

## Exercise Sheet 9

1. Let  $V_d$  be the  $\mathbb{C}$ -vector space of all degree  $d$  homogeneous polynomials in  $\mathbb{C}[x, y]$ . Let  $L_1, L_2, \dots$  and  $M_1, M_2, \dots$  be sequences of nonzero linear forms (of the type  $ax + by$  for  $a, b \in \mathbb{C}$ ), and assume no  $L_i$  is a scalar multiple of some  $M_j$ , i.e.  $L_i = \lambda M_j$  for  $\lambda \in \mathbb{C}^*$ . Show that

$$\{A_{i,j} := L_1 L_2 \dots L_i M_1 M_2 \dots M_j \mid i + j = d\}$$

form a  $\mathbb{C}$ -basis for  $V_d$ . *Hint:* Use induction on  $d$ .

**Solution:** The case  $d = 0$  is clear, as the empty product is defined as 1. For  $d = 1$  note that  $L_1 = ax + by$ ,  $M_1 = cx + dy$  where  $(a, b)$  and  $(c, d)$  are linearly independent. Thus we can express  $x, y$  as a linear combination of  $L_1, M_1$ .

Now assume we have shown the statement for a given  $d$ . Considering the sequences  $L_2, L_3, \dots$  and  $M_1, M_2, \dots$  we thus know that

$$L_2 L_3 \dots L_i M_1 \dots M_{d+1-i} \quad (i = 1, \dots, d + 1)$$

form a basis of  $V_d$ . We can perform a linear change of basis on  $x, y$  to assume that  $L_1 = x$ . Then the elements

$$L_1 L_2 L_3 \dots L_i M_1 \dots M_{d+1-i} \quad (i = 1, \dots, d + 1)$$

generate  $L_1 V_d = x V_d$ , i.e. the elements of  $V_{d+1}$  divisible by  $x$ . We thus need to show that the remaining element  $M_1 \dots M_{d+1}$  is not divisible by  $x$ , i.e. has nonzero  $y^{d+1}$ -term. But by assumption none of the terms  $M_j$  can be a multiple of  $L_1 = x$ , so indeed this product is not divisible by  $x$ . This finishes the proof.

2. Let  $\mathfrak{m}$  be the ideal of  $\mathbb{C}[x, y]$  generated by  $x$  and  $y$ , and let  $\mathbb{C}[x, y]_{\mathfrak{m}}$  be the corresponding local ring. Assume polynomials  $F(x, y)$  and  $G(x, y)$  vanishing at  $(0, 0)$  have no common factor  $H(x, y) \in \mathbb{C}[x, y]$  with  $H(0, 0) = 0$ .

- a) Use Hilbert's Nullstellensatz to show that there exists a positive integer  $N$  such that

$$x^N, y^N \in (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}.$$

- b) Show that there exists a positive integer  $M$  such that

$$\mathfrak{m}^M \subset (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}.$$

**Solution:**

- a) Let  $F = SF_0$  and  $G = SG_0$  where  $F_0, G_0$  have no common factors. By assumption  $S(0,0) \neq 0$ . As  $F_0, G_0$  have no common factor, the vanishing set  $V(F_0, G_0)$  consists of finitely many points  $P = (0,0), Q_1, \dots, Q_r$ . Choose linear functions  $P_i$  vanishing on  $Q_i$  but not on  $P$  and let  $R = S \cdot P_1 \cdots P_r$ , then  $R(0,0) \neq 0$  but  $V(R) \cup \{(0,0)\} = V(F, G)$ . Then  $Rx, Ry$  are in  $I(V(F, G))$ , so  $(Rx)^N, (Ry)^N \in (F, G)$  for sufficiently large  $N$  by the Nullstellensatz. Since  $R$  is a unit in  $\mathbb{C}[x, y]_{\mathfrak{m}}$ , this means  $x^N, y^N \in (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}$ .
- b) For  $M = 2N$  every element of  $\mathfrak{m}^M$  is a multiple of  $x^N$  or of  $y^N$ , so in particular an element of  $(F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}$ .

