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## Complex projective structures on Kleinian groups

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**Abstract** Let  $M^3$  be a compact, oriented, irreducible, and boundary incompressible 3-manifold. Assume that its fundamental group is without rank two abelian subgroups and  $\partial M^3 \neq \emptyset$ . We will show that every homomorphism  $\theta: \pi_1(M^3) \rightarrow PSL(2, \mathbf{C})$  which is not “boundary elementary” is induced by a possibly branched complex projective structure on the boundary of a hyperbolic manifold homeomorphic to  $M^3$ .

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### 1 Introduction

Let  $M^3$  be a compact, oriented, irreducible, and boundary incompressible 3-manifold such that its fundamental group  $\pi_1(M^3)$  is without rank two abelian subgroups. Assume that  $\partial M^3 = R_1 \cup \dots \cup R_n$  has  $n \geq 1$  components, each a surface necessarily of genus exceeding one.

We will study homomorphisms

$$\theta: \pi_1(M^3) \rightarrow G \subset PSL(2, \mathbf{C})$$

onto groups  $G$  of Möbius transformations. Such a homomorphism is called *elementary* if its image  $G$  fixes a point or pair of points in its action on  $\mathbf{H}^3 \cup \partial \mathbf{H}^3$ , ie on hyperbolic 3-space and its “sphere at infinity”. More particularly, the homomorphism  $\theta$  is called *boundary elementary* if the image  $\theta(\pi_1(R_k))$  of some boundary subgroup is an elementary group. (This definition is independent of how the inclusion  $\pi_1(R_k) \hookrightarrow \pi_1(M^3)$  is taken as the images of different inclusions of the same boundary group are conjugate in  $G$ ).

The purpose of this note is to prove:

**Theorem 1** *Every homomorphism  $\theta: \pi_1(M^3) \rightarrow PSL(2, \mathbf{C})$  which is not boundary elementary is induced by a possibly branched complex projective structure on the boundary of some Kleinian manifold  $\mathbf{H}^3 \cup \Omega(\Gamma)/\Gamma \cong M^3$ .*

This result is based on, and generalizes:

**Theorem A** (Gallo–Kapovich–Marden [1]) *Let  $R$  be a compact, oriented surface of genus exceeding one. Every homomorphism  $\pi_1(R) \rightarrow PSL(2, \mathbf{C})$  which is not elementary is induced by a possibly branched complex projective structure on  $\mathbf{H}^2/\Gamma \cong R$  for some Fuchsian group  $\Gamma$ .*

Theorem 1 is related to Theorem A as simultaneous uniformization is related to uniformization. Its application to quasifuchsian manifolds could be called simultaneous projectivization. For Theorem A finds a single surface on which the structure is determined whereas Theorem 1 finds a structure simultaneously on the pair of surfaces arising from some quasifuchsian group.

## 2 Kleinian groups

Thurston’s hyperbolization theorem [3] implies that  $M^3$  has a hyperbolic structure: there is a Kleinian group  $\Gamma_0 \cong \pi_1(M^3)$  with regular set  $\Omega(\Gamma_0) \subset \partial\mathbf{H}^3$  such that  $\mathcal{M}(\Gamma_0) = \mathbf{H}^3 \cup \Omega(\Gamma_0)/\Gamma_0$  is homeomorphic to  $M^3$ . The group  $\Gamma_0$  is not uniquely determined by  $M^3$ , rather  $M^3$  determines the deformation space  $\mathcal{D}(\Gamma_0)$  (taking a fixed  $\Gamma_0$  as its origin).

We define  $\mathcal{D}^*(\Gamma_0)$  as the set of those isomorphisms  $\phi: \Gamma_0 \rightarrow \Gamma \subset PSL(2, \mathbf{C})$  onto Kleinian groups  $\Gamma$  which are induced by orientation preserving homeomorphisms  $\mathcal{M}(\Gamma_0) \rightarrow \mathcal{M}(\Gamma)$ . Then  $\mathcal{D}(\Gamma_0)$  is defined as  $\mathcal{D}^*(\Gamma_0)/PSL(2, \mathbf{C})$ , since we do not distinguish between elements of a conjugacy class.

