let  $\rho^{\$} : H^{\$}(d) \rightarrow F(E) = \langle R \rangle$  be the map defined by

$$\rho^{\$}(\rho_0; \dots; \rho_n) = \rho_0^{(n)}(\rho_1^{\$}; \dots; \rho_n^{\$});$$

Let  $\rho = (\rho_0; \dots; \rho_n)$  and  $\rho_j = \prod_{i=0}^n \rho_i$ : First, we will prove by induction on  $q = \rho_j$  that

$$\rho^{\$}(\rho_k) = \rho^{\$}(\rho_k) \tag{A-3}$$

If  $q=0$  and  $\rho_0 = 0$  then

$$\begin{aligned} \rho^{\$}(\rho_k) &= (0; \dots; 0; \rho_1^{\$}; \dots; \rho_m^{\$}; 0; \dots; 0) \\ &= (1; \dots; 1; \rho_1^{\$}; \dots; \rho_m^{\$}; 1; \dots; 1) \\ &= \rho_k^{\$}(\rho_1^{\$}; \dots; \rho_m^{\$}) \\ &= \rho^{\$}(\rho_k) \end{aligned}$$

If  $q = 0$  and  $\alpha_0 = 1$ , we use the notation of Proposition A.3.1, where  $\alpha$  denotes  $\prod_{i=1}^m e_{i+k-1}$ . Thus,

$$\begin{aligned} (\underline{\quad}_k \underline{\quad}) &= \sum_{l=0}^{k-1} (e_l + \alpha) + \sum_{l=k+1}^n (-1)^{j-l} (e_{l+m-1} + \alpha) \\ &= (\alpha_1 + \sum_{l=1, l \neq k}^n \alpha_l) \alpha_k (\alpha_1 \dots \alpha_m) \\ &\stackrel{(A-2)}{=} (\alpha_k \alpha) \alpha_k (\alpha_1 \dots \alpha_m) \\ &= \alpha_k (\alpha (\alpha_1 \dots \alpha_m)) \\ &= (\underline{\quad})_k (\underline{\quad}) \end{aligned}$$

We inductively assume (A-3) is true for  $q < p$  and prove it for  $q = p$ .

**Case 1** If  $q = 1$ , with  $\alpha_0 = 1$ , then

$$\begin{aligned} (\underline{\quad}_k \underline{\quad}) &= (((1;0)_1 (0; \dots; 0))_k \underline{\quad}) \\ &= ((1;0)_1 ((0; \dots; 0)_k \underline{\quad})). \end{aligned}$$

It is therefore sufficient to prove (A-3) for  $\underline{\quad} = (1;0)$  and any  $\underline{\quad}$ . If  $\alpha_0 = 0$  it is trivial and if  $\alpha_0 = 1$ , then the putative relation (A-3) vanishes by the relation  $\alpha_1 \alpha = 0$ .

**Case 2** Assume that  $q \geq 1$  and that if  $\alpha_0 = 1$  then  $q \geq 2$ . Thus, there exists  $l \neq 0$  such that  $\alpha_l = 1$ . Since the cases  $l < k$  and  $l > k$  are symmetric, we can assume first that  $l < k$ . In this case,

$$\begin{aligned} (\underline{\quad}_k \underline{\quad}) &= (((0; \dots; \alpha_{l-1}; 0; \alpha_{l+1}; \dots; \alpha_n)_l (0;1))_k \underline{\quad}) \\ &= (-1)^{j-l} (((0; \dots; \alpha_{l-1}; 0; \alpha_{l+1}; \dots; \alpha_n)_k \underline{\quad})_l (0;1)) \end{aligned}$$

which proves, combined with the induction hypothesis, that it is equivalent to prove (A-3) for any  $\underline{\quad}$  and  $\underline{\quad} = (0;1)$ . A straight-forward computation gives the result (using the identity  $\alpha_1 \alpha = 0$ , in case  $k=1$ ). In case  $l = k$ , we have by the induction hypothesis

$$\begin{aligned} (\underline{\quad}_k \underline{\quad}) &= ((0; \dots; \alpha_{k-1}; 0; \alpha_{k+1}; \dots; \alpha_n)_k ((0;1)_1 \underline{\quad})) \\ &= ((0; \dots; \alpha_{k-1}; 0; \alpha_{k+1}; \dots; \alpha_n)_k ((0;1)_1 \underline{\quad})) \\ &= (\underline{\quad})_k ((0;1)_1 \underline{\quad}): \end{aligned}$$

On the other hand,

$$\begin{aligned}
 ((0;1) \text{ }_1 \underline{\quad}) &= \sum_{l=1}^{\infty} (-1)^0 (l+1) [2] \left( \text{ }_0; \text{ }_1; \dots; \text{ }_{l+1}; \dots; \text{ }_m \right) \\
 &= \sum_{l=1}^{\infty} (-1)^0 @^0 \left( \text{ }^l_0; \text{ }^l_1; \dots; \text{ }^l_{l+1}; \dots; \text{ }^l_m \right) \\
 &= \sum_{l=1}^{\infty} (-1)^0 @^0 \left( \text{ }_l; @ \right) \left( \text{ }^l_0; \text{ }^l_1; \dots; \text{ }^l_m \right) \\
 &= (-1)^0 @^0 @ \left( \text{ }^l_0; \text{ }^l_1; \dots; \text{ }^l_m \right) \\
 &= @ @^0 \left( \text{ }^l_0; \text{ }^l_1; \dots; \text{ }^l_m \right) \\
 &= (0;1) \text{ }_1 \underline{\quad};
 \end{aligned}$$

where the second-to-last equality follows from A-1, and the next-to-last from the identity @ @ = - @ @.