3. The purpose of this exercise is to complete the proof of the existence of  $I_P(F, G)$  in the class.

Assume the point  $P$  is  $(0,0) \in \mathbb{C}^2$ . A polynomial  $F \in \mathbb{C}[x, y]$  which vanishes at  $(0,0)$  can be uniquely expressed as

$$F = F_p + \tilde{F}$$

with  $F_p$  ( $p > 0$ ) a homogeneous polynomial of degree  $p$ , such that each term of  $\tilde{F}$  has degree  $> p$ . Similarly we express  $G \in \mathbb{C}[x, y]$  which vanishes at  $(0,0)$  as

$$G = G_q + \tilde{G}$$

with  $G_q$  ( $q > 0$ ) a homogeneous polynomial of degree  $q$ . Let  $\mathfrak{m} = (x, y)$  be the maximal ideal in  $\mathbb{C}[x, y]$ . We define

$$I(F, G) := \dim_{\mathbb{C}} \left( \mathbb{C}[x, y]_{\mathfrak{m}} / (F, G) \right).$$

- a) Show that

$$I(F, G) \geq \dim_{\mathbb{C}} \left( \mathbb{C}[x, y] / (F, G, \mathfrak{m}^{p+q}) \right). \quad (1)$$

- b) Prove that the above inequality is an equality if and only if

$$\mathfrak{m}^{p+q} \cdot \mathbb{C}[x, y]_{\mathfrak{m}} \subset (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}. \quad (2)$$

Recall that for  $F, G$  as before we have introduced the following exact sequence

$$\mathbb{C}[x, y] / \mathfrak{m}^p \times \mathbb{C}[x, y] / \mathfrak{m}^q \xrightarrow{\varphi} \mathbb{C}[x, y] / \mathfrak{m}^{p+q} \xrightarrow{\pi} \mathbb{C}[x, y] / (F, G, \mathfrak{m}^{p+q}) \rightarrow 0.$$

Here the first map  $\varphi$  is given by  $\varphi(\bar{f}, \bar{g}) = \overline{fG + gF}$  for  $f, g \in \mathbb{C}[x, y]^1$ , and the second map  $\pi$  is the natural projection.

- c) Use the exact sequence above to show that

$$\dim_{\mathbb{C}} \left( \mathbb{C}[x, y] / (F, G, \mathfrak{m}^{p+q}) \right) \geq pq. \quad (3)$$

Moreover, show that the equality occurs if and only if  $\varphi$  is injective.

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<sup>1</sup>For  $F \in \mathbb{C}[x, y]$ , we always use  $\bar{F}$  to denote its residue class in  $\mathbb{C}[x, y]/I$  for some ideal  $I$ .

Combining (1) and (3), We have proved that

$$I(F, G) \geq pq. \quad (4)$$

- d) Prove directly that  $\varphi$  is injective if and only if  $F_p$  and  $G_q$  have no common factor. (The latter is equivalent to the condition that  $F$  and  $G$  have no common tangent line at  $(0, 0)$ .)

Now assume  $F_p$  and  $G_q$  have **NO** common factor. Let  $F_p = L_1 L_2 \dots L_p$  and  $G_q = M_1 M_2 \dots M_q$  with  $L_i, M_j$  linear forms, and let  $L_i = L_p$  for  $i > p$  and  $M_j = M_q$  for  $j > q$ . Define

$$A_{i,j} := L_1 L_2 \dots L_i M_1 M_2 \dots M_j \in \mathbb{C}[x, y]$$

as in Exercise 1.

- e) For an integer  $t > 0$ , prove that

$$\mathfrak{m}^t \cdot \mathbb{C}[x, y]_{\mathfrak{m}} \subset (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}$$

if and only if  $A_{i,j} \in (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}$  when  $i + j \geq t$ . *Hint:* Use Exercise 1.

- f) Assume  $t \geq p + q$ , and

$$\mathfrak{m}^{t+1} \cdot \mathbb{C}[x, y]_{\mathfrak{m}} \subset (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}.$$

Then prove  $A_{i,j} \in (F, G) \cdot \mathbb{C}[x, y]_{\mathfrak{m}}$  when  $i + j \geq t$ . *Hint:* The inequality  $i + j \geq p + q$  implies that  $i \geq p$  or  $j \geq q$ . If  $i \geq p$ , we have  $A_{i,j} = (F - \tilde{F})\tilde{A}$  with  $\tilde{A} \in \mathbb{C}[x, y]$ .

- g) Prove that (4) is an equality if and only if  $F_p$  and  $G_q$  have no common factor. *Hint:* This is a direct consequence of the results above. You may need to use Exercise 2.

**Solution:** The exercise parts above are steps in the proof of Theorem 3, p. 37 of <http://www.math.lsa.umich.edu/~wfulton/CurveBook.pdf> (starting with “Property (5) is the hardest”, p. 38).

**Due May 13.**