Let  $\mathcal{V}(\Gamma_0)$  denote the representation space  $\mathcal{V}^*(\Gamma_0)/PSL(2, \mathbf{C})$  where  $\mathcal{V}^*(\Gamma_0)$  is the space of boundary nonelementary homomorphisms  $\theta: \Gamma_0 \rightarrow PSL(2, \mathbf{C})$ .

By Marden [2],  $\mathcal{D}(\Gamma_0)$  is a complex manifold of dimension  $\sum[3(\text{genus } R_k) - 3]$  and an open subset of the representation variety  $\mathcal{V}(\Gamma_0)$ . If  $M^3$  is acylindrical,  $\mathcal{D}(\Gamma_0)$  is relatively compact in  $\mathcal{V}(\Gamma_0)$  (Thurston [4]).

The fact that  $\mathcal{D}(\Gamma_0)$  is a manifold depends on a uniqueness theorem (Marden [2]). Namely two isomorphisms  $\phi_i: \Gamma_0 \rightarrow \Gamma_i$ ,  $i = 1, 2$ , are conjugate if and only if  $\phi_2\phi_1^{-1}: \Gamma_1 \rightarrow \Gamma_2$  is induced by a homeomorphism  $\mathcal{M}(\Gamma_1) \rightarrow \mathcal{M}(\Gamma_2)$  which is homotopic to a conformal map.

### 3 Complex projective structures

For the purposes of this note we will use the following definition (cf [1]). A *complex projective structure* for the Kleinian group  $\Gamma$  is a locally univalent meromorphic function  $f$  on  $\Omega(\Gamma)$  with the property that

$$f(\gamma z) = \theta(\gamma)f(z), \quad z \in \Omega(\Gamma), \quad \gamma \in \Gamma,$$

for some homomorphism  $\theta: \Gamma \rightarrow PSL(2, \mathbf{C})$ . We are free to replace  $f$  by a conjugate  $AfA^{-1}$ , for example to normalize  $f$  on one component of  $\Omega(\Gamma)$ .

Such a function  $f$  solves a Schwarzian equation

$$S_f(z) = q(z), \quad q(\gamma z)\gamma'(z)^2 = q(z); \quad \gamma \in \Gamma, \quad z \in \Omega(\Gamma),$$

where  $q(z)$  is the lift to  $\Omega(\Gamma)$  of a holomorphic quadratic differential defined on each component of  $\partial\mathcal{M}(\Gamma)$ . Conversely, solutions of the Schwarzian,

$$S_g(z) = q(z), \quad z \in \Omega(\Gamma),$$

are determined on each component of  $\Omega(\Gamma)$  only up to post composition by any Möbius transformation. The function  $f$  has the property that it not only is a solution on each component, but that its restrictions to the various components fit together to determine a homomorphism  $\Gamma \rightarrow PSL(2, \mathbf{C})$ . Automatically (cf [1]), the homomorphism  $\theta$  induced by  $f$  is boundary nonelementary.

When *branched* complex projective structures for a Kleinian group are required, it suffices to work with the simplest ones:  $f(z)$  is meromorphic on  $\Omega(\Gamma)$ , induces a homomorphism  $\theta: \Gamma \rightarrow PSL(2, \mathbf{C})$  (which is automatically boundary nonelementary), and is locally univalent except at most for one point, modulo  $\text{Stab}(\Omega_0)$ , on each component  $\Omega_0$  of  $\Omega(\Gamma)$ . At an exceptional point, say  $z = 0$ ,

$$f(z) = \alpha z^2(1 + o(z)), \quad \alpha \neq 0.$$

Such  $f$  are characterized by Schwarzians with local behavior

$$S_f(z) = q(z) = -3/2z^2 + b/z + \Sigma a_i z^i, \quad b^2 + 2a_0 = 0.$$

At any designated point on a component  $R_k$  of  $\partial\mathcal{M}(\Gamma)$ , there is a quadratic differential with leading term  $-3/2z^2$ . To be admissible, a differential must be the sum of this and any element of the  $(3g_k - 2)$ -dimensional space of quadratic differentials with at most a simple pole at the designated point. In addition it must satisfy the relation  $b^2 + 2a_0 = 0$ . That is, the admissible differentials are parametrized by an algebraic variety of dimension  $3g_k - 3$ . For details, see [1].