As a consequence

$$\begin{aligned}
 (\underline{\quad} \text{ }_k \underline{\quad}) &= \left( \underline{\quad} \text{ }_k (0;1) \right) \text{ }_k \underline{\quad} \\
 &= (\underline{\quad}) \text{ }_k \underline{\quad};
 \end{aligned}$$

The last step is to prove that  $\text{ }_k \underline{\quad} = kd$  and  $\text{ }_k \underline{\quad} = kd$ . The first equality holds for the generators @; @ and  $\text{ }_k \underline{\quad}$  and hence holds in general. The second equality is proved again by induction on  $j \text{ }_k \underline{\quad}$ : if  $j \text{ }_k \underline{\quad} = 0$ , then  $(\text{ }_k \underline{\quad}) = (\text{ }_k \underline{\quad}) = \text{ }_k \underline{\quad}$ . Notice that  $(\text{ }_k (0;1)) = (0;1)$  and  $(\text{ }_k (1;0)) = (1;0)$ . Since any  $\text{ }_k \underline{\quad}$  such that  $j \text{ }_k \underline{\quad} > 0$  is a composition  $(1;0) \text{ }_1 \underline{\quad}$  or  $\text{ }_k \text{ }_i (0;1)$  with  $j \text{ }_k \underline{\quad} < j \text{ }_k \underline{\quad}$  and since both  $\text{ }_1 \underline{\quad}$  and  $\text{ }_k \text{ }_i (0;1)$  are operad morphisms (see (A-3)), the induction hypothesis combined with the previous remark yields the desired result.  $\square$

**A.3.3 Corollary** *An algebra A over the operad  $H(d)$  is a differential graded commutative and associative algebra  $(A; @)$ , together with a differential @ of degree 1, which anticommutes with @. These operators satisfy the relation*

$$@ (a) \text{ } b = @ (a \text{ } b) + (-1)^{jaj} a \text{ } @ (b); \quad \forall a, b \in A:$$

**A.3.4 Remark** From the relations, it follows that @ @ is also a derivation of degree two.



**A.4 The family  $\mathcal{A}^i_d$  of non cyclic operads on  $(S^1)^{n+1}$**

**A.4.1 Definition** Let  $\mathcal{A}^i_d$  be the collection of spaces  $\mathcal{A}^i_d(n) := (S^1)^{(n+1)}$  together with the following compositions:  $\mathcal{A}^i_d(n) \times \mathcal{A}^i_d(m) \rightarrow \mathcal{A}^i_d(n+m-1)$

$$(\sigma_0, \dots, \sigma_n) \circ_i (\tau_0, \dots, \tau_m) := (\sigma_0, \dots, \sigma_{i-1}, \tau_0 + i, \dots, \tau_m + i, \sigma_{i+1}, \dots, \sigma_n)$$

Arguing in analogy to the earlier proofs, we obtain:

**A.4.2 Proposition**  $\mathcal{A}^i_d$  is a topological operad. An algebra over  $H(\mathcal{A}^i_d)$  is a differential graded commutative and associative algebra  $(A; \cdot, @)$  together with an operator  $\partial : A \rightarrow A$  of degree 0 and an operator  $@ : A \rightarrow A$  of degree 1 satisfying the relations

$$\begin{aligned} \partial^2 &= 0; \quad @ \circ @ = @ \circ @; \quad @ \circ \partial = \partial \circ @; \\ (ab) &= (a)b = a(b) = ab; \\ @ &= @ \circ @ \end{aligned}$$

**A.5 Remark** We have a morphism of operads  $\mathcal{A}^i_{d_0} \rightarrow \mathcal{A}^i_d$  which associates to  $(\sigma_0, \dots, \sigma_n)$  the element  $(\sigma_1, \dots, \sigma_n)$ . Hence a differential graded commutative and associative algebra  $(A; \cdot, @)$  is also a  $H(\mathcal{A}^i_{d_0})$  algebra by setting  $\partial = Id$ ,  $@ = 0$  and  $@ \circ @ = @$ .

Furthermore the map

$$(S^1)^n \rightarrow (S^1)^{n+1} \\ (\sigma_1, \dots, \sigma_n) \mapsto (0, \sigma_1, \dots, \sigma_n)$$

yields an embedding of operads of  $\mathcal{A}^i_d$  and  $\mathcal{A}^i_{d_0}$ .

**A.6 Remark** The operads studied here have natural extensions to more general situations. For the operads  $\mathcal{A}^i_d$  and  $\mathcal{A}^i_{d_0}$ , one can replace  $S^1$  with any monoid  $S$ . This fact lends itself to define a cyclic semi-direct product of a cyclic operad with a monoid in the spirit of [15, 11]. The operad  $\mathcal{Q}$  is a special version of the operad of spaces [7]. To define the analogue of the operad  $\mathcal{A}^i_d$  in this setting, one requires a monoid that is a module over another monoid.

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