If a branch point needs to be introduced on a component  $R_k$  of  $\partial\mathcal{M}(\Gamma)$ , it is done during a construction. According to [1], a branch point needs to be introduced if and only if the restriction

$$\theta: \pi_1(R_k) \rightarrow PSL(2, \mathbf{C})$$

does *not* lift to a homomorphism

$$\theta^*: \pi_1(R_k) \rightarrow SL(2, \mathbf{C}).$$

## 4 Dimension count

The vector bundle of holomorphic quadratic differentials over the Teichmüller space of the component  $R_k$  of  $\partial\mathcal{M}(\Gamma_0)$  has dimension  $6g_k - 6$ . All together these form the vector bundle  $\mathcal{Q}(\Gamma_0)$  of quadratic differentials over the Kleinian deformation space  $\mathcal{D}(\Gamma_0)$ . That is,  $\mathcal{Q}(\Gamma_0)$  has *twice* the dimension of  $\mathcal{V}(\Gamma_0)$ . The count remains the same if there is a branching at a designated point.

For example, if  $\Gamma_0$  is a quasifuchsian group of genus  $g$ ,  $\mathcal{Q}(\Gamma_0)$  has dimension  $12g - 12$  whereas  $\mathcal{V}(\Gamma_0)$  has dimension  $6g - 6$ . Corresponding to each non-elementary homomorphism  $\theta: \Gamma_0 \rightarrow PSL(2, \mathbf{C})$  that lifts to  $SL(2, \mathbf{C})$  is a group  $\Gamma$  in  $\mathcal{D}(\Gamma_0)$  and a quadratic differential on the designated component of  $\Omega(\Gamma)$ . This in turn determines a differential on the other component. There is a solution of the associated Schwarzian equation  $S_g(z) = q(z)$  satisfying

$$f(\gamma z) = \theta(\gamma)f(z), \quad z \in \Omega(\Gamma), \quad \gamma \in \Gamma.$$

Theorem 1 implies that  $\mathcal{V}(\Gamma_0)$  has at most  $2^n$  components. For this is the number of combinations of  $(+, -)$  that can be assigned to the  $n$ -components of  $\partial\mathcal{M}(\Gamma_0)$  representing whether or not a given homomorphism lifts. For a quasifuchsian group  $\Gamma_0$ ,  $\mathcal{V}(\Gamma_0)$  has two components (see [1]).

## 5 Proof of Theorem 1

We will describe how the construction introduced in [1] also serves in the more general setting here.

By hypothesis, each component  $\Omega_k$  of  $\Omega(\Gamma_0)$  is simply connected and covers a component  $R_k$  of  $\partial\mathcal{M}(\Gamma_0)$ . In addition, the restriction

$$\theta: \pi_1(R_k) \cong \text{Stab}(\Omega_k) \rightarrow G_k \subset PSL(2, \mathbf{C})$$

is a homomorphism to the nonelementary group  $G_k$ .

The construction of [1] yields a simply connected Riemann surface  $\mathcal{J}_k$  lying over  $S^2$ , called a pants configuration, such that:

(i) There is a conformal group  $\Gamma_k$  acting freely in  $\mathcal{J}_k$  such that  $\mathcal{J}_k/\Gamma_k$  is homeomorphic to  $R_k$ .

(ii) The holomorphic projection  $\pi: \mathcal{J}_k \rightarrow S^2$  is locally univalent if  $\theta$  lifts to a homomorphism  $\theta^*: \pi_1(R_k) \rightarrow SL(2, \mathbf{C})$ . Otherwise  $\pi$  is locally univalent except for one branch point of order two, modulo  $\Gamma_k$ .

(iii) There is a quasiconformal map  $h_k: \Omega_k \rightarrow \mathcal{J}_k$  such that

$$\pi h_k(\gamma z) = \theta(\gamma)\pi h_k(z), \quad \gamma \in \text{Stab}(\Omega_k), \quad z \in \Omega_k.$$

Once  $h_k$  is determined for a representative  $\Omega_k$  for each component  $R_k$  of  $\partial\mathcal{M}(\Gamma_0)$ , we bring in the action of  $\Gamma_0$  on the components of  $\Omega(\Gamma_0)$  and the corresponding action of  $\theta(\Gamma_0)$  on the range. By means of this action a quasiconformal map  $h$  is determined on all  $\Omega(\Gamma_0)$  which satisfies

$$\pi h(\gamma z) = \theta(\gamma)\pi h(z), \quad \gamma \in \Gamma_0, \quad z \in \Omega(\Gamma_0).$$

The Beltrami differential  $\mu(z) = (\pi h)_{\bar{z}}/(\pi h)_z$  satisfies

$$\mu(\gamma z)\bar{\gamma}'(z)/\gamma'(z) = \mu(z), \quad \gamma \in \Gamma_0, \quad z \in \Omega(\Gamma_0).$$

It may equally be regarded as a form on  $\partial\mathcal{M}(\Gamma_0)$ . Using the fact that the limit set of  $\Gamma_0$  has zero area, we can solve the Beltrami equation  $g_{\bar{z}} = \mu g_z$  on  $S^2$ . It has a solution which is a quasiconformal mapping  $g$  and is uniquely determined up to post composition with a Möbius transformation. Furthermore  $g$  uniquely determines, up to conjugacy, an isomorphism  $\varphi: \Gamma_0 \rightarrow \Gamma$  to a group  $\Gamma$  in  $\mathcal{D}(\Gamma_0)$ .

The composition  $\pi h g^{-1}$  is a meromorphic function on each component of  $\Omega(\Gamma)$ . It satisfies

$$(\pi h g^{-1})(\gamma z) = \theta\varphi^{-1}(\gamma)\pi h g^{-1}(z), \quad \gamma \in \Gamma, \quad z \in \Omega(\Gamma).$$

The composition is locally univalent except for at most one point on each component of  $\Omega(\Gamma)$ , modulo its stabilizer in  $\Gamma$ . That is,  $\pi \circ h \circ g^{-1}$  is a complex projective structure on  $\Gamma$  that induces the given homomorphism  $\theta$ , via the identification  $\varphi$ .

## 6 Open questions

Presumably, a nonelementary homomorphism  $\theta: \Gamma_0 \rightarrow PSL(2, \mathbf{C})$  can be elementary for one, or all, of the  $n \geq 1$  components of  $\partial\mathcal{M}(\Gamma_0)$ . Presumably too, the restrictions to  $\partial\mathcal{M}(\Gamma_0)$  of a boundary nonelementary homomorphism can lift to a homomorphism into  $SL(2, \mathbf{C})$  without the homomorphism  $\Gamma_0 \rightarrow PSL(2, \mathbf{C})$  itself lifting. However we have no examples of these phenomena.

According to Theorem 1, there is a subset  $\mathcal{P}(\Gamma_0)$  of the vector bundle  $\mathcal{Q}(\Gamma_0)$  consisting of those homomorphic differentials giving rise to, say, unbranched complex projective structures on the groups in  $\mathcal{D}(\Gamma_0)$ . What is the analytic structure of  $\mathcal{P}(\Gamma_0)$ ; is it a nonsingular, properly embedded, analytic subvariety?

When does a given Schwarzian equation  $S_f(z) = q(z)$  on  $\Omega(\Gamma)$  have a solution which induces a homomorphism of  $\Gamma$ ?

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